CS371N: Natural Language Processing

Lecture 19:
Text rationales,
Chain-of-thought

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Administrivia

- Independent project proposals due tomorrow
  - TACC allocation available, contact me if you'd like to use it

- Midterm back early next week, A3/A4 back after

Recap: Zero-shot/Few-shot prompting

- Single unlabeled datapoint ***x***, want to predict label *y*

  x = *The movie's acting could've been better, but the visuals and directing were top-notch.*

- Wrap ***x*** in a template we call a verbalizer ***v***

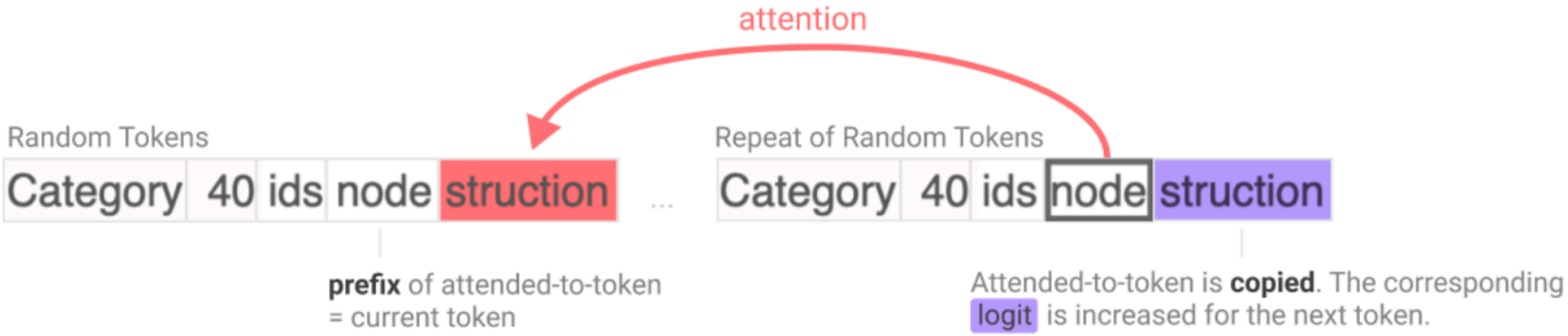
Review: The movie's acting could've been better, but the visuals and directing were top-notch.
Out of positive, negative, or neutral, this review is → GPT-3 → neutral

- Need the right prompt (but there is a "plateau" of prompts that work)

- Few-shot: add one or more examples. Typically works better! Particularly with rich examples like we'll see today

Recap: Understanding ICL

- ICL can learn a strategy like ordinary least-squares (Akyurek et al., 2022)
- We can identify *induction heads* in Transformers; these emerge when ICL performance improves (Olsson et al., 2022)



This Lecture

- Text rationales: text explanations of answers
- Chain-of-thought prompting (zero- and few-shot)
- Chain-of-thought: extensions
- Analysis of explanations

Text Rationales

Explaining Decisions

this movie was great! would watch again +

the movie was gross and overwrought, but I liked it +

this movie was not really very enjoyable —

- How can we explain these decisions?

Explaining Decisions

GD

"Barbie boasts impressive production design, costumes, and a pair of leads up to the task of bringing their respective dolls to life, but sadly comes up a little short when it comes to its screenplay."

Does this critic have a positive or negative view of Barbie? Explain your decision.

The critic has a mixed view of "Barbie."

Positive aspects mentioned:

1. Impressive production design
2. Impressive costumes
3. A pair of leads that are capable of bringing their respective dolls to life

Negative aspect mentioned:

1. Comes up a little short when it comes to its screenplay

The critic appreciates several elements of the production, notably the design, costumes, and the performances of the lead actors. However, they also express dissatisfaction with the screenplay. So, while there are elements they admire, there's also a significant point of criticism.

Explaining Decisions

I want to go to ___

Transformer model prediction: *Austin*

- How can we explain this decision?

Example from Vision

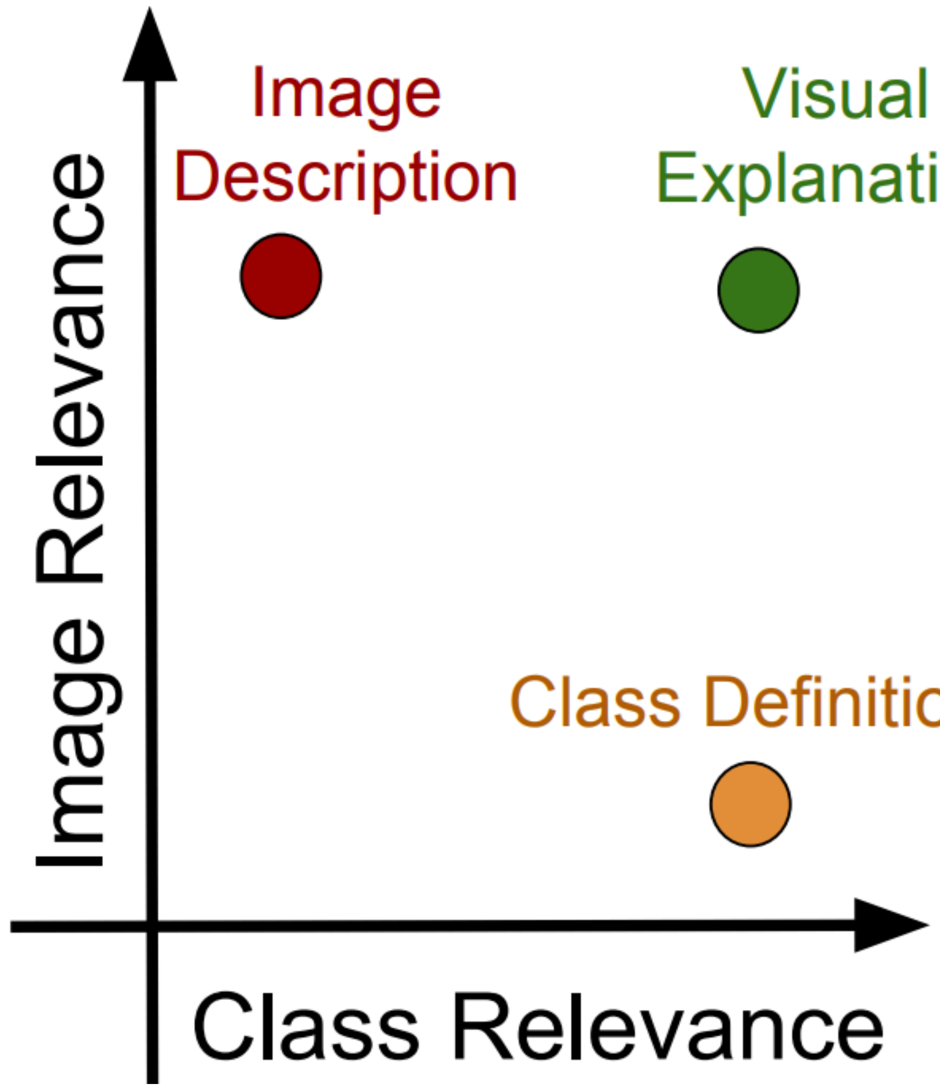
Laysan Albatross

Description: This is a large flying bird with black wings and a white belly.
Class Definition: The *Laysan Albatross* is a large seabird with a hooked yellow beak, black back and white belly.
Visual Explanation: This is a *Laysan Albatross* because this bird has a large wingspan, hooked yellow beak, and white belly.

