

Message integrity: Confidentiality alone not sufficient, also need message integrity. Otherwise adversary can tamper with the message  
 (e.g., "Send \$100 to Bob" → "Send \$100 to Eve")

In some cases (e.g., software patches), integrity more important than confidentiality

Idea: Append a "tag" (also called a "signature") to the message to prove integrity (property we want is tags should be hard to forge)

Observation: The tag should be computed using a keyed-function

↳ Example of keyless integrity check: CRC (cyclic redundancy check) [simple example is to set tag to be the parity] <sup>better</sup>

↳ this was used in SSH v1 (1995) for data integrity! Fixed in SSH v2 (1996)

↳ also used in WEP (802-11b) protocol for integrity - also broken!

this tolerates a single error

(better error-correcting codes can do much better)

Problem: If there is no key, anyone can compute it! Adversary can tamper with message and compute the new tag.

Definition. A message authentication code (MAC) with key-space  $K$ , message space  $M$  and tag space  $T$  is a tuple of algorithms  $\Pi_{MAC} = (\text{Sign}, \text{Verify})$ :

$$\text{Sign}: K \times M \rightarrow T$$

$$\text{Verify}: K \times M \times T \rightarrow \{0,1\}$$

} Must be efficiently-computable

Correctness:  $\forall k \in K, \forall m \in M$ :

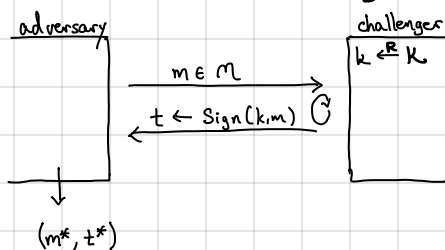
$$\Pr[\text{Verify}(k, m, \text{Sign}(k, m)) = 1] = 1$$

↳ Sign can be a randomized algorithm

Defining security: Intuitively, adversary should not be able to compute a tag on any message without knowledge of the key

↳ Moreover, since adversary might be able to see tags on existing messages (e.g., signed software updates), it should not help towards creating a new MAC

Definition. A MAC  $\Pi_{MAC} = (\text{Sign}, \text{Verify})$  satisfies existential unforgeability against chosen message attacks (EUF-CMA) if for all efficient adversaries  $A$ ,  $\text{MACAdv}[A, \Pi_{MAC}] = \Pr[W=1] = \text{negl}(\lambda)$ , where  $W$  is the output of the following security game:



As usual,  $\lambda$  denotes the length of the MAC secret key (e.g.,  $\log |K| = \text{poly}(\lambda)$ )

Note: the key can also be sampled by a special KeyGen algorithm (for simplicity, we just define it to be uniformly random)

Let  $m_1, \dots, m_Q$  be the signing queries the adversary submits to the challenger, and let  $t_i \leftarrow \text{Sign}(k, m_i)$  be the challenger's responses. Then,  $W=1$  if and only if:

$$\text{Verify}(k, m^*, t^*) = 1 \text{ and } (m^*, t^*) \notin \{(m_1, t_1), \dots, (m_Q, t_Q)\}$$

MAC security notion says that adversary cannot produce a new tag on any message even if it gets to obtain tags on messages of its choosing.

First, we show that we can directly construct a MAC from any PRF.

MACs from PRFs: Let  $F: K \times M \rightarrow T$  be a PRF. We construct a MAC  $\Pi_{\text{MAC}}$  over  $(K, M, T)$  as follows:

Sign  $(k, m)$ : Output  $z \leftarrow F(k, m)$

Verify  $(k, m, z)$ : output 1 if  $z = F(k, m)$  and 0 otherwise

Theorem. If  $F$  is a secure PRF with a sufficiently large range, then  $\Pi_{\text{MAC}}$  defined above is a secure MAC. Specifically, for every efficient MAC adversary  $A$ , there exists an efficient PRF adversary  $B$  such that

$$\text{MACAdv}[A, \Pi_{\text{MAC}}] \leq \text{PRFAdv}[B, F] + \frac{1}{|T|}.$$

Intuition for proof: 1. Output of PRF is computationally indistinguishable from that of a truly random function.

2. If we replace the PRF with a truly random function, adversary wins the MAC game only if it correctly predicts the random function at a new point. Success probability is then exactly  $1/|T|$ .

Implication: Any PRF with large output space can be used as a MAC.

↳ AES has 128-bit output space, so can be used as a MAC

Drawback: Domain of AES is 128-bits, so can only sign 128-bit (16-byte) messages

How do we sign longer messages? We will look at two types of constructions:

1. Constructing a large-domain PRF from a small-domain PRF (i.e., AES)
2. Hash-based constructions

Approach 1: use CBC (without IV)



Not encrypting messages so no need for IV (or intermediate blocks)

↳ Mode often called "raw-CBC"

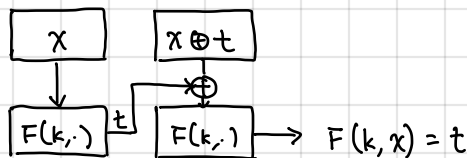
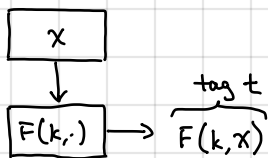
Raw-CBC is a way to build a large-domain PRF from a small-domain one

↳ Can show security for "prefix-free" messages [more precisely, raw-CBC is a prefix-free PRF: pseudorandom as long as PRF never evaluated on two values where one is a prefix of other]

↳ includes fixed-length messages as a special case

But not secure for variable-length messages: "Extension attack"

