

Canonical string reduction.salvo errore
et omissione

This note addresses the problem of how to characterize an intermediate machine state during the evaluation of a SASL expression. Addressing that problem without any specific implementation in mind and observing that both the initial state and the answer are legitimate SASL expressions, I shall try to characterize the relevant intermediate states as SASL expressions as well. In doing so I hope to design such a strict scheme for using scopes that some light is shed on the problem of garbage detection.

I have to adapt SASL's syntax to my purpose. Instead of writing

from 0
 where from n = n : from (n+1)

I shall write

[[from with from =
 [[n : from (n+1) with n =]]
]] 0

The inner block on the middle line delineates the scope of n ; the fact that it ends on "with n =]]" indicates that it represents what some readers may

know better as the λ -expression $\lambda n.(n: \text{from } (n+1))$. The outer block delineates the scope of the identifier `from`. Its first occurrence states that the whole block stands for the object locally known as "from"; "with `from =`" introduces a definition of the object locally known as "from"; the object being a function, its definition then follows as a λ -expression. Note the contrast with the original SASL program: there the whole expression "`from 0`" was defined within the scope of "from", while in our version the scope of "from" is chosen as small as possible.

We shall do our experiments with a slightly more ambitious program, viz. the program for the tabulation of `fusc`, inspired by J.L.A. van de Snepscheut, designed by A.J.M. van Gasteren, and using the function "zip" as simplified by F.E.J. Kruseman Aretz.

In regular SASL the text could be

$$\begin{array}{l}
 x \\
 \text{where } x = 1 : \text{zip } x \text{ (elf } x) \\
 \quad \text{where zip } (p:q) \text{ } r = p : \text{zip } r \text{ } q \\
 \quad \quad \text{elf}(a:b:c) = a+b : \text{elf}(b:c)
 \end{array}$$

The above definition fails to express very directly - i.e. otherwise than by the recognition of the pattern "b:c" - that the tail of the original argument of `elf` is the argument of the recursive call.

In our notation, we would write

$\llbracket x \text{ with } x = 1 :$	(0)
$\llbracket zip \text{ with } zip =$	(1)
$\llbracket p :$	(2)
$\llbracket zip \ r \ q \ \text{with } r = \rrbracket \llbracket \text{with } p : q =$	(3)
\rrbracket	(4)
$\rrbracket x$	(5)
$(\llbracket elf \ \text{with } elf =$	(6)
$\llbracket a +$	(7)
$\llbracket b \ \text{with } b : ? = y \rrbracket : elf \ y \ \text{with } a : y =$	(8)
\rrbracket	(9)
$\rrbracket x)$	(10)
\rrbracket	(11)

The scope of x extends over 0-11; the scope of zip extends over 1-5; the scope of $p:q$ extends over 2-4; the scope of r is on line 3; the scope of elf extends over 6-10; the scope of $a:y$ extends over 7-9; the scope of b is on line 8.

The definition of zip on 2-4 is arrived at by successive abstraction. From

$$zip \ (p:q) \ r = p : zip \ r \ q$$

we derive, by abstracting first from r ,

$$zip \ (p:q) = p : \llbracket zip \ r \ q \ \text{with } r = \rrbracket$$

Here, the proper scope for r has been determined as follows: the placing of " $\llbracket \text{with } r = \rrbracket$ " is prescribed by our rule for λ -abstraction, the placing of the

corresponding "[[" is determined by the rule that the scope coincides with the smallest syntactic unit containing all occurrences of the variable in question. Here its place reflects that functional application is left-associative, i.e. that $zip\ r\ q$ is short for $((zip\ r)\ q)$.

On line 8, $[[b\ \underline{with}\ b:?=y]]$ is no more than a complicated way of writing "hd y". The convention followed seems consistent - it is, in terms of number of characters needed, clumsy, but that is of no relevance here: we are not proposing a notation to be programmed in.

Things defined within a scope are significant within that scope. Our final result should have global significance. This observation presents the process of evaluation as exporting successive elements of x - which is a continued concatenation of integers - outside the scope of the local terminology. (We had already decided to choose our scopes as small as possible; exporting as much as possible from them is a natural extension. It can be claimed that we already did so, when we replaced

by
$$p: [[zip\ r\ q\ \underline{with}\ r=]] \ . \)$$

Evaluation of an expression can now be viewed

as applying such reductions (i.e. semantics preserving transformations) that lead to export from a scope of values global with respect to that scope.

In this case we can do that by substitution. We have an expression of the form

$$[[x \text{ with } x = 1: E(x)]]$$

The substitution $x = 1: w$ - meaningful because "1" has a meaning outside the scope of x - leads to the equivalent, but further evaluated expression

$$1: [[w \text{ with } w = [[E(x) \text{ with } x = 1: w]]]]$$

The inner bracket pair delineates the scope of x , the outer bracket pair delineates the scope of w .