Laysan Albatross

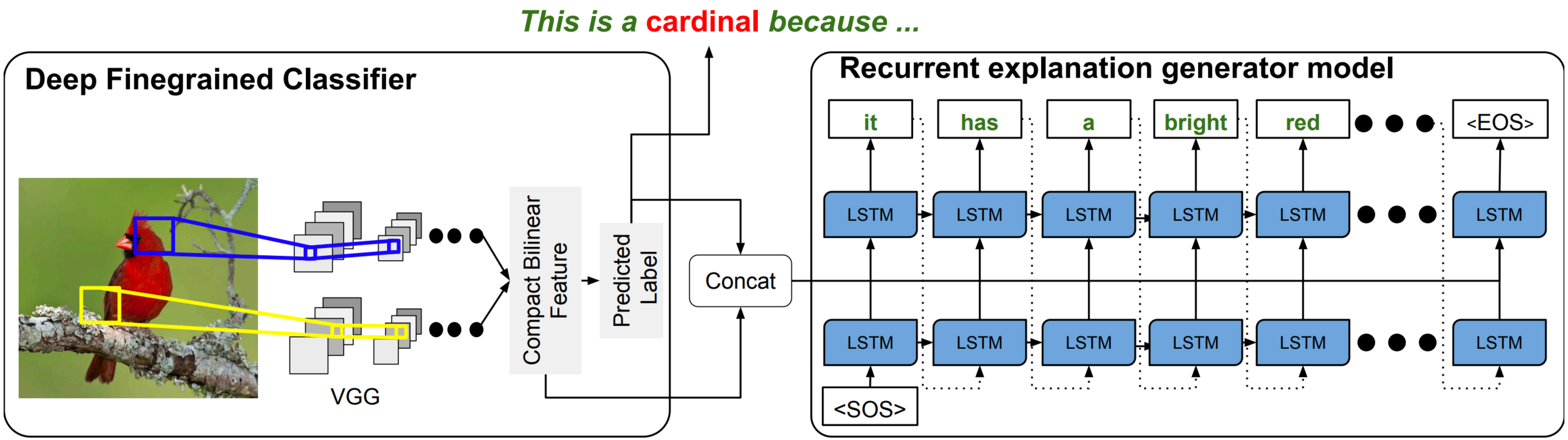
Description: This is a large bird with a white neck and a black back in the water.
Class Definition: The *Laysan Albatross* is a large seabird with a hooked yellow beak, black back and white belly.
Visual Explanation: This is a *Laysan Albatross* because this bird has a hooked yellow beak white neck and black back.

- What makes a visual explanation? Should be relevant to the class (output) and the image (input)
- Are these features *really* what the model used?



Hendricks et al. (2016)

Generating Explanations: Birds



- LSTM decoder looks at a feature vector and predicted label, then generates an explanation from those
- It's trained on human explanations — so it will likely produce explanations that look good (it learns to be a language model)

Hendricks et al. (2016)

E-SNLI

Premise: An adult dressed in black holds a stick.
Hypothesis: An adult is walking away, empty-handed.
Label: contradiction
Explanation: Holds a stick implies using hands so it is not empty-handed.

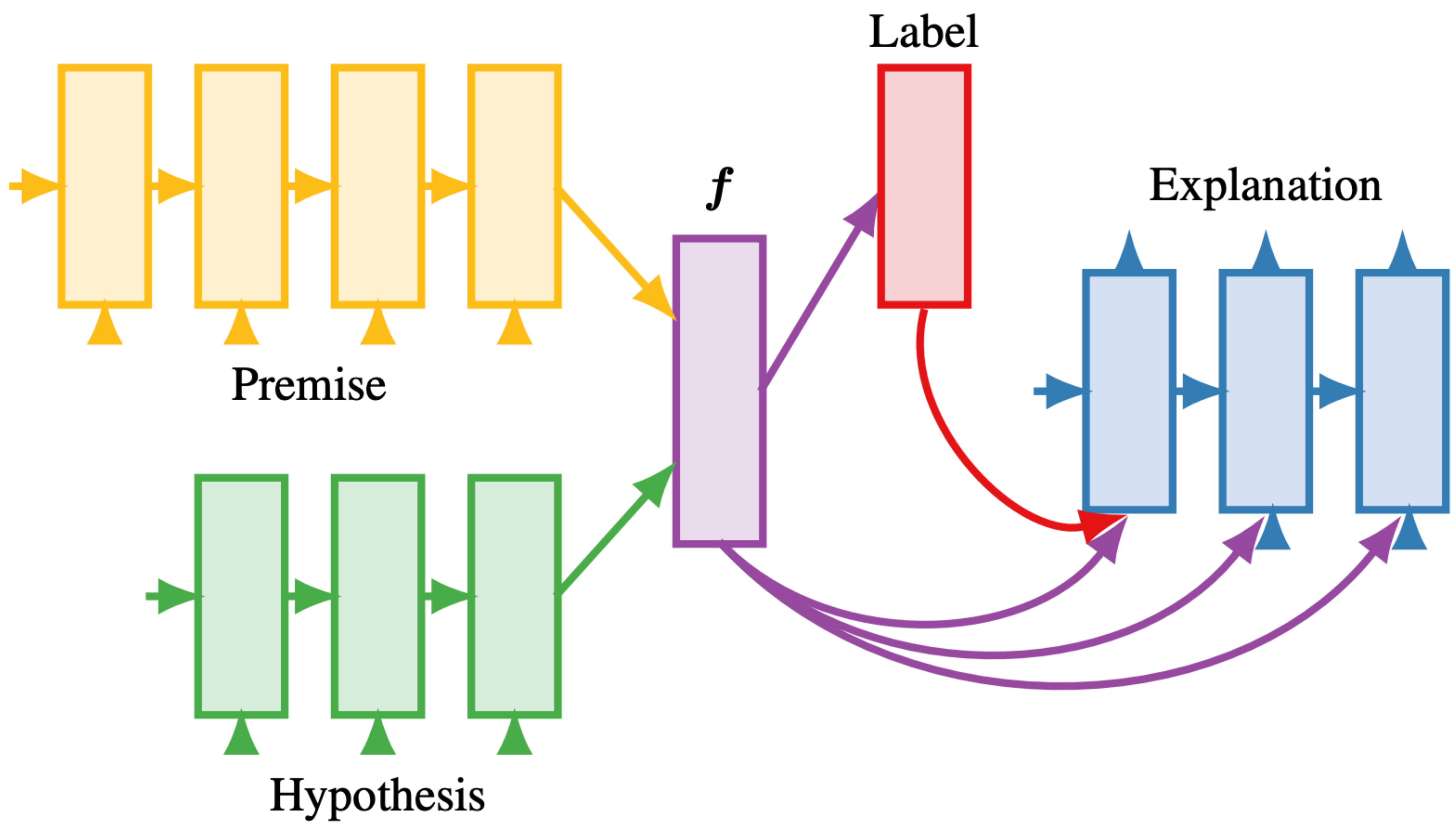
Premise: A child in a yellow plastic safety swing is laughing as a dark-haired woman in pink and coral pants stands behind her.
Hypothesis: A young mother is playing with her daughter in a swing.
Label: neutral
Explanation: Child does not imply daughter and woman does not imply mother.

