

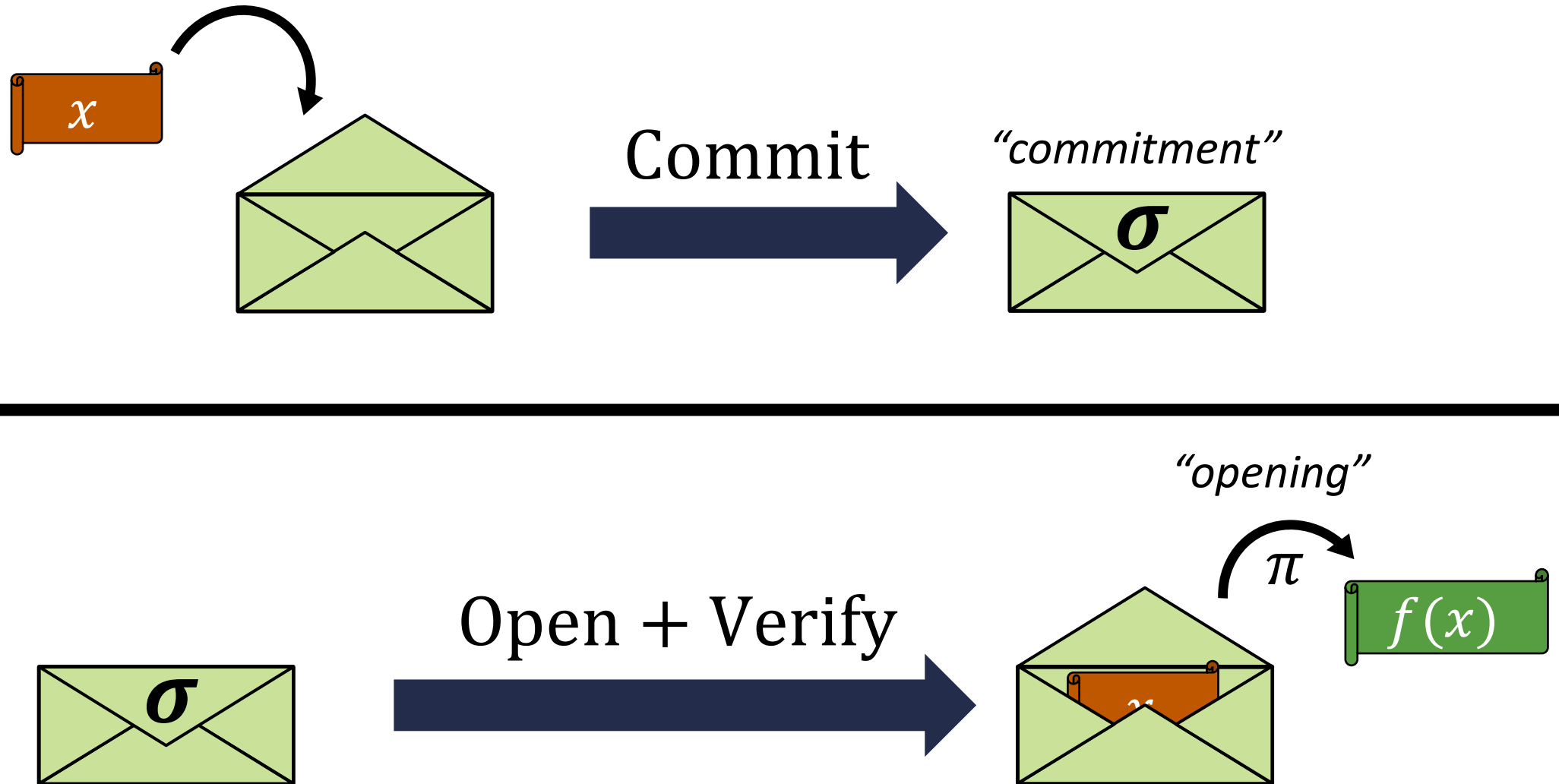
# Lattice-Based Functional Commitments: Constructions and Cryptanalysis

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*based on joint works with Hoeteck Wee*

