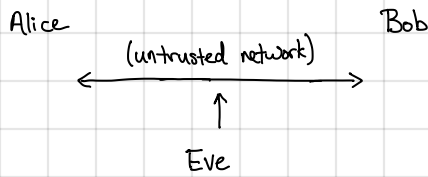


CS 6501 Week 2: Symmetric Cryptography

Goal of secure communication:



How do Alice and Bob communicate over an untrusted network?

Properties we might care about:

- Message confidentiality: Eve should not learn messages
- Message integrity: Eve should not be able to modify messages

This week: focus on symmetric cryptography (e.g., assume Alice and Bob have a shared key)

(also called a cipher)

we hide the (implicit) dependence on the security parameter

Definition. A symmetric encryption scheme defined over a key-space \mathcal{K} , message space \mathcal{M} and ciphertext space \mathcal{C} is a tuple of efficient algorithms (KeyGen, Encrypt, Decrypt) with the following properties:

- KeyGen(1^λ) \rightarrow k : On input the security parameter λ , outputs a key $k \in \mathcal{K}$
 - Encrypt(k, m) \rightarrow c : On input a key $k \in \mathcal{K}$ and a message $m \in \mathcal{M}$, output a ciphertext $c \in \mathcal{C}$
 - Decrypt(k, c) \rightarrow m : On input a key $k \in \mathcal{K}$ and a ciphertext $c \in \mathcal{C}$, output a message $m \in \mathcal{M}$
- can be randomized algorithms
 typically a deterministic algorithm

Correctness. for all $\lambda \in \mathbb{N}$ and $m \in \mathcal{M}$:

$$\Pr[k \leftarrow \text{KeyGen}(1^\lambda) : \text{Decrypt}(k, \text{Encrypt}(k, m)) = m] = 1.$$

"Decryption recovers the message."

can allow for $\text{negl}(\lambda)$ failure probability

(i.e., this relation holds with prob $1 - \text{negl}(\lambda)$)

called "statistical correctness" instead of "perfect correctness"

"one-wayness" is not enough: leaking partial information can be problematic

How to define security? What is the property that we want? Eve learns nothing about the message from its ciphertext.

Perfect security. for all $\lambda \in \mathbb{N}$ and $m_0, m_1 \in \mathcal{M}$ and $c \in \mathcal{C}$:

$$\Pr[k \leftarrow \text{KeyGen}(1^\lambda) : \text{Encrypt}(k, m_0) = c] = \Pr[k \leftarrow \text{KeyGen}(1^\lambda) : \text{Encrypt}(k, m_1) = c]$$

given c , adversary learns nothing about underlying message (no matter how powerful the adversary is!)

A cipher with perfect security: the one-time pad (OTP):

$$\begin{aligned} \mathcal{K} &= \{0, 1\}^\lambda & \text{KeyGen}(1^\lambda) &: \text{output } k \in \mathcal{K} \\ \mathcal{M} &= \{0, 1\}^\lambda & \text{Encrypt}(k, m) &: \text{output } k \oplus m \\ \mathcal{C} &= \{0, 1\}^\lambda & \text{Decrypt}(k, c) &: \text{output } k \oplus c \end{aligned}$$

bitwise xor operation (addition modulo 2)

Correctness. Take any $k \in \{0, 1\}^\lambda$. Then for any $m \in \{0, 1\}^\lambda$:

$$\text{Decrypt}(k, \text{Encrypt}(k, m)) = k \oplus (k \oplus m) = m$$

Perfect Secrecy. Take any $m \in \{0, 1\}^\lambda$ and $c \in \{0, 1\}^\lambda$. Then,

$$\begin{aligned} \Pr[k \leftarrow \text{KeyGen}(1^\lambda) : \text{Encrypt}(k, m) = c] &= \Pr[k \in \{0, 1\}^\lambda : k \oplus m = c] \\ &= \Pr[k \in \{0, 1\}^\lambda : k = m \oplus c] = \frac{1}{2^\lambda}. \end{aligned}$$

OTP is very simple (just computing xors) and provides perfect secrecy, so are we done?

NO! The keys in a OTP are as long as the message itself.

though key can be shared in advance
 ↳ If we had a mechanism to share the key securely, can just share the message instead.