Premise: A man in an orange vest leans over a pickup truck.
Hypothesis: A man is touching a truck.
Label: entailment
Explanation: Man leans over a pickup truck implies that he is touching it.

- Two formats: highlights and text

Camburu et al. (2019)

Generating Explanations: E-SNLI



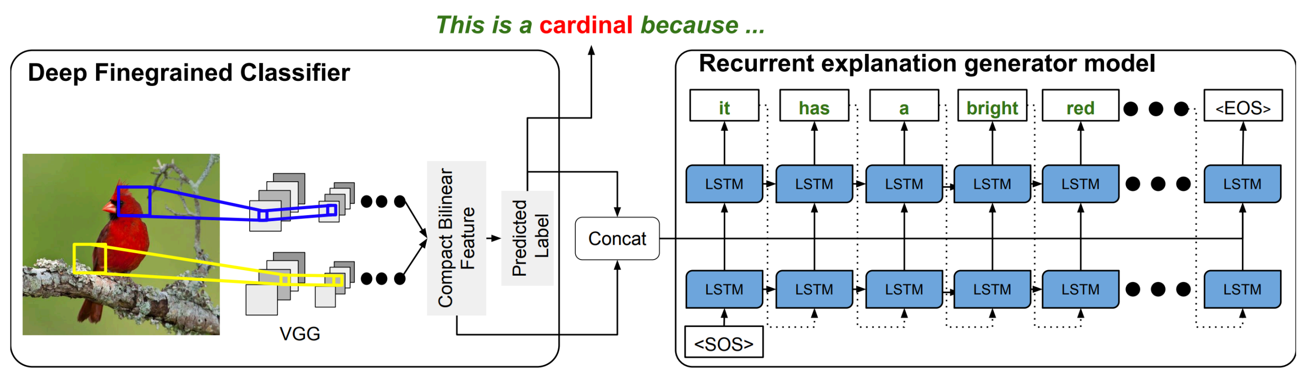
f = function of premise and hypothesis vectors

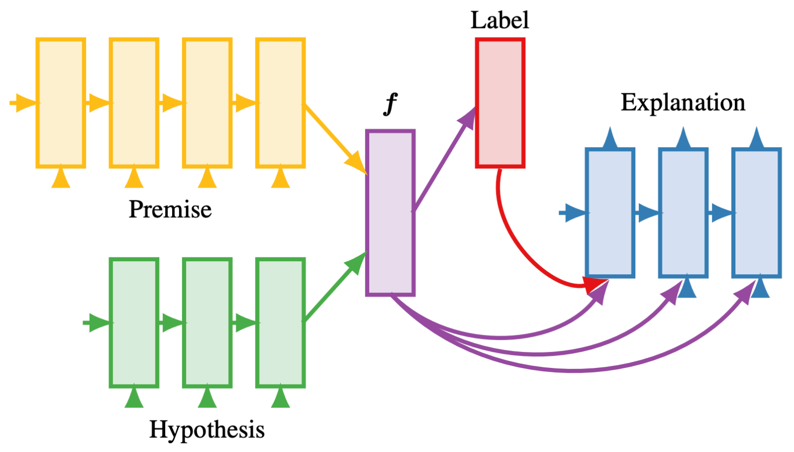
- Similar to birds: explanation is conditioned on the label + network state f
- Information from f is fed into the explanation LSTM, although we don't know how that information is being used

Camburu et al. (2019)

Text Rationales

- Can we generate a natural language explanation of a model’s behavior?
- What are some advantages to this?
  - Easy for untrained users to understand
  - Multitasking to produce human-written explanations may help us learn
- What are some risks/disadvantages?





Text Explanations

- Issues with text explanations:
  - Hard to produce/consume (these models are sort of clunky)
  - Hard to know if they faithfully reflect what a model is doing
  - More broadly, hard to evaluate
- However, writing such explanations comes naturally to us…so that means that they reflect some kind of underlying reasoning process that we're doing?
- Pre-2021: this process would usually be captured structurally in a model. 2022 and beyond: chain of thought

Chain-of-thought

Text rationales vs. programs

Problem 2:
Question: From a pack of 52 cards, two cards are drawn together at random. What is the probability of both the cards being kings?
Options: A) 2/1223 B) 1/122 C) 1/221 D) 3/1253 E) 2/153
Rationale: Let s be the sample space.
Then n(s) = 52C2 = 1326
E = event of getting 2 kings out of 4
n(E) = 4C2 = 6
P(E) = 6/1326 = 1/221
Answer is C
Correct Option: C

- Rationales are most useful for problems where some computation is required. They can articulate the intermediate steps needed to solve it
- Some of the earliest work: math word problems

Ling et al. (2017)

Chain-of-thought

- Chain-of-thought uses natural language as a scaffold for “reasoning"

- Unifies several ideas:
  - For math: relies on the fact that LLMs can do single steps of arithmetic okay. Builds on that to do multistep problems.
  - For QA: many problems involve reasoning decompositions
    E.g., *What’s the capital of the country where Aristotle lived?* ->
    country = “*country where Aristotle lived*”
    return *What’s the capital of* [country]
  - For other tasks: capture the kinds of behavior written in rationales

Wei et al. (2022)

Chain-of-thought

- Typically a few-shot prompting technique where the in-context examples now contain explanations

- Answer is not generated in one go, but comes after an explanation that “talks through” the reasoning

Input:

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?
A: Roger started with 5 balls. 2 cans of 3 tennis balls each is 6 tennis balls. 5 + 6 = 11. The answer is 11.

...

Q: John takes care of 10 dogs. Each dog takes .5 hours a day to walk and take care of their business. How many hours a week does he spend taking care of dogs?
A:

Model output:

John takes care of 10 dogs. Each dog takes .5 hours a day to walk and take care of their business. So that is 10 x .5 = 5 hours a day. 5 hours a day x 7 days a week = 35 hours a week. The answer is 35 hours a week. ✓

Wei et al. (2022)

Chain-of-thought

From our work: a synthetic test of multi-hop reasoning with extractive explanations:

Context: *Christopher agrees with Kevin. Tiffany agrees with Matthew. Mary hangs out with Danielle. James hangs out with Thomas. Kevin is a student. Matthew is a plumber. Danielle is a student. Thomas is a plumber.*

Q: *Who hangs out with a student?*

A: *Mary.*

- What kind of explanation would you write here?

