

# Pushdown Automata and Context-Free Grammars

Read K & S 3.4.

Read Supplementary Materials: Context-Free Languages and Pushdown Automata: Context-Free Languages and PDAs.

Do Homework 14.

## PDAs and Context-Free Grammars

**Theorem:** The class of languages accepted by PDAs is exactly the class of context-free languages.

Recall: context-free languages are languages that can be defined with context-free grammars.

**Restate theorem:** Can describe with context-free grammar  $\Leftrightarrow$  Can accept by PDA

### Going One Way

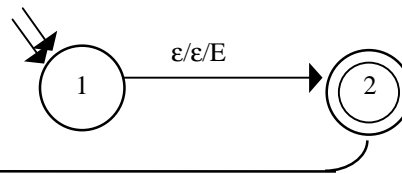
**Lemma:** Each context-free language is accepted by some PDA.

Proof (by construction by “top-down parse” conversion algorithm):

The idea: Let the stack do the work.

Example: Arithmetic expressions

$E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$



- |                          |                         |
|--------------------------|-------------------------|
| (1) (2, ε, E), (2, E+T)  | (7) (2, id, id), (2, ε) |
| (2) (2, ε, E), (2, T)    | (8) (2, (, ( ), (2, ε)  |
| (3) (2, ε, T), (2, T*F)  | (9) (2, ), ) ), (2, ε)  |
| (4) (2, ε, T), (2, F)    | (10) (2, +, +), (2, ε)  |
| (5) (2, ε, F), (2, (E) ) | (11) (2, *, *), (2, ε)  |
| (6) (2, ε, F), (2, id)   |                         |

### The Top-down Parse Conversion Algorithm

Given  $G = (V, \Sigma, R, S)$

Construct  $M$  such that  $L(M) = L(G)$

$M = (\{p, q\}, \Sigma, V, \Delta, p, \{q\})$ , where  $\Delta$  contains:

- (1)  $((p, \epsilon, \epsilon), (q, S))$   
push the start symbol on the stack
- (2)  $((q, \epsilon, A), (q, x))$  for each rule  $A \rightarrow x$  in  $R$   
replace left hand side with right hand side
- (3)  $((q, a, a), (q, \epsilon))$  for each  $a \in \Sigma$   
read an input character and pop it from the stack

The resulting machine can execute a leftmost derivation of an input string in a top-down fashion.

### Example of the Algorithm

$$L = \{a^n b^m a^n\}$$

(1)	$S \rightarrow \epsilon$	0	$(p, \epsilon, \epsilon), (q, S)$
(2)	$S \rightarrow B$	1	$(q, \epsilon, S), (q, \epsilon)$
(3)	$S \rightarrow aSa$	2	$(q, \epsilon, S), (q, B)$
(4)	$B \rightarrow \epsilon$	3	$(q, \epsilon, S), (q, aSa)$
(5)	$B \rightarrow bB$	4	$(q, \epsilon, B), (q, \epsilon)$
		5	$(q, \epsilon, B), (q, bB)$
		6	$(q, a, a), (q, \epsilon)$
		7	$(q, b, b), (q, \epsilon)$

input = a a b b a a

<i>trans</i>	<i>state</i>	<i>unread input</i>	<i>stack</i>
	p	a a b b a a	$\epsilon$
0	q	a a b b a a	S
3	q	a a b b a a	aSa
6	q	a b b a a	Sa
3	q	a b b a a	aSaa
6	q	b b a a	Saa
2	q	b b a a	Baa
5	q	b b a a	bBaa
7	q	b a a	Baa
5	q	b a a	bBaa
7	q	a a	Baa
4	q	a a	aa
6	q	a	a
6	q	$\epsilon$	$\epsilon$