Theorem (Shannon). If an encryption scheme with key-space K and message space M satisfies perfect secrecy, then $|K| \geq |M|$

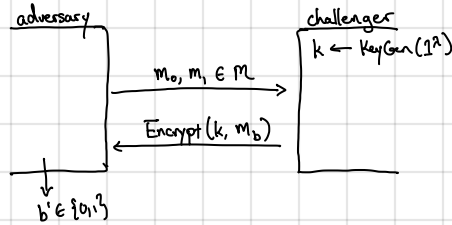
Proof (Sketch). Follows by a "counting argument". Suppose $|K| < |M|$. Let $c = \text{Encrypt}(k, m)$ for some $k \in K$ and $m \in M$. Ciphertext c can decrypt to at most $|K| < |M|$ possible messages, so there exist $m' \in M$ such that $\text{Encrypt}(k, m') \neq c$ for all $k \in K$ (by correctness).

What if we want short keys? Have to settle for weaker security. Compromise: require security against computationally-bounded adversaries.

Semantic security. An encryption scheme $(\text{KeyGen}, \text{Encrypt}, \text{Decrypt})$ is semantically secure if for all efficient adversaries A ,

$$\text{SS Adv}[A] = |\Pr[W_0=1] - \Pr[W_1=1]| = \text{negl}(\lambda)$$

where we define W_b (for $b \in \{0,1\}$) to be the output of the semantic security game:

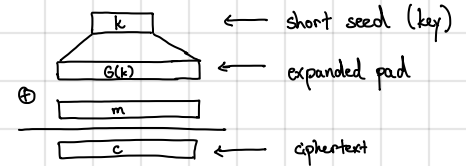


← output of experiment W_b [Adversary's goal is to guess which of (m_0, m_1) was encrypted]

Stream ciphers: We can construct semantically-secure encryption schemes from PRGs. This is often called a "stream cipher."

Let $G: \{0,1\}^\lambda \rightarrow \{0,1\}^l$ be a PRG where $l \gg \lambda$. We define the stream cipher as follows:

$$\begin{aligned} K &= \{0,1\}^\lambda & \text{KeyGen}(1^\lambda) &: \text{output } k \leftarrow \{0,1\}^\lambda \\ M &= \{0,1\}^l & \text{Encrypt}(k, m) &: \text{output } c \leftarrow G(k) \oplus m \\ C &= \{0,1\}^l & \text{Decrypt}(k, c) &: \text{output } m \leftarrow G(k) \oplus c \end{aligned}$$



Semantic security: $\{k \leftarrow \{0,1\}^\lambda : G(k) \oplus m_0\} \stackrel{?}{\approx} \{r \leftarrow \{0,1\}^l : r \oplus m_0\}$
(Hybrid argument.)

← PRG security
 $\equiv \{r \leftarrow \{0,1\}^l : r \oplus m_1\}$ > OTP
 $\stackrel{?}{\approx} \{k \leftarrow \{0,1\}^\lambda : G(k) \oplus m_1\}$ > PRG security

Summary: A PRG can be used to "implement" (or "compress") a OTP in the setting of computationally-bounded adversaries

Why "one-time" pad? What happens if we reuse the same key (pad) to encrypt multiple messages?

$$\begin{aligned} \text{Suppose } c_0 &= G(k) \oplus m_0 \\ c_1 &= G(k) \oplus m_1 \end{aligned} \Rightarrow c_0 \oplus c_1 = [G(k) \oplus m_0] \oplus [G(k) \oplus m_1] = m_0 \oplus m_1 \leftarrow \text{leaks information about the underlying message!}$$