Explanation: *because Mary hangs out with Danielle and Danielle is a student.*

Ye and Durrett (NeurIPS 2022)

Chain-of-thought

Context: *Christopher agrees with Kevin. [...]* **Q**: *Who hangs out with a student?*

Mary

Standard few-shot learning, no explanation

Context: *Christopher agrees with Kevin. [...]* **Q**: *Who hangs out with a student?*

Mary, ***because Mary hangs out with Danielle and Danielle is a student.***

Predict-explain: answer **is not** conditioned on output explanation (original E-SNLI LSTM)

Context: *Christopher agrees with Kevin. [...]* **Q**: *Who hangs out with a student?*

Because Mary hangs out with Danielle and Danielle is a student, *the answer is* ***Mary.***

Explain-predict: answer is conditioned on output explanation (Chain of Thought)

Chain-of-thought

Prompt

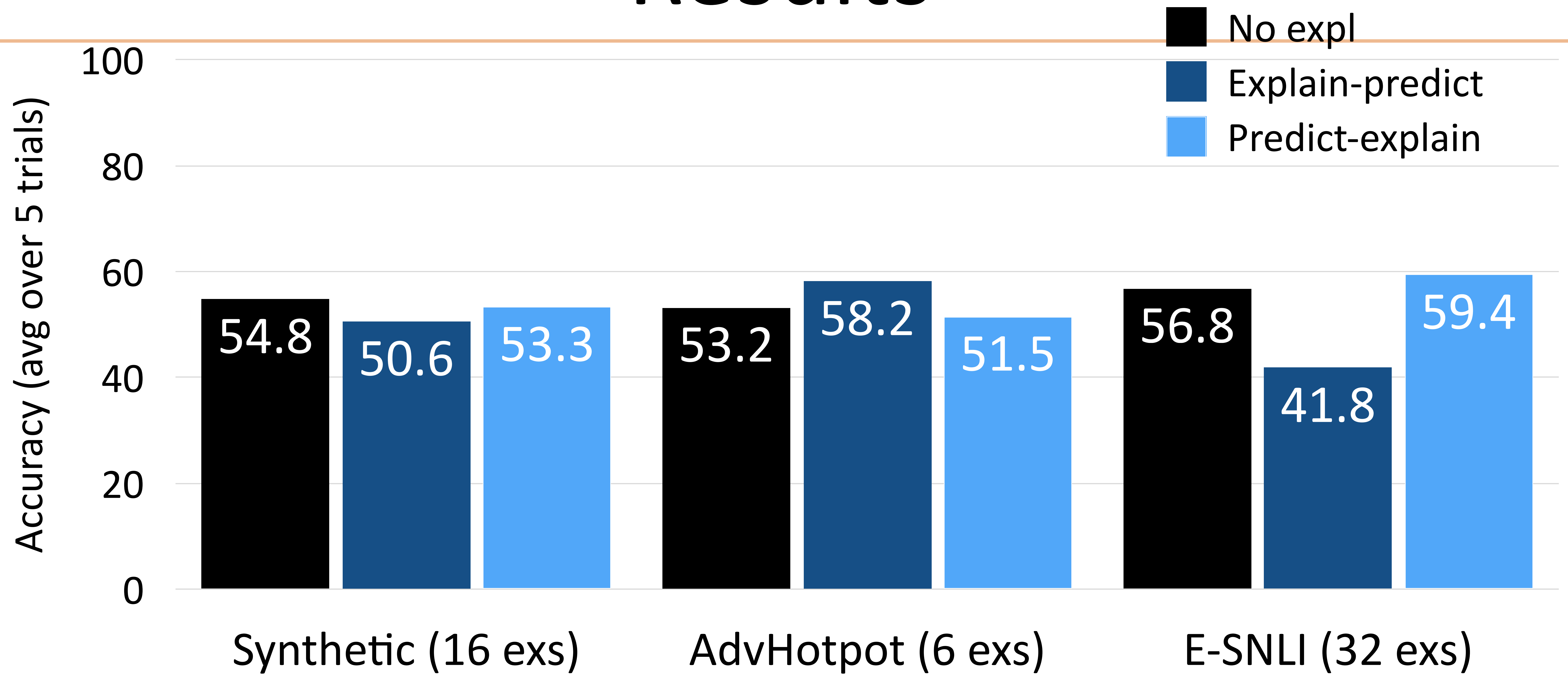
Input	**Context**: *Christopher agrees with Kevin.* [...] **Q**: *Who hangs out with a student?*
Label+ Explanation	***Mary**, **because Mary hangs out with Danielle and Danielle is a student.***
Train Ex	
Train Ex	
Test Input	**Context**: *Adam plays with Ellen.* [...] **Q**: *Who plays with a doctor?*

GPT-3

Output	*Adam*, ***because Adam plays with Ellen and Ellen is a doctor.***

greedy decoding from GPT-3

Results



Does GPT-3 (text-davinci-001) work well without explanations?

- **Not well.** On Synthetic, surface heuristics give 50%.

Q1: Do these explanations help?

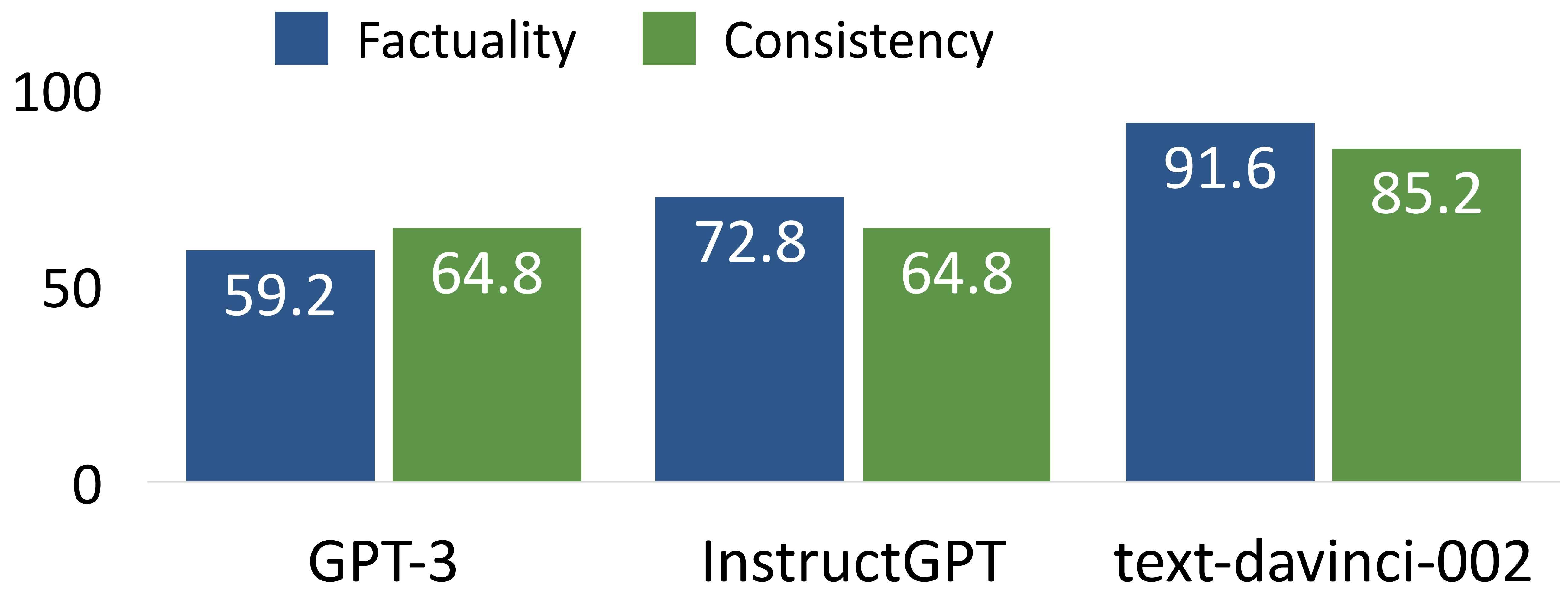
- **Not really.** Small gains on AdvHotpot and E-SNLI. No one technique dominates