# Functional Commitments



# Functional Commitments



$\text{Commit}(\text{crs}, x) \rightarrow (\sigma, \text{st})$

Takes a **common reference string** and commits to an **input  $x$**

Outputs commitment  $\sigma$  and commitment state  $\text{st}$

# Functional Commitments



$\text{Commit}(\text{crs}, x) \rightarrow (\sigma, \text{st})$

$\text{Open}(\text{st}, f) \rightarrow \pi$

Takes the commitment state and a function  $f$  and outputs an opening  $\pi$

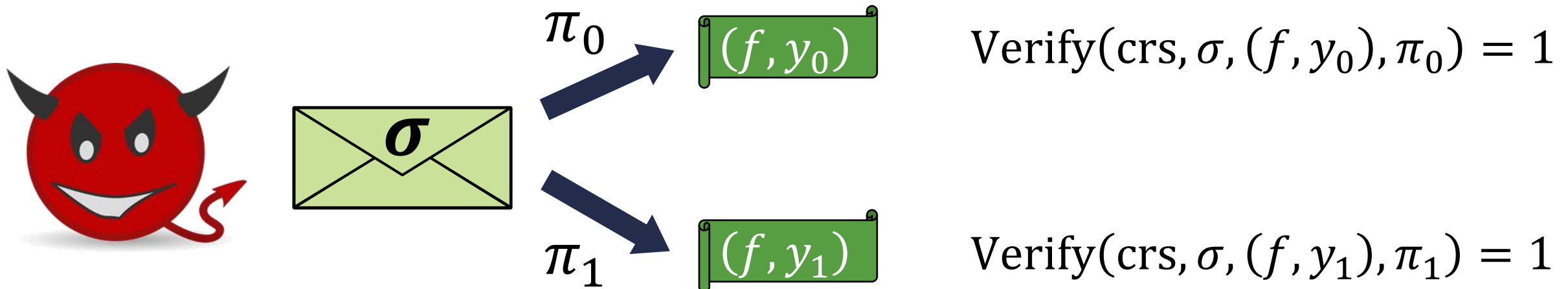
$\text{Verify}(\text{crs}, \sigma, (f, y), \pi) \rightarrow 0/1$

Checks whether  $\pi$  is valid opening of  $\sigma$  to value  $y$  with respect to  $f$

# Functional Commitments



**Binding:** efficient adversary cannot open  $\sigma$  to two different values with respect to the **same**  $f$



# Functional Commitments



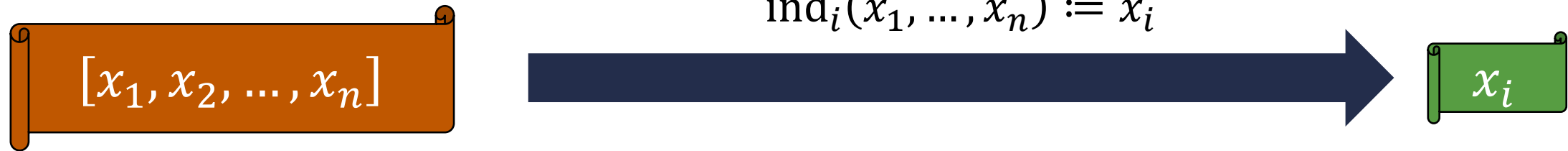
**Succinctness:** commitments and openings should be short

- **Short commitment:**  $|\sigma| = \text{poly}(\lambda, \log |x|)$
- **Short opening:**  $|\pi| = \text{poly}(\lambda, \log |x|, |f(x)|)$

Will consider relaxation where  $|\sigma|$  and  $|\pi|$  can grow with **depth** of the circuit computing  $f$

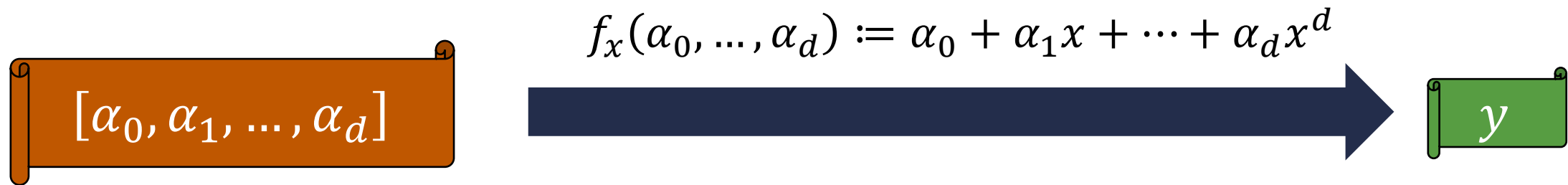
# Special Cases of Functional Commitments