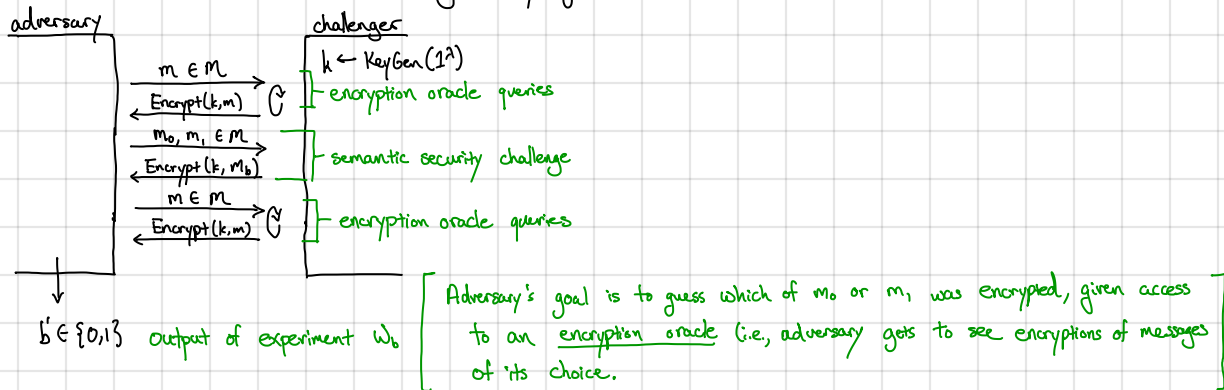
The "two-time pad" is completely insecure! Never use a stream cipher to encrypt multiple messages!

Notice: our security model does not capture this attack. Adversary only gets to see encryption of a single message. To capture reusability, we need a stronger security definition that allows the adversary to see multiple ciphertexts.

CPA security: An encryption scheme $(\text{KeyGen}, \text{Encrypt}, \text{Decrypt})$ is secure against chosen plaintext attacks (CPA-secure) if for all efficient adversaries A ,

$$\text{CPAAdv}[A] = |\Pr[W_0 = 1] - \Pr[W_1 = 1]| = \text{negl}(\lambda)$$

where W_b ($b \in \{0,1\}$) is the output of the following security game



CPA security captures a conservative notion of "multi-message" security: even if adversary gets to choose the message that is encrypted, it cannot break

semantic security.
standard notion of message confidentiality

ciphertexts must be longer than plaintexts.

Implication: CPA-secure encryption schemes must be randomized! OTP and stream ciphers are not CPA-secure.

CPA-secure encryption from PRFs: Let $F: \{0,1\}^n \times \{0,1\}^n \rightarrow \{0,1\}^l$ be a PRF. We define the cipher as follows:

$$K = \{0,1\}^n$$

$$\text{KeyGen}(1^\lambda): \text{output } k \xleftarrow{\mathcal{R}} \{0,1\}^n$$

$$M = \{0,1\}^l$$

$$\text{Encrypt}(k, m): \text{sample } r \xleftarrow{\mathcal{R}} \{0,1\}^n$$

$$C = \{0,1\}^{n+l}$$

$$\text{compute } z \leftarrow F(k, r) \oplus m$$

$$\text{output } C = (r, z)$$

use PRF to derive a (different) random pad for each encryption query

$$\text{Decrypt}(k, C): \text{parse } C = (r, z) \text{ and output } m \leftarrow F(k, r) \oplus z$$

CPA-security: Proceed via hybrid argument:

Hyb_0 : Real game where challenger encrypts m_0

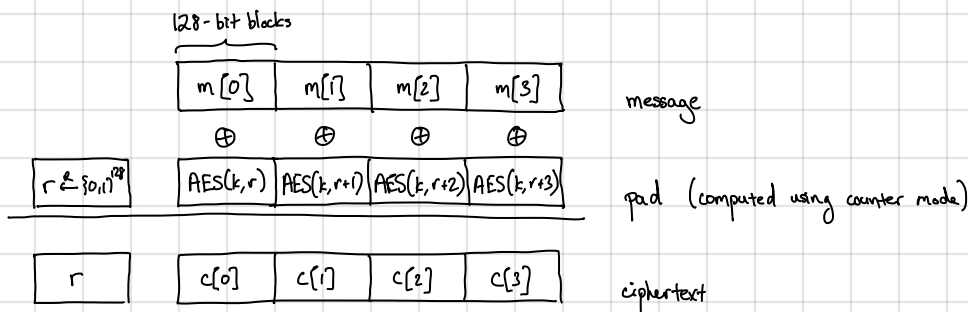
Hyb_1 : Hyb_0 except challenger uses a truly random function $f(\cdot)$ in place of $F(k, \cdot)$

Hyb_2 : Hyb_1 except challenger encrypts m_1

Hyb_3 : Real game where challenger encrypts m_1

$\left. \begin{array}{l} \text{PRF security} \\ \text{Statistically indistinguishable as long as } r^* \\ \text{used to encrypt challenge ciphertext never} \\ \text{appears in encryption query (w.p. } \frac{\text{poly}(\lambda)}{2^\lambda - \text{negl}} \end{array} \right\}$ PRF security