Ye and Durrett (NeurIPS 2022)

Results

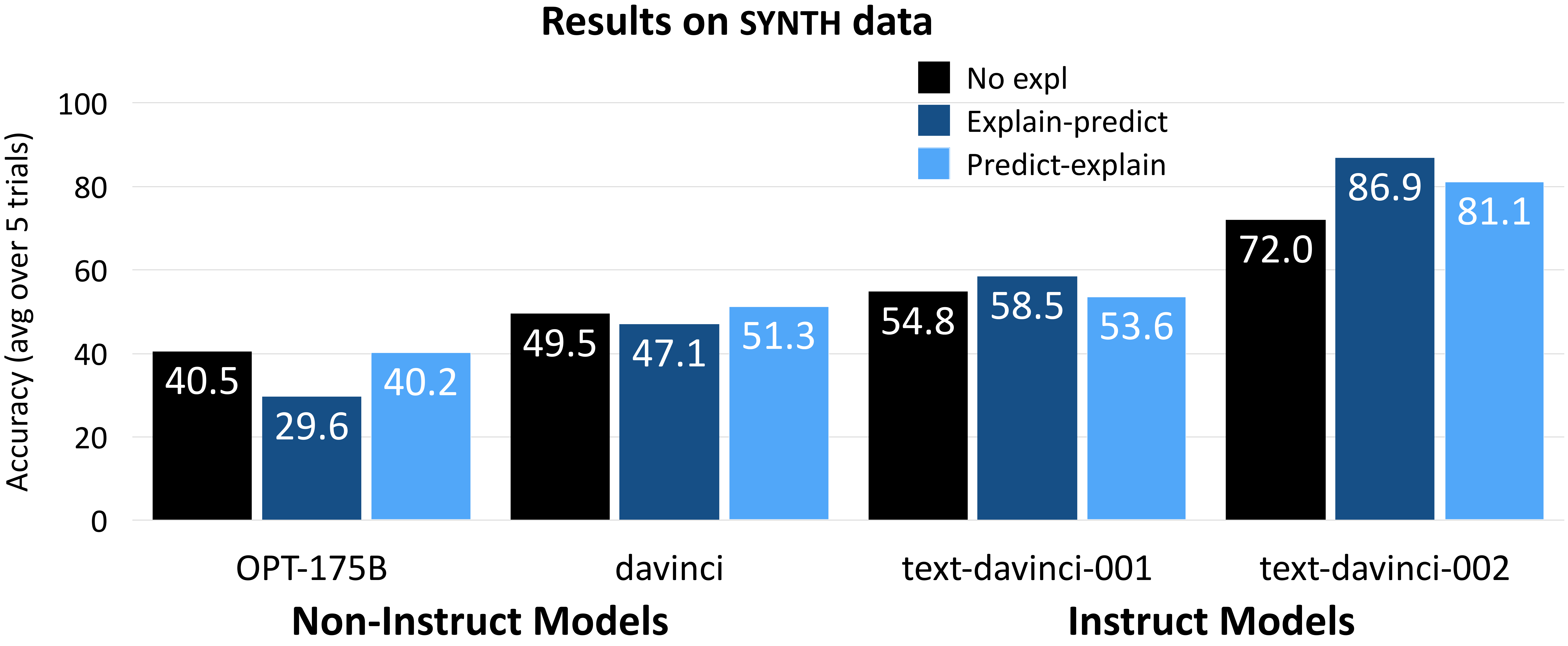
- Can language models generate reliable explanations?
  - **Factuality:** whether an explanation is factually grounded in the input context
  - **Consistency:** whether an explanation entails the answer
- Model-generated explanations are not always **reliable**

Explain-Predict on SYNTH



Ye and Durrett (NeurIPS 2022)

Results



- Instruct tuning helps but it seems to be not quite sufficient
- **Bigger, instruction-tuned models are far ahead of others on this task**

Ye and Durrett (NeurIPS 2022)

Chain-of-thought extensions

Step-by-Step

(d) Zero-shot-CoT (Ours)

Q: A juggler can juggle 16 balls. Half of the balls are golf balls, and half of the golf balls are blue. How many blue golf balls are there?
A: ***Let's think step by step.***

(Output) *There are 16 balls in total. Half of the balls are golf balls. That means that there are 8 golf balls. Half of the golf balls are blue. That means that there are 4 blue golf balls.* ✓

- Prompt for step-by-step reasoning: produces chains of thought without including demonstrations
- Separate prompt to extract the answer ("Therefore, the answer is ___")

Kojima et al. (2022)

Step-by-Step

	Arithmetic					
	SingleEq	AddSub	MultiArith	GSM8K	AQUA	SVAMP
zero-shot	74.6/**78.7**	**72.2/77.0**	17.7/22.7	10.4/12.5	22.4/22.4	58.8/58.7
zero-shot-cot	**78.0/78.7**	69.6/74.7	**78.7/79.3**	**40.7/40.5**	**33.5/31.9**	**62.1/63.7**
	Common Sense		Other Reasoning Tasks		Symbolic Reasoning	
	Common SenseQA	Strategy QA	Date Understand	Shuffled Objects	Last Letter (4 words)	Coin Flip (4 times)
zero-shot	**68.8/72.6**	12.7/**54.3**	49.3/33.6	31.3/29.7	0.2/-	12.8/53.8
zero-shot-cot	64.6/64.0	**54.8**/52.3	**67.5/61.8**	**52.4/52.9**	**57.6**/-	**91.4/87.8**

- text-davinci-002 (~ChatGPT-style model)

Kojima et al. (2022)