## Vector commitments:



*commit to a vector, open at an index*

## Polynomial commitments:



*commit to a polynomial, open to the evaluation at  $x$*

# Succinct Functional Commitments

*(not an exhaustive list!)*

Scheme	Function Class	Assumption
[Mer87]	vector commitment	collision-resistant hash functions
[LY10, CF13, LM19, GRWZ20]	vector commitment	$q$ -type pairing assumptions
[CF13, LM19, BBF19]	vector commitment	groups of unknown order
[PPS21]	vector commitment	short integer solutions (SIS)
[KZG10, Lee20]	polynomial commitment	$q$ -type pairing assumptions
[BFS19, BHRRS21, BF23]	polynomial commitment	groups of unknown order
[LRY16]	linear functions	$q$ -type pairing assumptions
[ACLMT22]	constant-degree polynomials	$k$ - $R$ -ISIS assumption (falsifiable)
[LRY16]	Boolean circuits	collision-resistant hash functions + SNARKs
[dCP23]	Boolean circuits	SIS (non-succinct openings in general)
[KLVW23]	Boolean circuits	LWE (via batch arguments)
[BCFL23]	Boolean circuits	twin $k$ - $R$ -ISIS (or $q$ -type pairing assumption)
[WW23a, WW23b]	Boolean circuits	$\ell$ -succinct SIS <b>This talk</b>
[WW24]	Boolean circuits	$k$ -Lin (pairings)



# Framework for Lattice Commitments

Captures and generalizes other lattice-based functional commitments [PPS21, ACLMT22]

Common reference string (for inputs of length  $\ell$ ):

matrices  $\mathbf{A}_1, \dots, \mathbf{A}_\ell \in \mathbb{Z}_q^{n \times m}$

target vectors  $\mathbf{t}_1, \dots, \mathbf{t}_\ell \in \mathbb{Z}_q^n$

*auxiliary data*: cross-terms  $\mathbf{u}_{ij} \leftarrow \mathbf{A}_i^{-1}(\mathbf{t}_j) \in \mathbb{Z}_q^m$  where  $i \neq j$

$$\mathbf{A}_i \mathbf{u}_{ij} = \mathbf{t}_j$$

short (i.e., low-norm) vector  
satisfying  $\mathbf{A}_i \mathbf{u}_{ij} = \mathbf{t}_j$

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$$\mathbf{A}_i \mathbf{u}_{ij} = \mathbf{t}_j$$

Commitment to  $\mathbf{x} \in \mathbb{Z}_q^\ell$ :

$$\mathbf{c} = \sum_{i \in [\ell]} x_i \mathbf{t}_i$$

*linear combination of target vectors*

Opening to value  $y$  at index  $i$ :

short  $\mathbf{v}_i$  such that  $\mathbf{c} = \mathbf{A}_i \mathbf{v}_i + y \cdot \mathbf{t}_i$

Honest opening:

$$\mathbf{v}_i = \sum_{j \neq i} x_j \mathbf{u}_{ij}$$

*Correct as long as  $\mathbf{x}$  is short*

$$\mathbf{A}_i \mathbf{v}_i + x_i \mathbf{t}_i = \sum_{j \neq i} x_j \mathbf{A}_i \mathbf{u}_{ij} + x_i \mathbf{t}_i = \sum_{j \in [\ell]} x_j \mathbf{t}_j = \mathbf{c}$$

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$$\mathbf{A}_i \mathbf{u}_{ij} = \mathbf{t}_j$$

[PPS21]:  $\mathbf{A}_i \leftarrow \mathbb{Z}_q^{n \times m}$  and  $\mathbf{t}_i \leftarrow \mathbb{Z}_q^n$  are independent and uniform

*suffices for vector commitments (from SIS)*

[ACLM21]:  $\mathbf{A}_i = \mathbf{W}_i \mathbf{A}$  and  $\mathbf{t}_i = \mathbf{W}_i \mathbf{u}_i$  where  $\mathbf{W}_i \leftarrow \mathbb{Z}_q^{n \times n}$ ,  $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$ ,  $\mathbf{u}_i \leftarrow \mathbb{Z}_q^m$

*(one candidate adaptation to the integer case)*

generalizes to functional commitments for constant-degree polynomials (from  $k$ -R-ISIS)



# Our Approach

Captures and generalizes other lattice-based functional commitments [PPS21, ACLMT22]

$$\text{Verification invariant: } \mathbf{c} = \mathbf{A}_i \mathbf{v}_i + x_i \mathbf{t}_i \quad \forall i \in [\ell]$$