In practice, we have block ciphers (PRPs) with fixed block sizes. For example, $\text{AES}: \{0,1\}^{128} \times \{0,1\}^{128} \rightarrow \{0,1\}^{128}$ is a commonly used block cipher with 128-bit blocks. To encrypt messages longer than 128-bits, we use "randomized counter mode":



Security: As long as no "collision" (repeated block), secure assuming AES is a PRP [thus can use same key to encrypt] $\sim 2^{64}$ blocks

Message integrity: Confidentiality alone not sufficient, also need message integrity. Otherwise adversary can tamper with the message
 (e.g., "Send \$100 to Bob" \rightarrow "Send \$100 to Eve")

Idea: Append a "tag" (also called a "signature") to the message to prove integrity (property we want is tags should be hard to forge)

Message authentication codes (MACs): A message authentication code with key-space \mathcal{K} , message space \mathcal{M} , and tag space \mathcal{T} is a tuple of three algorithms $(\text{KeyGen}, \text{Sign}, \text{Verify})$ with the following properties:

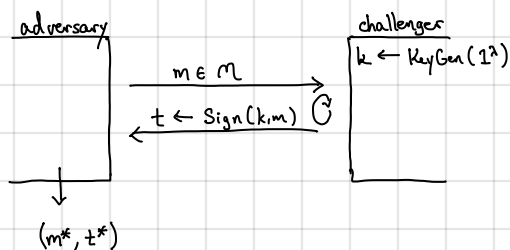
- $\text{KeyGen}(1^\lambda) \rightarrow k$: On input the security parameter λ , outputs a key $k \in \mathcal{K}$
- $\text{Sign}(k, m) \rightarrow t$: On input a key $k \in \mathcal{K}$ and a message $m \in \mathcal{M}$, outputs a tag $t \in \mathcal{T}$
- $\text{Verify}(k, m, t) \rightarrow \{0, 1\}$: On input a key $k \in \mathcal{K}$, a message $m \in \mathcal{M}$, and a tag $t \in \mathcal{T}$, output $b \in \{0, 1\}$

Correctness: for all $\lambda \in \mathbb{N}$ and all messages $m \in \mathcal{M}$,

$$\Pr[k \leftarrow \text{KeyGen}(1^\lambda) : \text{Verify}(k, m, \text{Sign}(k, m)) = 1] = 1.$$

adversary gets to choose messages to be signed

Unforgeability: A MAC $(\text{KeyGen}, \text{Sign}, \text{Verify})$ satisfies existential unforgeability against chosen message attacks (EUF-CMA) if for all efficient adversaries A , $\text{MACAdv}[A] = \Pr[W = 1] = \text{neg}(\lambda)$ where W is the output of the following security game:



Let m_1, \dots, m_Q be the signing queries the adversary submits to the challenger, and let $t_i \leftarrow \text{Sign}(k, m_i)$ be the challenger's responses. Then, $W = 1$ if and only if:

$$\text{Verify}(k, m^*, t^*) = 1 \text{ and } (m^*, t^*) \notin \{(m_1, t_1), \dots, (m_Q, t_Q)\}$$

MAC security notion says that adversary cannot produce a new tag on any message even if it gets to obtain tags on messages of its choosing

MACs from PRFs: Let $F: \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{T}$ be a PRF. We construct a MAC as follows:

$\text{KeyGen}(1^\lambda)$: output $k \leftarrow \mathcal{K}$

$\text{Sign}(k, m)$: output $t \leftarrow F(k, m)$

$\text{Verify}(k, m, t)$: output 1 if $t = F(k, m)$ and 0 otherwise

Unforgeability: Use a hybrid argument:

Hybo: Real EUF-CMA game

Hyb1: Hybo except the challenger computes $f(\cdot)$ in place of $F(k, \cdot)$ where $f \leftarrow \mathcal{F}_{\text{Func}}[\mathcal{M}, \mathcal{T}]$

In particular, we can show that for any efficient algorithm A that breaks security of the MAC, there exists an efficient adversary B for the PRF such that

$$\text{MACAdv}[A] \leq \text{PRFAdv}[B, F] + \frac{1}{|\mathcal{T}|}$$

Thus, if F is a secure PRF and $1/|\mathcal{T}|$ is negligible (i.e., the size of the tag space is superpolynomial in the security parameter), this construction is a secure MAC. We give a formal proof for reference on the next page.