### Another Example

$$L = \{a^n b^m c^p d^q : m + n = p + q\}$$

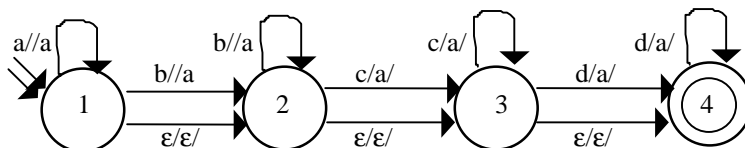
(1)	$S \rightarrow aSd$	0	$(p, \epsilon, \epsilon), (q, S)$
(2)	$S \rightarrow T$	1	$(q, \epsilon, S), (q, aSd)$
(3)	$S \rightarrow U$	2	$(q, \epsilon, S), (q, T)$
(4)	$T \rightarrow aTc$	3	$(q, \epsilon, S), (q, U)$
(5)	$T \rightarrow V$	4	$(q, \epsilon, T), (q, aTc)$
(6)	$U \rightarrow bUd$	5	$(q, \epsilon, T), (q, V)$
(7)	$U \rightarrow V$	6	$(q, \epsilon, U), (q, bUd)$
(8)	$V \rightarrow bVc$	7	$(q, \epsilon, U), (q, V)$
(9)	$V \rightarrow \epsilon$	8	$(q, \epsilon, V), (q, bVc)$
		9	$(q, \epsilon, V), (q, \epsilon)$
		10	$(q, a, a), (q, \epsilon)$
		11	$(q, b, b), (q, \epsilon)$
		12	$(q, c, c), (q, \epsilon)$
		13	$(q, d, d), (q, \epsilon)$

input = a a b c d d

### The Other Way—Build a PDA Directly

$$L = \{a^n b^m c^p d^q : m + n = p + q\}$$

(1)	$S \rightarrow aSd$	(6)	$U \rightarrow bUd$
(2)	$S \rightarrow T$	(7)	$U \rightarrow V$
(3)	$S \rightarrow U$	(8)	$V \rightarrow bVc$
(4)	$T \rightarrow aTc$	(9)	$V \rightarrow \epsilon$
(5)	$T \rightarrow V$		



input = a a b c d d

### Notice Nondeterminism

Machines constructed with the algorithm are often nondeterministic, even when they needn't be. This happens even with trivial languages.

Example:  $L = a^n b^n$

A grammar for L is:

- [1]  $S \rightarrow aSb$
- [2]  $S \rightarrow \epsilon$

A machine M for L is:

- (0)  $((p, \epsilon, \epsilon), (q, S))$
- (1)  $((q, \epsilon, S), (q, aSb))$
- (2)  $((q, \epsilon, S), (q, \epsilon))$
- (3)  $((q, a, a), (q, \epsilon))$
- (4)  $((q, b, b), (q, \epsilon))$

But transitions 1 and 2 make M nondeterministic.

A **nondeterministic transition group** is a set of two or more transitions out of the same state that can fire on the same configuration. A **PDA is nondeterministic** if it has any nondeterministic transition groups.

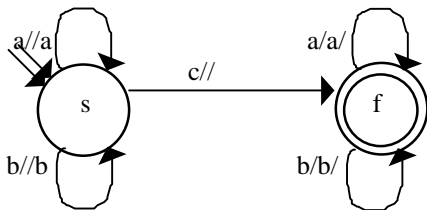
A directly constructed machine for L:

### Going The Other Way

**Lemma:** If a language is accepted by a pushdown automaton, it is a context-free language (i.e., it can be described by a context-free grammar).

