

# Recursion

Seen Recurrences:

Multiplication (Karatsuba)

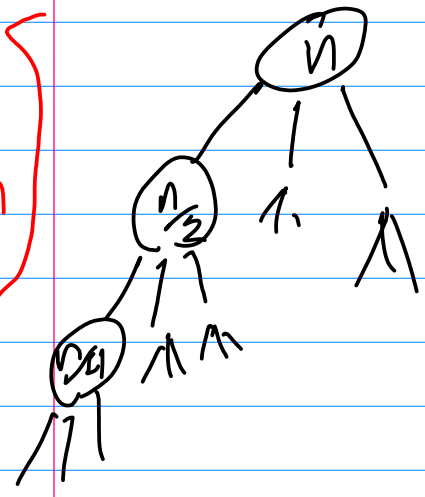
$$T(n) = 3T(n/2) + O(n)$$

Merge Sort:

$$T(n) = 2T(n/2) + O(n)$$

Solving recurrences (way 1): draw tree

$\log_2 n$   
layers



(# nodes)  
1

3

$3^2$

⋮

$$3^{\log_2 n} = n^{\log_2 3}$$

(work per node)

$n$

$\frac{n}{2}$

$\frac{n}{4}$

⋮

1

(total at level)

$n$

$(\frac{3}{2})n$

$(\frac{3}{2})^2 n$

⋮

$n^{\log_2 3}$

→ Geometric series!

Sum is

$$O(n^{\log_2 3})$$

# Geometric Series

$$\text{Let } S = a + ar + ar^2 + \dots + ar^{k-1} \dots$$

$(r < 1)$

$$(1-r)S = a + \cancel{ar} + \cancel{ar^2} + \dots + \cancel{ar^{k-1}} - \cancel{ar} - \cancel{ar^2} - \dots$$

$$S = \frac{a}{1-r}$$

So!  $S = a + ar + \dots + ar^{k-1}$  is:

$$\Theta(a) \text{ if } r < 1 \text{ a constant}$$

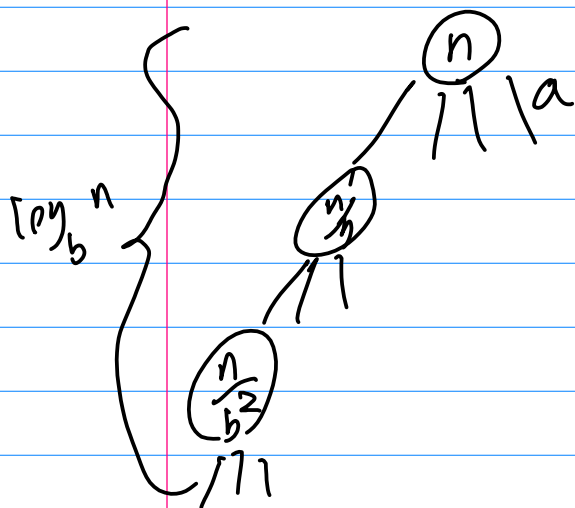
$$(r = \frac{3}{4} \text{ or } 0.9 \text{ not } 1 - \frac{1}{a})$$

$$\Theta(ar^{k-1}) \text{ if } r > 1 \text{ a constant}$$

$$\Theta(a \cdot k) \text{ if } r = 1$$

(bigger of first and last, with an extra factor  $k$  if equal)

$$T(n) = a \uparrow \left(\frac{n}{b}\right) + n^c$$



# nodes	work per node	total
1	$n^c$	$n^c$
$a$	$\left(\frac{n}{b}\right)^c$	$\left(\frac{a}{b^c}\right)n^c$
$a^k$	$\left(\frac{n}{b^k}\right)^c$	$\left(\frac{a}{b^c}\right)^k n^c$
$\vdots$	$\vdots$	$\vdots$
$a^{\log_b n} = n^{\log_b a}$	1	$n^{\log_b a}$

geometric series w/ ratio  $r = \frac{a}{b^c}$ .

Define  $c_{crit} := \log_b a$ .

$c < c_{crit} \Rightarrow r > 1 \Rightarrow$  dominated by  $n^{\log_b a}$

$c > c_{crit} \Rightarrow r < 1 \Rightarrow$  dominated by  $n^c$

$c = c_{crit} \Rightarrow r = 1 \Rightarrow$  total is  $\Theta(n^c \log_b n)$   
 $= \Theta(n^c \log n)$

Master Theorem generalizes this slightly:

$$T(n) = a T\left(\frac{n}{b}\right) + f(n) :$$

(1) if  $f(n) = O(n^c)$  for  $c < c_{crit}$

$$T(n) = \Theta(n^{\log_b a})$$

(2) if  $f(n) = \Theta(n^{c_{crit}} \cdot \log^k n)$  for  $k \geq 0$

[e.g.  $n^{c_{crit}}$  or  $n^{c_{crit}} \log n$ ]

$$T(n) = \Theta(n^{c_{crit}} \log^{k+1} n) = \Theta(f(n) \log n)$$

(3) if  $f(n) = \Omega(n^c)$  for  $c \geq c_{crit}$   
- AND REGULARITY CONDITION  
 $T(n) = \Theta(f(n))$

### \* TECHNICAL NOTE: REGULARITY

- Holds if  $f(n) = \Theta(n^c \log^k n)$  for some  $c, k$

- It's just that work ratio

$$r = a f(n/b) / f(n) < 1 \quad \forall n.$$

- Need to avoid pathological cases, e.g.

