

On two types of infinite sets of infinite sequences.

by the Tuesday Afternoon Club.

In the following x will stand for the infinite sequence

$$(x(0), x(1), x(2), \dots)$$

where the $x(i)$ are taken from a finite alphabet of at least two characters, say $\{0, 1\}$.

Let us consider the sets of sequences S_1 and S_2 , defined as the solution sets of the equations $P_1(x)$ and $P_2(x)$, respectively, with

$$P_1(x): (\exists i: i \geq 0: (\forall j: 0 \leq j < i: x(j) = 0) \text{ and } (\forall j: i \leq j: x(j) = 1))$$

$$P_2(x): (\forall i: i \geq 0: x(i) = 0 \text{ or } (\forall j: j \geq i: x(j) = 1)) .$$

Because $P_1(x) \Rightarrow P_2(x)$, S_1 is a subset of S_2 , even a proper subset: there exists one solution of $P_2(x)$ and non $P_1(x)$, viz. the sequence with $x(i) = 0$ for all $i \geq 0$.

The set S_2 has the property that for any non-member of S_2 --i.e. any solution of non $P_2(x)$ -- non-membership can be established on account of an initial segment of it. As a matter of fact, in this particular case only two elements suffice for this evidence, as follows from non $P_2(x)$:

$$(\exists i: i \geq 0: x(i) \neq 0 \text{ and } (\exists j: j \geq i: x(j) \neq 1)) .$$

The set S_1 doesn't have this property because there exists a non-member --viz. $x(i) = 0$ for all $i \geq 0$ -- with the property that any initial segment of it is also the initial segment of a member of S_1 . We call S_2 "closed" and S_1 "non-closed", that is:

"The set S is closed" means "any non-member of S has an initial segment that is not the initial segment of any member of S ".

"The set S is non-closed" means "there exists a non-member of S such that any initial segment of it is also the initial segment of some member of S ".

From the above it follows

- 1) that a set of sequences is either closed or non-closed,
- 2) that any finite set --in particular the empty set-- is closed,
- 3) that the (uncountably infinite) universe of all possible sequences is closed.

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The notion of a closed set is of significance in connection with non-deterministic infinite computations. Consider the set of possible output sequences y corresponding to

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"initialize; i:= 0;                                     (1)
  do true → compute; print(y(i)); i:= i + 1 od"
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Here "initialize" and "compute" stand for terminating computations not affecting the value of i . If "initialize" and "compute" stand for deterministic computations, the set of possible output sequences consists of a single element; if "initialize" and (in particular) "compute" are non-deterministic, the corresponding set of possible output sequences can be infinite.

"Program (1) is a continuous machine" means "in program (1) "initialize" and "compute" are both of bounded non-determinacy".

We can now prove the following

Theorem 1. The possible output sequences of program (1) form a closed set or program (1) is not a continuous machine.

Proof. Let S be the set of possible output sequences of program (1). Either S is closed --in which case Theorem 1 holds-- or S is non-closed. In the latter case, let x be a non-member of S such that each initial segment of x is also an initial segment of some member of S . Consider now the following program:

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"initialize; i:= 0;                                     (2)
  do "y(0),...,y(i-1) is an initial segment of x " →
      compute; print(y(i)); i:= i + 1
  od; print(i)" .
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Non-termination of (2) is excluded because x is not a member of S . Because each initial segment of x is also the initial segment of some member of S , the final value of i is unbounded. Hence, program (2) is a (weakly) terminating program of unbounded non-determinacy; hence "initialize" or "compute" is of unbounded non-determinacy, i.e. program (1) is not a continuous machine. (End of Proof.)

Theorem 2. A set of sequences is non-closed or is the set of possible output sequences of some continuous machine.

Proof. Let S be the set of sequences. Either set S is non-closed --in which case Theorem 2 holds-- or it is closed. In the latter case consider program (1) with "initialize" deterministic and "compute" only constrained by the requirement that the next $y(i)$ leads to an initial segment of some element of S . Because the alphabet is finite, "compute" need not be of unbounded non-determinacy. By virtue of its construction program (1) may then generate any member of S , and, S being closed, it cannot generate any non-member of S . (End of Proof.)

Having identified closed sets of possible output sequences with continuous machines, we propose to ignore for the time being non-deterministic infinite computations to which non-closed sets correspond.

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