Applying the substitution $x = 1: w$ we get

$$\begin{aligned}
 1: & [[w \text{ with } w = & (0) \\
 & [[[zip \text{ with } zip = & (1) \\
 & & [[p: [[zip \ r \ q \ \text{with } r =]]] \ \text{with } p: q =]]] & (2) \\
 & &]]] \ x & (3) \\
 & ([[\text{elf} \ \text{with} \ \text{elf} = & (4) \\
 & & [[a + [[b \ \text{with} \ b: ? = y]]] : \text{elf} \ y \ \text{with} \ a: y =]]] & (5) \\
 & &]]] \ x) \ \text{with} \ x = 1: w & (6) \\
 & &]]] & (7) \\
 &]]] & (8)
 \end{aligned}$$

This is, to my feeling, not the moment to eliminate x

- by substituting $1:w$ for it - since, within its scope, x is referenced twice. The next thing to do is to unfold zip , i.e. replacing its outer occurrence by its definition and inserting its definition at its inner occurrence, i.e. replacing lines (1), (2), and (3) by

$$\begin{aligned} & \llbracket \llbracket p : \llbracket \llbracket zip \text{ with } zip = \\ & \quad \llbracket p : \llbracket zip \ r \ q \text{ with } r = \rrbracket \text{ with } p : q = \rrbracket \\ & \quad \rrbracket r \ q \text{ with } r = \\ & \quad \rrbracket \text{ with } p : q = \\ & \rrbracket x \end{aligned}$$

Application of the above unfolded zip to $x = 1:w$ leads to the elimination of the outer $p:q$, i.e. the above can be simplified to

$$\begin{aligned} & \llbracket 1 : \llbracket \llbracket zip \text{ with } zip = \\ & \quad \llbracket p : \llbracket zip \ r \ q \text{ with } r = \rrbracket \text{ with } p : q = \rrbracket \\ & \quad \rrbracket r \ w \text{ with } r = \\ & \rrbracket \end{aligned}$$

We can now eliminate x by replacing its last occurrence by $(1:w)$. The above being applied to the expression on 4-6, we also eliminate in the above the outer r , which is only referenced once. The combined result of these eliminations is

$$\begin{aligned}
 &1: \llbracket w \text{ with } w = 1 : \\
 &\quad \llbracket \text{zip with zip} = \\
 &\quad \quad \llbracket p : \llbracket \text{zip } r \ q \text{ with } r = \rrbracket \text{ with } p : q = \rrbracket \\
 &\quad \rrbracket \\
 &\quad (\llbracket \text{elf with elf} = \\
 &\quad \quad \llbracket a + \llbracket b \text{ with } b : ? = y \rrbracket : \text{elf } y \text{ with } a : y = \rrbracket \\
 &\quad \rrbracket (1 : w)) \ w \\
 &\rrbracket
 \end{aligned}$$

The next step is one we have seen before, viz substitution. This time we substitute something for w , say $1 : x$. The result is

$$\begin{aligned}
 &1 : 1 : \\
 &\llbracket x \text{ with } x = \\
 &\quad \llbracket \llbracket \text{zip with zip} = \\
 &\quad \quad \llbracket p : \llbracket \text{zip } r \ q \text{ with } r = \rrbracket \text{ with } p : q = \rrbracket \\
 &\quad \rrbracket \\
 &\quad (\llbracket \text{elf with elf} = \\
 &\quad \quad \llbracket a + \llbracket b \text{ with } b : ? = y \rrbracket : \text{elf } y \text{ with } a : y = \rrbracket \\
 &\quad \rrbracket (1 : w)) \ w \text{ with } w = 1 : x \\
 &\rrbracket \\
 &\rrbracket
 \end{aligned}$$

Again, I have not felt the liberty of eliminating w , being referenced twice. The next step is to unfold zip again. In order to save writing I have performed the subsequent unfolding of elf as well.

1:1: (0)
 |[x with x = (1)
 |[|[p: (2)
 |[|[zip with zip = (3)
 |[|[|[p: |[zip r q with r =]] with p: q =] (4)
 |[|[] r q with r = (5)
 |[] with p: q = (6)
] (7)
 (|[a + |[b with b: ? = y]] : (8)
 |[e f with e f = (9)
 |[a + |[b with b: ? = y]] : e f y with a: y =] (10)
] y with a: y = (11)
] (1: w) w with w = 1: x (12)
] (13)
] (14)

Now we are ready to eliminate the outer a:y scope. lines 8-12 become then

(1 + |[b with b: ? = w]] :
 |[e f with e f =
 |[a + |[b with b: ? = y]] : e f y with a: y =]
] (w) w with w = 1: x

Now we can do several things. On account of "w=1:x" the top line of the above can be replaced by "(1+1:" and then by "(2:". We can also eliminate the outer p:q scope. They commute; then the outer r scope can be eliminated.