Proof (by construction)

Example:  $L = \{wcw^R : w \in \{a, b\}^*\}$



$\Delta$  contains:

- $((s, a, \epsilon), (s, a))$
- $((s, b, \epsilon), (s, b))$
- $((s, c, \epsilon), (f, \epsilon))$
- $((f, a, a), (f, \epsilon))$
- $((f, b, b), (f, \epsilon))$

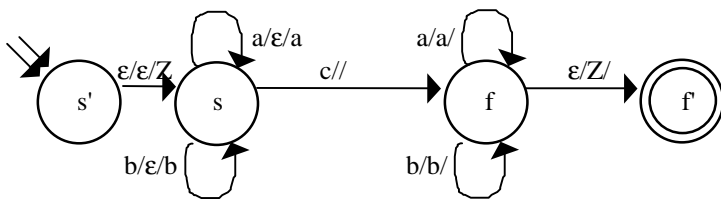
$M = (\{s, f\}, \{a, b, c\}, \{a, b\}, \Delta, s, \{f\})$ , where:

### First Step: Make M Simple

A PDA M is simple iff:

1. there are no transitions into the start state, and
2. whenever  $((q, x, \beta), (p, \gamma))$  is a transition of M and q is not the start state, then  $\beta \in \Gamma$ , and  $|\gamma| \leq 2$ .

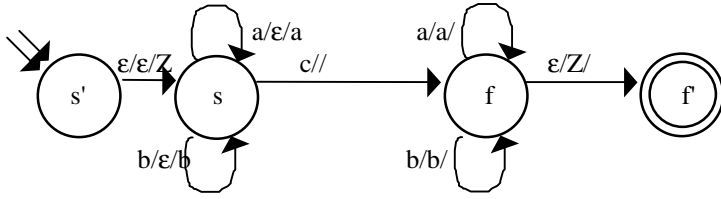
Step 1: Add s' and f':



Step 2:

- (1) Assure that  $|\beta| \leq 1$ .
- (2) Assure that  $|\gamma| \leq 2$ .
- (3) Assure that  $|\beta| = 1$ .

## Making M Simple



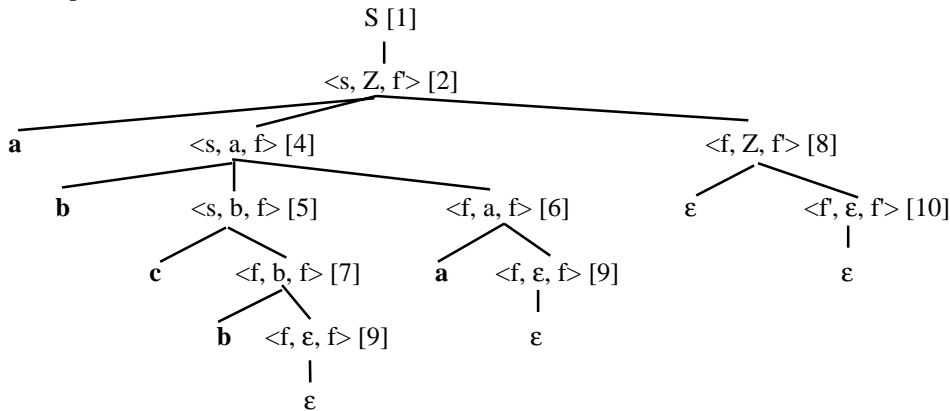
$M = (\{s, f, s', f'\}, \{a, b, c\}, \{a, b, Z\}, \Delta, s', \{f'\})$ ,  $\Delta =$

	((s', $\epsilon$ , $\epsilon$ ), (s, Z))
((s, a, $\epsilon$ ), (s, a))	((s, a, Z), (s, aZ))
	((s, a, a), (s, aa))
	((s, a, b), (s, ab))
((s, b, $\epsilon$ ), (s, b))	((s, b, Z), (s, bZ))
	((s, b, a), (s, ba))
	((s, b, b), (s, bb))
((s, c, $\epsilon$ ), (f, $\epsilon$ ))	((s, c, Z), (f, Z))
	((s, c, a), (f, a))
	((s, c, b), (f, b))
((f, a, a), (f, $\epsilon$ ))	((f, a, a), (f, $\epsilon$ ))
((f, b, b), (f, $\epsilon$ ))	((f, b, b), (f, $\epsilon$ ))
	((f, $\epsilon$ , Z), (f, $\epsilon$ ))

### Second Step - Creating the Productions

The basic idea -- simulate a leftmost derivation of M on any input string.