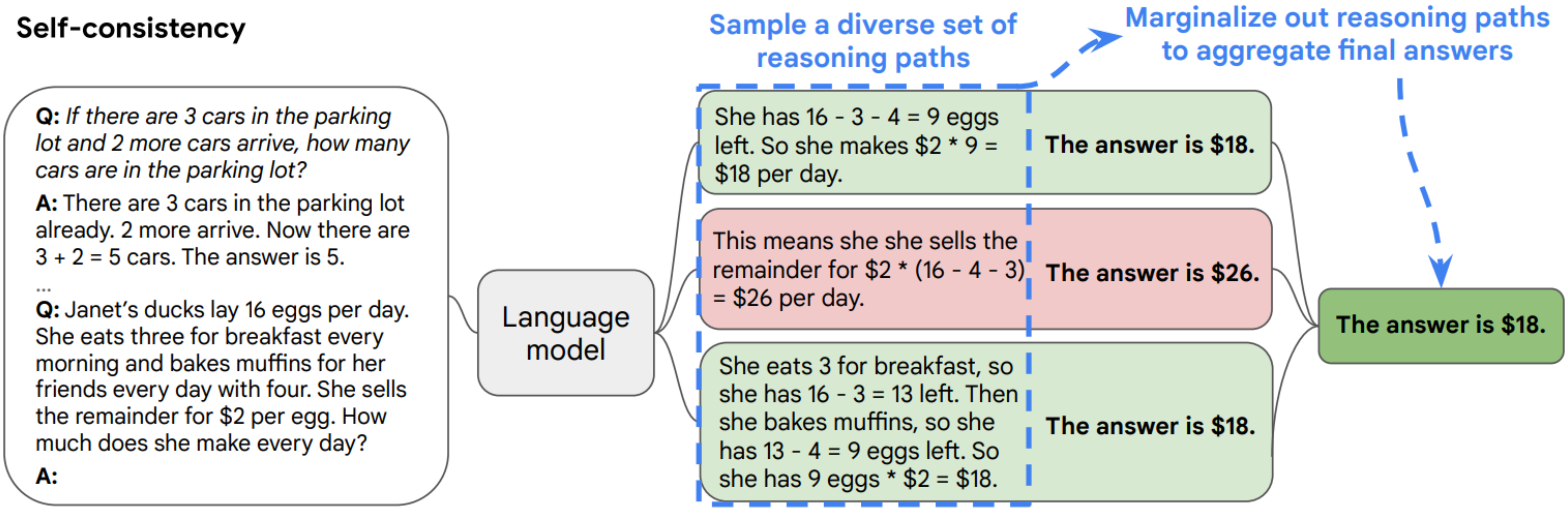
Step-by-Step

No.	Category	Template	Accuracy
1	instructive	Let's think step by step.	**78.7**
2		First, (*1)	77.3
3		Let's think about this logically.	74.5
4		Let's solve this problem by splitting it into steps. (*2)	72.2
5		Let's be realistic and think step by step.	70.8
6		Let's think like a detective step by step.	70.3
7		Let's think	57.5
8		Before we dive into the answer,	55.7
9		The answer is after the proof.	45.7
10	misleading	Don't think. Just feel.	18.8
11		Let's think step by step but reach an incorrect answer.	18.7
12		Let's count the number of "a" in the question.	16.7
13		By using the fact that the earth is round,	9.3
14	irrelevant	By the way, I found a good restaurant nearby.	17.5
15		Abrakadabra!	15.5
16		It's a beautiful day.	13.1
-		(Zero-shot)	17.7

Kojima et al. (2022)

Demo: Step-by-Step (Math QA, StrategyQA)

Self-Consistency



- Ensembling across multiple outputs (either zero-shot or few-shot)
- GSM8k: 56.5 -> 74.4, 5% gains on several other math datasets, lower gains on text tasks

Wang et al. (2022)

Program-aided Language Models

- For math: why are we doing the arithmetic in the LLM itself?

- Instead: generate code fragments and actually execute them to get an answer (how most earlier math word problem systems worked)

- Many flavors of this: “Faithful Chain-of-thought”, “Program-of-thought”, Toolformer, etc.

Model Output

A: The bakers started with 200 loaves

```
loaves_baked = 200
```

They sold 93 in the morning and 39 in the afternoon

```
loaves_sold_morning = 93
loaves_sold_afternoon = 39
```

The grocery store returned 6 loaves.

```
loaves_returned = 6
```

The answer is

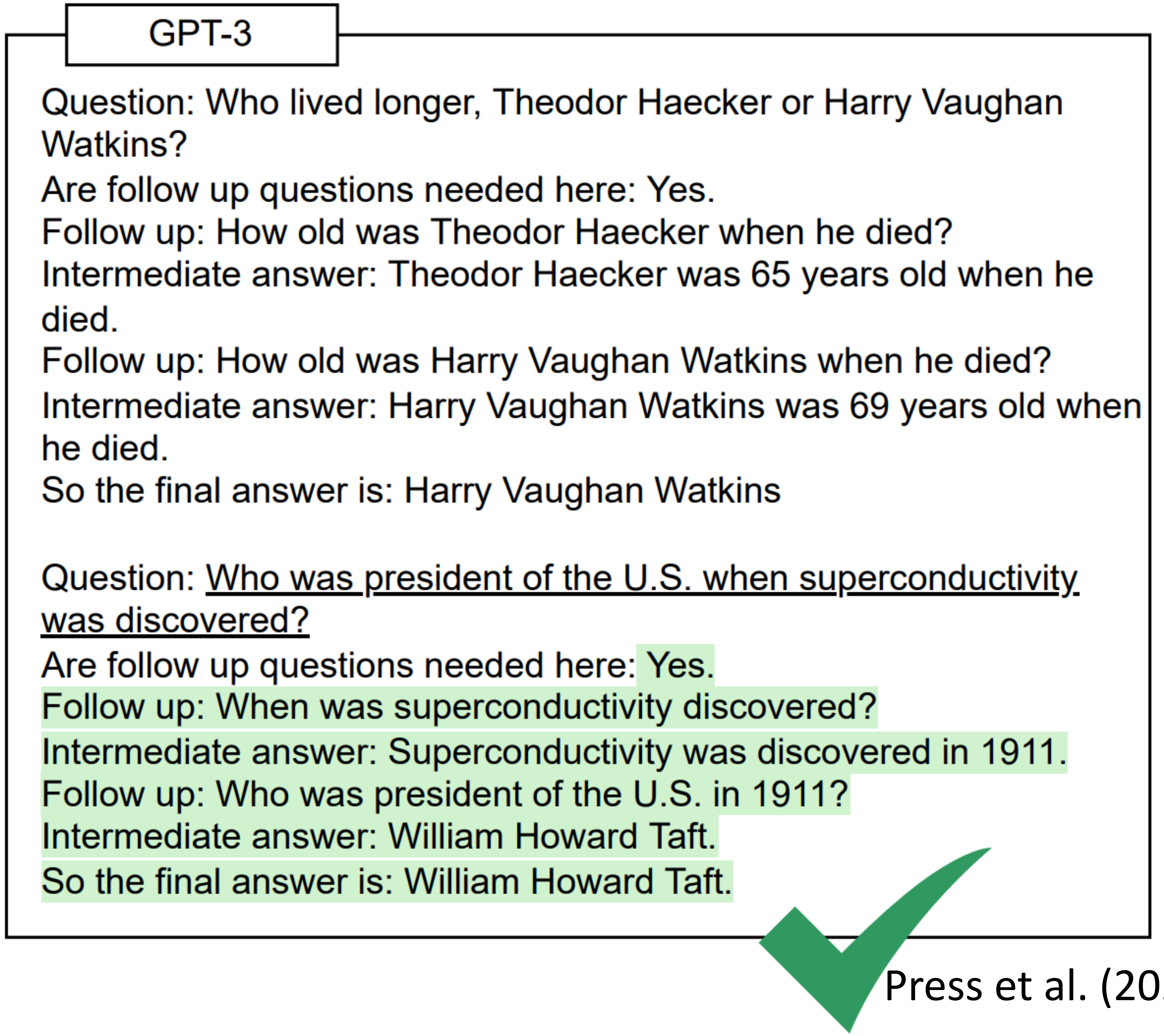
```
answer = loaves_baked - loaves_sold_morning
  - loaves_sold_afternoon + loaves_returned
```

```
>>> print(answer)
74
```

Gao et al. (2022)