1:1:

$$\begin{aligned}
 & \llbracket x \text{ with } x = 2 : \\
 & \quad \llbracket \llbracket \text{zip with zip} = \\
 & \quad \quad \llbracket p : \llbracket \text{zip } r \text{ } q \text{ with } r = \rrbracket \text{ with } p : q = \rrbracket \\
 & \quad \rrbracket w \\
 & \quad (\llbracket \text{elf with elf} = \\
 & \quad \quad \llbracket a + \llbracket b \text{ with } b : ? = y \rrbracket : \text{elf } y \text{ with } a : y = \rrbracket \\
 & \quad \rrbracket w) \text{ with } w = 1 : x \\
 & \rrbracket \\
 & \rrbracket
 \end{aligned}$$

But now I get into problems. Taking the definitions of zip and elf for granted, the above can be represented as

$$1:1: \llbracket x \text{ with } x = 2 : \llbracket \text{zip } w \text{ (elf } w) \text{ with } w = 1 : x \rrbracket \rrbracket$$

and in a really demand-driven mode we would substitute $x = 2 : y$ and we would get

1:1:2:

$$\begin{aligned}
 & \llbracket y \text{ with } y = \\
 & \quad \llbracket \llbracket \text{zip } w \text{ (elf } w) \text{ with } w = 1 : x \rrbracket \text{ with } x = 2 : y \rrbracket \\
 & \rrbracket
 \end{aligned}$$

One way to proceed would be to eliminate the scope of x :

1:1:2:

$$\llbracket y \text{ with } y = \llbracket \text{zip } w \text{ (elf } w) \text{ with } w = 1 : 2 : y \rrbracket \rrbracket$$

followed by an application of zip

1:1:2:

$\llbracket \underline{y} \text{ with } y = 1 : \llbracket \text{zip} (\text{elf } w) (2:y) \text{ with } w = 1:2:y \rrbracket \rrbracket$

followed by removal of w 's single reference:

1:1:2:

$\llbracket \underline{y} \text{ with } y = 1 : \text{zip} (\text{elf} (1:2:y)) (2:y) \rrbracket$.

On the other hand, in a truly demand-driven way, we could have applied zip, using $w = 1:x$

1:1:2:

$\llbracket \underline{y} \text{ with } y = 1 : \llbracket \llbracket \text{zip} (\text{elf } w) \times \text{with } w = 1:x \rrbracket \text{ with } x = 2:y \rrbracket \rrbracket$

followed by a removal of w 's single reference

1:1:2:

$\llbracket \underline{y} \text{ with } y = 1 : \llbracket \text{zip} (\text{elf} (1:x)) \times \text{with } x = 2:y \rrbracket \rrbracket$

I like that last form better, and consider the early removal of the scope of x - on account of "single occurrence" - a mistake. Let us, therefore, try only to substitute when forced to do so by a function application. Let us redo the game, but now in shorthand.

$$\llbracket x \text{ with } x = 1 : \text{zip } x \text{ (elf } x) \rrbracket$$

The introduction $x = 1 : w$ leads to

$$1 : \llbracket w \text{ with } w = \llbracket \text{zip } x \text{ (elf } x) \text{ with } x = 1 : w \rrbracket \rrbracket$$

Application of zip forces the first x to be substituted

$$1 : \llbracket w \text{ with } w = 1 : \llbracket \text{zip (elf } x) w \text{ with } x = 1 : w \rrbracket \rrbracket$$

The introduction of $w = 1 : y$ leads to

$$1 : 1 : \llbracket y \text{ with } y = \llbracket \llbracket \text{zip (elf } x) w \text{ with } x = 1 : w \rrbracket \text{ with } w = 1 : y \rrbracket \rrbracket$$

Application of elf is now possible, and the scope for x disappears

$$1 : 1 : \llbracket y \text{ with } y = \llbracket \text{zip } (2 : (\text{elf } w)) w \text{ with } w = 1 : y \rrbracket \rrbracket$$

Now zip can be applied

$$1 : 1 : \llbracket y \text{ with } y = 2 : \llbracket \text{zip } w \text{ (elf } w) \text{ with } w = 1 : y \rrbracket \rrbracket$$

Substituting $y = 2 : x$ we get

$$1 : 1 : 2 :$$

$$\llbracket x \text{ with } x = \llbracket \llbracket \text{zip } w \text{ (elf } w) \text{ with } w = 1 : y \rrbracket \text{ with } y = 2 : x \rrbracket \rrbracket$$

Next we apply zip , using $w = 1 : y$ once

1:1:2:

$$\llbracket x \text{ with } x=1 : \llbracket \llbracket \text{zip } (e|f\ w) \ y \text{ with } w=1:y \rrbracket \llbracket \text{with } y=2:x \rrbracket \rrbracket$$

Etc. In this way the dilemma does not emerge. We need, of course, more and more identifiers, but that does not matter. We could use $f[k:k \geq 0]$; $f[k]$ stands then for $(\text{el})^k f$.

It is very well possible that the above example is much too simple. It suggests me, that garbage detection should not be a serious problem, and that should make me suspicious.

Note. I have not proved that with my last regime the dilemma does not arise; for the time being I am willing to believe it. (I am very willing to believe that the dilemma does not arise this way, for it is exactly the place where I got stuck a year ago). (End of Note.)

Plataanstraat 5
5671 AL NUENEN
The Netherlands

16 December 1981
prof. dr. Edsger W. Dijkstra
Burroughs Research Fellow