Example:            abcba



If the nonterminal  $\langle s_1, X, s_2 \rangle \Rightarrow^* w$ , then the PDA starts in state  $s_1$  with (at least)  $X$  on the stack and after consuming  $w$  and popping the  $X$  off the stack, it ends up in state  $s_2$ .

Start with the rule:

$S \rightarrow \langle s, Z, f' \rangle$  where  $s$  is the start state,  $f'$  is the (introduced) final state and  $Z$  is the stack bottom symbol.

Transitions  $((s_1, a, X), (s_2, YX))$  become a set of rules:

$\langle s_1, X, q \rangle \rightarrow a \langle s_2, Y, r \rangle \langle r, X, q \rangle$  for  $a \in \Sigma \cup \{\epsilon\}$ ,  $\forall q, r \in K$

Transitions  $((s_1, a, X), (s_2, Y))$  becomes a set of rules:

$\langle s_1, X, q \rangle \rightarrow a \langle s_2, Y, q \rangle$  for  $a \in \Sigma \cup \{\epsilon\}$ ,  $\forall q \in K$

Transitions  $((s_1, a, X), (s_2, \epsilon))$  become a rule:

$\langle s_1, X, s_2 \rangle \rightarrow a$  for  $a \in \Sigma \cup \{\epsilon\}$

## Creating Productions from Transitions

	$S \rightarrow \langle s, Z, f \rangle$	[1]
$((s', \epsilon, \epsilon), (s, Z))$	$\langle s, Z, f \rangle \rightarrow a \langle s, a, f \rangle \langle f, Z, f \rangle$	[2]
$((s, a, Z), (s, aZ))$	$\langle s, Z, s \rangle \rightarrow a \langle s, a, f \rangle \langle f, Z, s \rangle$	[x]
	$\langle s, Z, f \rangle \rightarrow a \langle s, a, s \rangle \langle s, Z, f \rangle$	[x]
	$\langle s, Z, s \rangle \rightarrow a \langle s, a, s \rangle \langle s, Z, f \rangle$	[x]
	$\langle s, Z, s' \rangle \rightarrow a \langle s, a, f \rangle \langle f, Z, s' \rangle$	[x]
$((s, a, a), (s, aa))$	$\langle s, a, f \rangle \rightarrow a \langle s, a, f \rangle \langle f, a, f \rangle$	[3]
$((s, a, b), (s, ab))$	...	
$((s, b, Z), (s, bZ))$	...	
$((s, b, a), (s, ba))$	$\langle s, a, f \rangle \rightarrow b \langle s, b, f \rangle \langle f, a, f \rangle$	[4]
$((s, b, b), (s, bb))$	...	
$((s, c, Z), (f, Z))$	...	
$((s, c, a), (f, a))$	$\langle s, a, f \rangle \rightarrow c \langle f, a, f \rangle$	
$((s, c, b), (f, b))$	$\langle s, b, f \rangle \rightarrow c \langle f, b, f \rangle$	[5]
$((f, a, a), (f, \epsilon))$	$\langle f, a, f \rangle \rightarrow a \langle f, \epsilon, f \rangle$	[6]
$((f, b, b), (f, \epsilon))$	$\langle f, b, f \rangle \rightarrow b \langle f, \epsilon, f \rangle$	[7]
$((f, \epsilon, Z), (f', \epsilon))$	$\langle f, Z, f' \rangle \rightarrow \epsilon \langle f', \epsilon, f' \rangle$	[8]
	$\langle f, \epsilon, f \rangle \rightarrow \epsilon$	[9]
	$\langle f' \epsilon, f' \rangle \rightarrow \epsilon$	[10]

## Comparing Regular and Context-Free Languages

### Regular Languages

- regular exprs.
  - or
- regular grammars
- recognize
- = DFSAs

### Context-Free Languages

- context-free grammars
- parse
- = NDPDAs