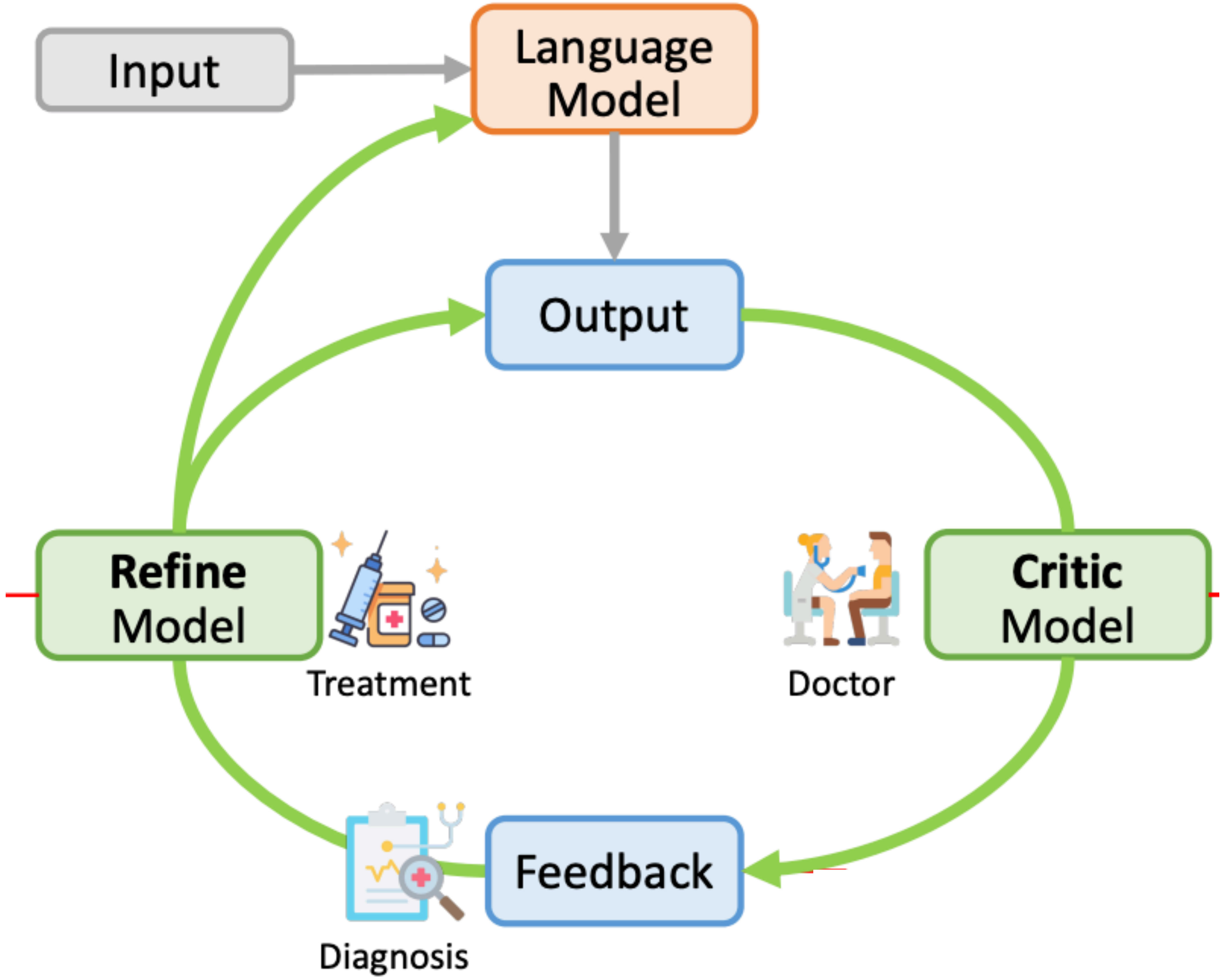
Self-ask

- Similar idea but with QA/a search engine in the loop
- Demonstration shows sub-questions and sub-answers, can potentially do search at these intermediate points
- Bing's "Sydney" agent has some capabilities around this



Press et al. (2022)

Self-refinement



Liangming Pan et al. (2023)
Surveying the landscape of self-correction strategies

Demo: Self-correction

GD

What is 2613 * 12364?

The product of 2,613 and 12,364 is 32,300,692.

GD

Can you verify if the product of 2,613 and 12,364 is 32,300,692?

Let's do the multiplication:

css Copy code

```css
      2,613
   × 12,364
-----------
     10,452  (2,613 × 4)
    15,678   (2,613 × 6, shifted one place to the left)
    26,130   (2,613 × 2, shifted two places to the left)
    26,130   (2,613 × 1, shifted three places to the left)
-----------
  32,390,920
```

The correct product of 2,613 multiplied by 12,364 is 32,390,920. I apologize for the earlier oversight.

Other ideas

- For math: can having various other ways of doing programmatic verification
- For natural language reasoning: missing component of search and planning, discussed in “Language Model Cascades”
- For problems like fact-checking or QA involving complex reasoning, its difficult to verify all of the individual steps…so if CoT goes wrong, it may even be hard for a human to spot

Analysis of Explanations

What Makes Explanations Effective?

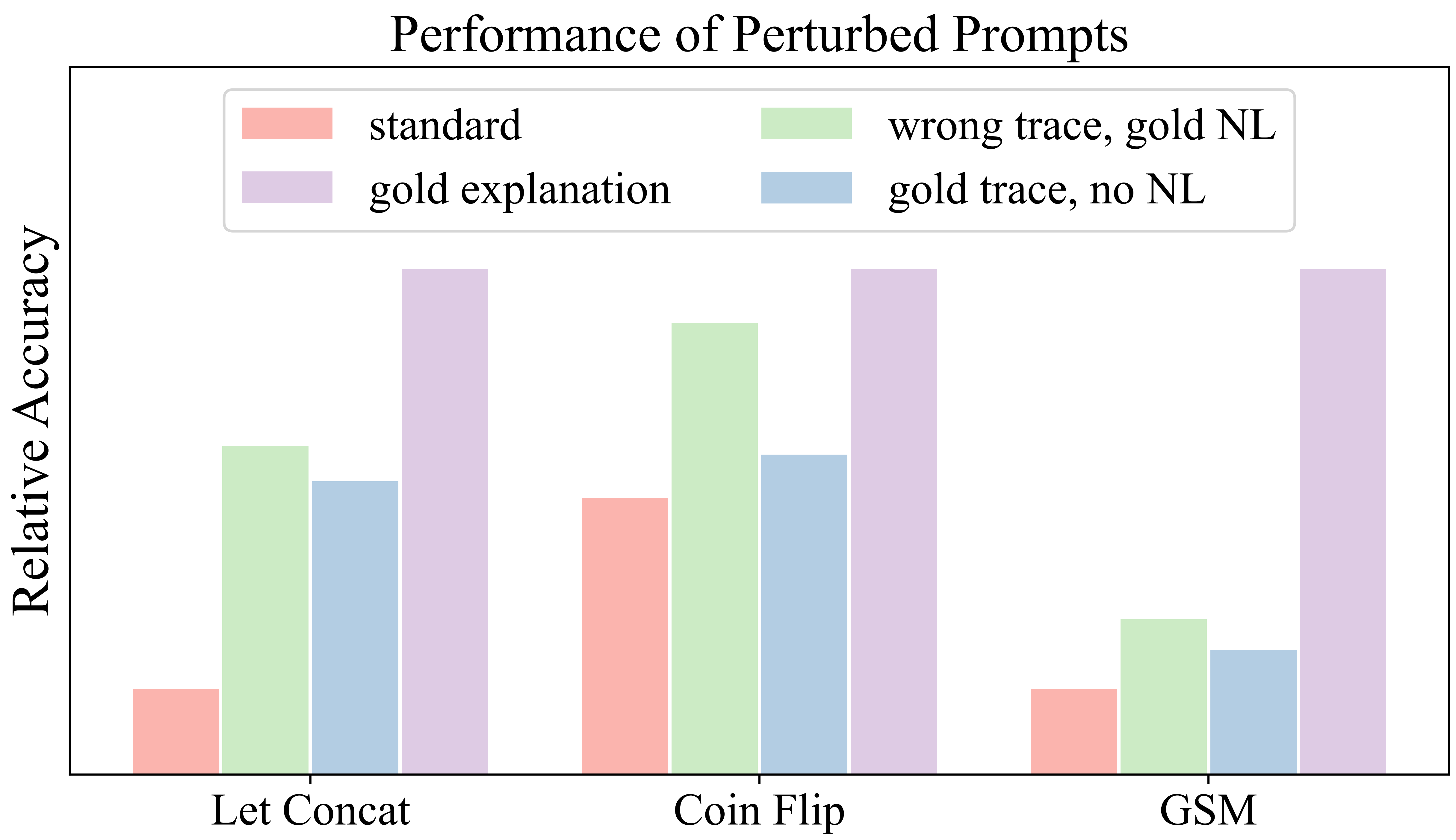
- Do LMs "follow" explanations?
- Probing LLMs with perturbed explanations
  - Perturbing **Computation Trace**
  - Perturbing **Natural Language**