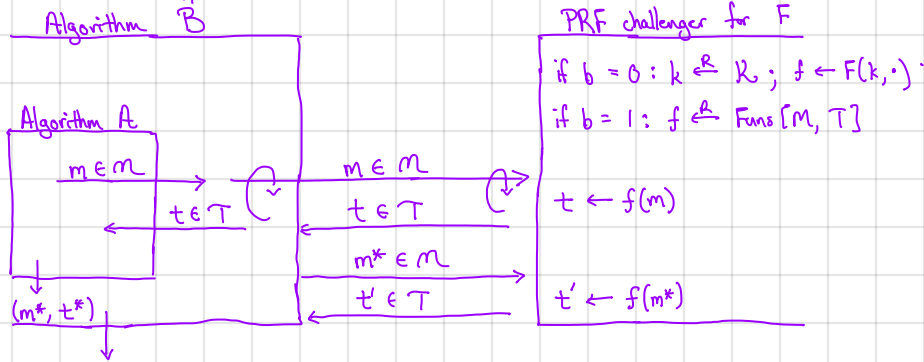
Proof of Unforgeability. For an adversary A , let $\text{Hyb}_0(A)$ denote the output of A interacting according to the specification of Hyb_0 . By construction, $\text{MACAdv}(A) = \Pr[\text{Hyb}_0(A) = 1]$. We now show the following:

Lemma. For all efficient adversaries A , there exists an efficient adversary B such that

$$|\Pr[\text{Hyb}_0(A) = 1] - \Pr[\text{Hyb}_1(A) = 1]| = \text{PRFAdv}[B, F]$$

Proof. Let A be an adversary for the MAC security game. We use A to construct an adversary B for the PRF security game.

Algorithm B will use a copy of A as follows:



Output 1 if (m^*, t^*) did not appear in any signing query and $t^* = t'$

the overall criterion is the one used in Hyb_0 or Hyb_1

this is precisely checking $\text{Verify}(k, x, t^*) = 1$ in Hyb_0 and Hyb_1

Suppose $b=0$. Then B perfectly simulates experiment Hyb_0 for A . In this case, $\Pr[B \text{ outputs } 1 \mid b=0] = \Pr[\text{Hyb}_0(A) = 1]$.

Suppose $b=1$. Then B perfectly simulates experiment Hyb_1 for A . In this case, $\Pr[B \text{ outputs } 1 \mid b=1] = \Pr[\text{Hyb}_1(A) = 1]$.

Thus, we have that

$$\begin{aligned} \text{PRFAdv}[B, F] &= |\Pr[B \text{ outputs } 1 \mid b=0] - \Pr[B \text{ outputs } 1 \mid b=1]| \\ &= |\Pr[\text{Hyb}_0(A) = 1] - \Pr[\text{Hyb}_1(A) = 1]| \end{aligned}$$

Lemma. For all adversaries A , $\Pr[\text{Hyb}_1(A) = 1] \leq \frac{1}{|\mathcal{T}|}$.

Proof. Take any adversary A and consider an execution of $\text{Hyb}_1(A)$. Let $f: \mathcal{M} \rightarrow \mathcal{T}$ be the function sampled by the challenger and (m^*, t^*) be the adversary's challenge. We bound the probability that $\text{Hyb}_1(A) = 1$. We consider two cases:

Case 1: Suppose the adversary previously made a signing query on m^* . Then, $\Pr[\text{Hyb}_1(A) = 1] = 0$ since the first requirement says that $t^* \neq f(m^*)$, in which case $\text{Verify}(k, m^*, t^*) = 0$.

Case 2: Suppose the adversary never makes a signing query on m^* . Then, its view is completely independent of $f(m^*)$ since f is a truly random function. In this case,

$$\begin{aligned} \Pr[\text{Hyb}_1(A) = 1] &= \Pr[f \leftarrow \text{Funcs}[\mathcal{M}, \mathcal{T}] : f(m^*) = t^*] \\ &= \Pr[t' \leftarrow \mathcal{T} : t' = t^*] = \frac{1}{|\mathcal{T}|}. \end{aligned}$$

We conclude that $\Pr[\text{Hyb}_1(A) = 1] \leq \frac{1}{|\mathcal{T}|}$.