1. Query for MAC on arbitrary block  $x$ :



2. Output forgery on message  $(x, x \oplus t)$  and tag  $t \Rightarrow t$  is a valid tag on extended message  $(x, t \oplus x)$   
 $\hookrightarrow$  Adversary succeed with advantage 1

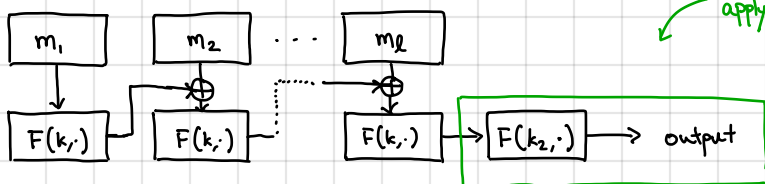
raw CBC can be used to build a MAC on fixed-length messages, but not variable-length messages  
 (more generally, prefix-free)

For variable-length messages, we use "encrypted CBC": (ECBC) standards for banking / financial services

$\hookrightarrow$  variant used in ANSI X9.9, ANSI X9.19 standards

critical for security using the same key not secure

apply another PRF with a different key to the output of rawCBC



To use encrypted CBC-MAC, we need to assume message length is even multiple of block size (similar to CBC encryption)

$\hookrightarrow$  to sign messages that are not a multiple of the block size, we need to first pad the message

$\hookrightarrow$  as was the case with encryption, padding must be injective

$\hookrightarrow$  in the case of encryption, injectivity needed for correctness

$\hookrightarrow$  in the case of integrity, injectivity needed for security [if  $\text{pad}(m_0) = \text{pad}(m_1)$ ,  $m_0$  and  $m_1$  will have the same tag]

Standard approach to pad: append  $1000\dots 0$  to fill up block [ANSI X9.9 and ANSI X9.19 standards]

- Note: if message is an even multiple of the block length, need to introduce a dummy block

$\hookrightarrow$  Necessary for any injective function:  $|\{0,1\}^{4^n}| > |\{0,1\}^n|$

- This is a bit-padding scheme [PKCS #7 that we discuss previously in the context of CBC encryption is a byte-padding scheme]

Encrypted CBC-MAC drawbacks: always need at least 2 PRF evaluations (using different keys) } especially bad for authenticating short (e.g., single-byte) messages  
 messages must be padded to block size

Better approach: raw CBC-MAC secure for prefix-free messages

↳ Can we apply a "prefix-free" encoding to the message?

- Option 1: Prepend the message length to the message

Problematic if we do not know message length at the beginning (e.g., in a streaming setting)

Still requires padding message to multiple of block size

- Option 2: Apply a random secret shift to the last block of the message

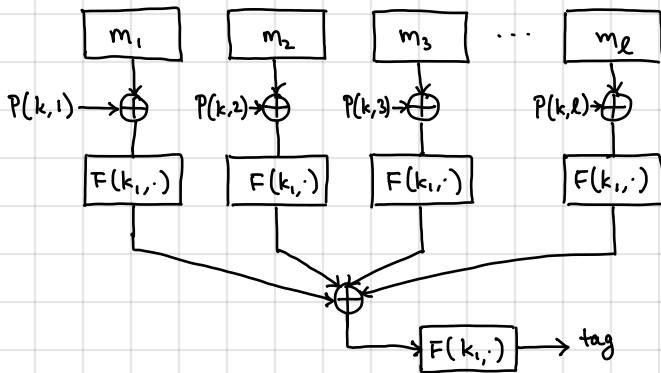
$$(x_1, x_2, \dots, x_\ell) \mapsto (x_1, x_2, \dots, x_\ell \oplus k) \text{ where } k \stackrel{\$}{\leftarrow} \mathcal{X}$$

Adversary that does not know  $k$  cannot construct two messages that are prefixes except with probability  $1/|\mathcal{X}|$  (by guessing  $k$ )

↳ basis for CMAC (standardized by NIST in 2005)

equal-length messages cannot have one be prefix of other  
different-length messages differ in first block

A parallelizable MAC (PMAC) - general idea:



derived as  $F(k, 0^n)$  - so key is just  $k$ ,  $P(k, \cdot)$  are important - otherwise, adversary can permute the blocks  
↳ "mask" term is of the form  $\gamma_i \cdot k$  where multiplication is done over  $GF(2^n)$  where  $n$  is the block size (constants  $\gamma_i$  carefully chosen for efficient evaluation)

Can use similar ideas as CMAC (randomized prefix-free encoding) to support messages that is not constant multiple of block size

Parallel structure of PMAC makes it easily updatable (assuming  $F$  is a PRP)

↳ suppose we change block  $i$  from  $m[i]$  to  $m'[i]$ :

$$\text{compute } F^{-1}(k, \text{tag}) \oplus \underbrace{F(k, m[i] \oplus P(k,i))}_{\text{old value}} \oplus \underbrace{F(k, m'[i] \oplus P(k,i))}_{\text{new value}}$$

PMAC is "incremental":  
can make local updates without full recomputation

In terms of performance:

- On sequential machine, PMAC comparable to ECBC, NMAC, CMAC

- On parallel machine, PMAC much better

Best MAC we've seen so far, but not used...  
Reason: patents :( [not patented anymore!]

Summary: Many techniques to build a large-domain PRF from a small-domain one (domain extension for PRF)

↳ Each method (ECBC, CMAC, PMAC) gives a MAC on variable-length messages

↳ Many of these designs (or their variants) are standardized