Question	Take the last letters of the words in "Bill Gates" and concatenate them.
Gold Explanation **Trace** **NL**	The last letter of "Bill" is letter"l". The last of "Gates" is "s". Concatenating "l" and "s" is "ls". So the answer is ls.
Perturbing Trace	The last letter of "Bill" is letter " ". The last of "Gates" is " ". Concatenating "l" and "s" is "ls". So the answer is ls.
Perturbing NL	"Bill","l","Gates","s","l","s","ls". So the answer is ls.

Ye et al. (2022)

What Makes Explanations Effective?

- Do LMs “follow” explanations? How do explanations work for in-context-learning?
  - YES. Perturbing either trace or NL leads to performance degradation.
  - But perturbed explanations are still beneficial compared to not using explanations at all



Ye et al. (2022)

What Makes A Good Set of Explanations?

- Given a test query , we study how to form a maximally effective set of exemplars T=(q,e,a)
  - Interplay between query and exemplar**: relevance** (using more relevant examples)
  - Interplay between exemplars in the set: **complementarity**

Test Query:
Q: Peter bought 20 popsicles at $0.25 each. He bought 4 ice cream bars at $0.50 each. How much did he pay in total?
A: 0.25 * 20 = 5. 0.5 * 4 = 2. 5 + 2 = 7. The answer is 7.

Complementary

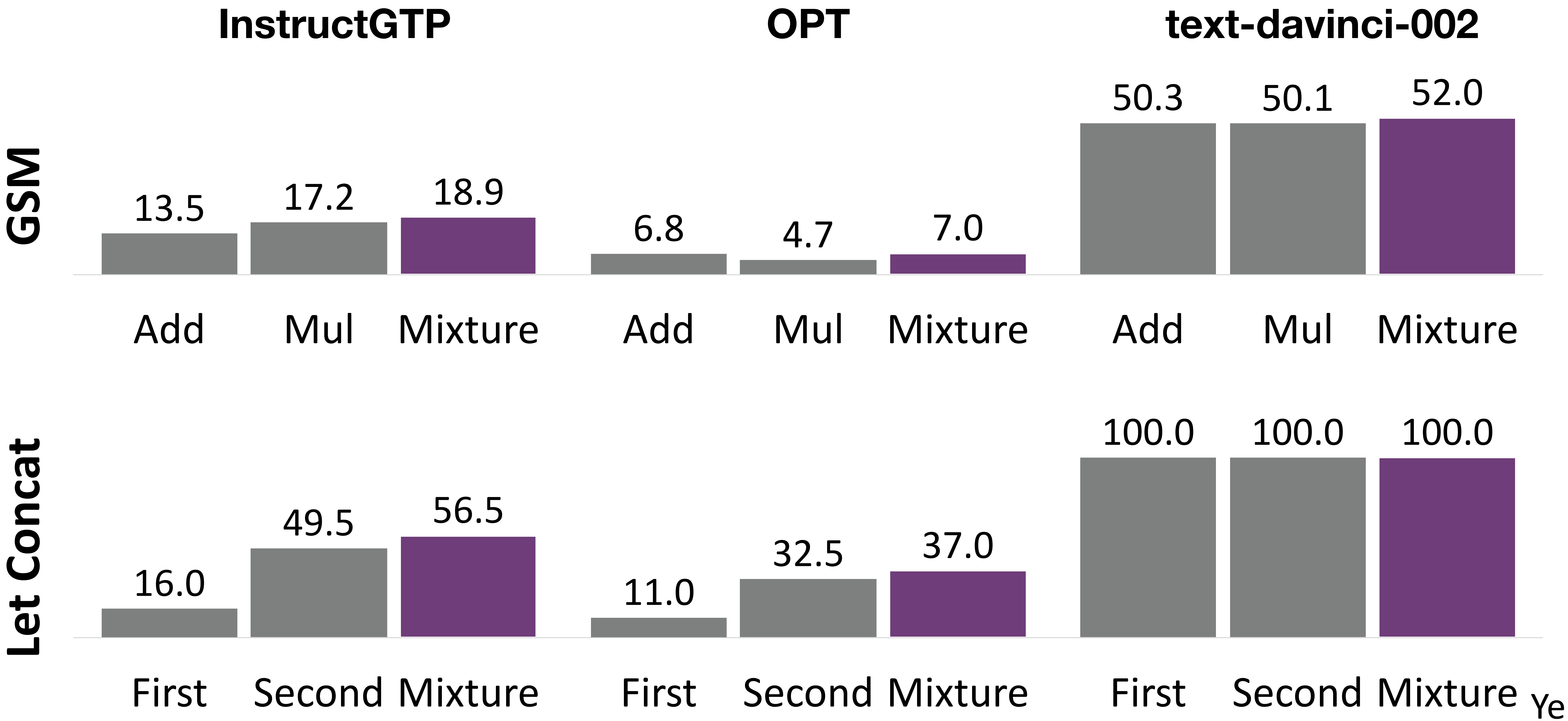
Addition Exemplars:
Q: Marion received 20 more turtles than Martha. If Martha received 40 turtles, how many turtles did they receive together?
A: 20 + 40 = 60. 60 + 40 = 100. The answer is 100.

Multiplication Exemplars:
Q: Car Wash Company cleans 80 cars per day. They make $5 per car washed. How much money will they make in 5 days?
A: 8 * 5 = 40. 40 * 5 = 2000. The answer is 2000

Ye et al. (2022)

What Makes A Good Set of Explanations?

- We test whether LLMs can benefit from complementarity of exemplars
- Complementary exemplar sets lead to better performance (in the paper: algorithm for selecting these!)



Ye et al. (2022)

Takeaways

- Chain-of-thought prompting (zero- and few-shot) can work well for tasks involving reasoning, especially mathematical reasoning and textual question answering with multiple steps

- Several things needed to improve them, such as self-consistency and the ability to use other resources like code execution or APIs

- Next time: RLHF, makes models better at zero-shot prompting and producing well-structured chain-of-thought responses