By the lemmas above, we have that for every efficient MAC adversary A , there exists an efficient PRF adversary B such that

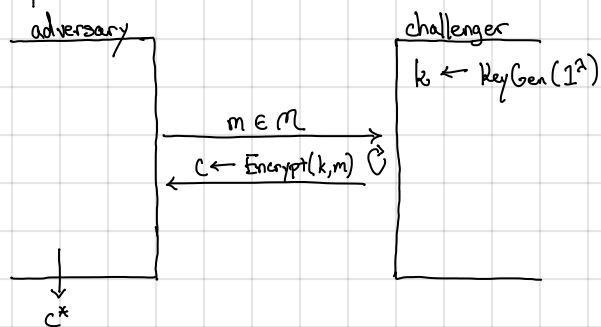
$$\begin{aligned} \text{MACAdv}[A] = \Pr[\text{Hyb}_0(A) = 1] &\leq \text{PRFAdv}[B, F] + \Pr[\text{Hyb}_1(A) = 1] \\ &\leq \text{PRFAdv}[B, F] + \frac{1}{|\mathcal{T}|} \end{aligned}$$

Combining confidentiality and integrity: when we use an encryption scheme, we usually want both confidentiality and integrity. This is provided by an authenticated encryption scheme.

Authenticated encryption: An encryption scheme $(KeyGen, Encrypt, Decrypt)$ is an authenticated encryption scheme if it satisfies the following properties:

- CPA security

- Ciphertext integrity: For all efficient adversaries A , $\Pr[W=1] = \text{negl}(\lambda)$ where W is the output of the following experiment:



Let c_1, \dots, c_Q be the ciphertexts the challenger computes in response to encryption queries. The output $W=1$ if and only if $\text{Decrypt}(k, c^*) \neq \perp$ and $c^* \notin \{c_1, \dots, c_Q\}$

Namely, an encryption scheme provides ciphertext integrity if no efficient adversary can come up with a "valid" ciphertext (i.e., a ciphertext that does not decrypt to \perp).

Takeaway: Authenticated encryption schemes provide both confidentiality (CPA-security) and integrity (ciphertext integrity).
 ↳ This is what you should use for symmetric encryption!

Constructing authenticated encryption: "encrypt-then-MAC": Let $(KeyGen_{SE}, Encrypt, Decrypt)$ be a CPA-secure encryption scheme with key-space K_{SE} , message space M , and ciphertext space C . Let $(KeyGen_{MAC}, Sign, Verify)$ be a MAC with key-space K_{MAC} , message space C and tag space T .

Authenticated encryption scheme:

$$K_{AE} = K_{SE} \times K_{MAC}$$

$$M_{AE} = M$$

$$C_{AE} = C \times T$$

$KeyGen_{AE}(1^\lambda)$: compute $k_{SE} \leftarrow KeyGen_{SE}(1^\lambda)$
 $k_{MAC} \leftarrow KeyGen_{MAC}(1^\lambda)$ and
 output (k_{SE}, k_{MAC})

$Encrypt(k, m)$: parse $k = (k_{SE}, k_{MAC})$ and compute
 $ct' \leftarrow Encrypt(k_{SE}, m)$
 $t \leftarrow Sign(k_{MAC}, ct')$ and
 output $ct = (ct', t)$

$Decrypt(k, ct)$: parse $k = (k_{SE}, k_{MAC})$ and $ct = (ct', t)$
 if $Verify(k_{MAC}, ct', t) \neq 1$, output \perp
 else, output $Decrypt(k_{SE}, ct')$

Theorem. If $(\text{KeyGen}_E, \text{Encrypt}, \text{Decrypt})$ is CPA secure and $(\text{KeyGen}_{\text{MAC}}, \text{Sign}, \text{Verify})$ is EUF-CMA secure, then "encrypt-then-MAC" is an authenticated encryption scheme.

Corollary. PRFs (and thus also, OWFs) \Rightarrow authenticated encryption (in practice: AES-GCM mode) ↖ block cipher (PRP)