Using master theorem:

multiplication:

$$C_{crit} = \log_2 3, \quad C = 1 < C_{crit} \Rightarrow \text{Case (1)}$$

dominated by last layer

$$T(n) = \Theta(n^{\log_2 3})$$

merge sort

$$C_{crit} = \log_2 2 = 1, \quad C = 1 = C_{crit} \Rightarrow \text{Case (2)}$$

balanced

$$T(n) = \Theta(n \log n)$$

$$T(n) = 3T(n/2) + n^2$$

$$C_{crit} = \log_2 3, \quad C = 2 > C_{crit} \Rightarrow \text{Case (3)}$$

dominated by first layer

$$T(n) = n^2$$

# Recursive Backtracking

Easy way to solve programs Correctly but Slowly

Next weeks (DP): techniques to make fast

Idea: to find

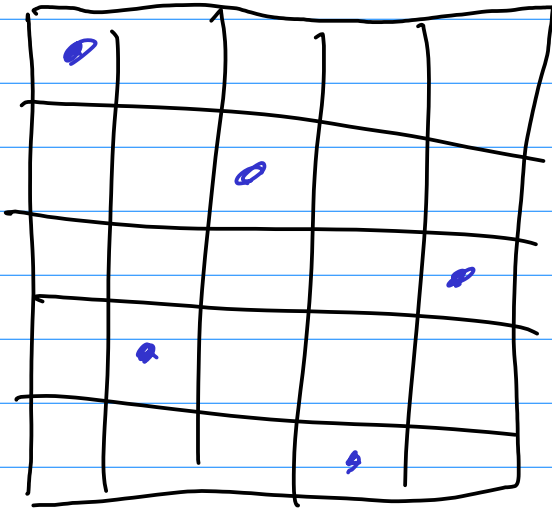
- a solution
- the best solution
- the # of solutions
- the smallest solution

to some problem,

represent a solution as a series of choices,  
try all possibilities for one choice in  
function body,  
recurse on rest.

n queens

Place n queens on  $n \times n$  board so no two attack each other.



Choices:

- location in row 1,  
in row 2, ...

Columns where  
queen placed

Place Queens ( $Q, n$ ):

if  $\text{len}(Q) == n$ : return  $Q$

$r = \text{len}(Q)$

for  $j$  in  $\text{range}(n)$ : # try placing  $(r, j)$

if any(  
-  $i == j$  or  
-  $\text{abs}(i - j) == r - s$   
for  $s, i$  in  $\text{enumerate}(Q)$ ):

continue

attempt = place Queens ( $Q + [j]$ ,  $n$ )

if attempt:

return attempt

# Subset Sum:

given  $x_1, \dots, x_n$  integers

Target  $T$

Does  $\exists$  subset  $S \subseteq [n]$  of sum  $T$ ?

$$\sum_{i \in S} x_i = T$$

Choices: take  $x_1, x_2, \dots$

```
def Subset Sum (X, T)
```

```
  if len(X) == 0:
```

```
    return T == 0
```

```
  v = X[0]
```

```
  return subset Sum (X[1:], T)
```

```
    or subset Sum (X[1:], T - v)
```

← don't take v

← take v

(a bit slower since copy input array, can fix)

```
def Subset Sum (X, T, i):
```

```
  if len(X) == i:
```

```
    return T == 0
```

```
  v = X[i]
```

```
  return subset Sum (X, T, i+1)
```

```
    or subset Sum (X, T - v, i+1)
```

← don't

← take



# Longest Increasing Subsequence:

$A_1, \dots, A_n$

find largest subset where  $A_j$  increasing

Different ways to express as series of choices:

Take  $A_1$ ? Take  $A_2$ ? Take  $A_3$ ?

$$LIS(A) = \begin{cases} 0 & \text{if } \text{len}(A) = 0, \text{ else} \\ \max \left( LIS(A[1:]), \right. \\ \left. 1 + LIS([x \text{ for } x \text{ in } A[1:] \text{ if } x \geq A[0]]) \right) \end{cases}$$

*don't take first* → (points to  $LIS(A[1:])$ )  
*take first* → (points to  $1 + LIS([x \text{ for } x \text{ in } A[1:] \text{ if } x \geq A[0]])$ )

OR choice is "who to take first?"  
"who to take second?" ...

$$LIS(A) = \begin{cases} 0 & \text{if } \text{len}(A) = 0, \text{ else} \\ \max_i \left( 1 + LIS([x \text{ for } x \text{ in } A[i+1:] \text{ if } x \geq A[i]]) \right) \end{cases}$$

Different expression, same result.