*for a short  $\mathbf{v}_i$*

**Our approach:** rewrite  $\ell$  relations as a single linear system

$$\begin{bmatrix} \mathbf{A}_1 & & & \vdots & -\mathbf{G} \\ & \ddots & & & \vdots \\ & & \mathbf{A}_\ell & \vdots & -\mathbf{G} \end{bmatrix} \cdot \begin{bmatrix} \mathbf{v}_1 \\ \vdots \\ \mathbf{v}_\ell \\ \hat{\mathbf{c}} \end{bmatrix} = \begin{bmatrix} -x_1 \mathbf{t}_1 \\ \vdots \\ -x_\ell \mathbf{t}_\ell \end{bmatrix}$$

*“powers of two matrix”*

For security and functionality, it will be useful to write  $\mathbf{c} = \mathbf{G}\hat{\mathbf{c}}$

$$\mathbf{G} = \begin{bmatrix} 1 & 2 & \dots & 2^{\lceil \log q \rceil} & & & & \\ & & & & \ddots & & & \\ & & & & & 1 & 2 & \dots & 2^{\lceil \log q \rceil} \end{bmatrix}$$



# Our Approach

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$$\underbrace{\begin{bmatrix} \mathbf{A}_1 & & & | & -\mathbf{G} \\ & \ddots & & | & \vdots \\ & & \mathbf{A}_\ell & | & -\mathbf{G} \end{bmatrix}}_{\mathbf{B}_\ell} \cdot \begin{bmatrix} \mathbf{v}_1 \\ \vdots \\ \mathbf{v}_\ell \\ \hat{\mathbf{c}} \end{bmatrix} = \begin{bmatrix} -x_1 \mathbf{t}_1 \\ \vdots \\ -x_\ell \mathbf{t}_\ell \end{bmatrix}$$

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*auxiliary data:* ~~cross-terms  $\mathbf{u}_{ij} \leftarrow \mathbf{A}_i^{-1}(\mathbf{t}_j)$~~

trapdoor for  $\mathbf{B}_\ell$

Trapdoor for  $\mathbf{B}_\ell$  can be used to sample short solutions  $\mathbf{x}$  to the linear system  $\mathbf{B}_\ell \mathbf{x} = \mathbf{y}$  (for arbitrary  $\mathbf{y}$ )

# Our Approach

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$$\text{Verification invariant: } \mathbf{c} = \mathbf{A}_i \mathbf{v}_i + x_i \mathbf{t}_i \quad \forall i \in [\ell]$$

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Committing to an input  $\mathbf{x}$ :

Use trapdoor for  $\mathbf{B}_\ell$  to **jointly** sample a solution  $\mathbf{v}_1, \dots, \mathbf{v}_\ell, \hat{\mathbf{c}}$   
 $\mathbf{c} = \mathbf{G}\hat{\mathbf{c}}$  is the commitment and  $\mathbf{v}_1, \dots, \mathbf{v}_\ell$  are the openings



# Proving Security

Captures and generalizes other lattice-based functional commitments [PPS21, ACLMT22]

$$\text{Verification invariant: } \mathbf{c} = \mathbf{A}_i \mathbf{v}_i + x_i \mathbf{t}_i \quad \forall i \in [\ell]$$

for a short  $\mathbf{v}_i$

Suppose adversary can break binding

outputs  $\mathbf{c}$ ,  $(\mathbf{v}_i, x_i)$ ,  $(\mathbf{v}'_i, x'_i)$  such that

$$\begin{aligned} \mathbf{c} &= \mathbf{A}_i \mathbf{v}_i + x_i \mathbf{t}_i \\ &= \mathbf{A}_i \mathbf{v}'_i + x'_i \mathbf{t}_i \end{aligned}$$



set  $\mathbf{A}_i \leftarrow \mathbb{Z}_q^{n \times m}$

set  $\mathbf{t}_i = \mathbf{e}_1 = [1, 0, \dots, 0]^T$

(cannot set  $\mathbf{t}_i = \mathbf{0}$  as otherwise, it could be  $\mathbf{v}_i = \mathbf{v}'_i$ )

## Short integer solutions (SIS)

given  $A \leftarrow \mathbb{Z}_q^{n \times m}$ , hard to find short  $\mathbf{x} \neq \mathbf{0}$  such that  $A\mathbf{x} = \mathbf{0}$

$$\mathbf{A}_i \underbrace{(\mathbf{v}_i - \mathbf{v}'_i)}_{(\text{short})} = \underbrace{(x'_i - x_i)}_{(\text{non-zero})} \mathbf{t}_i$$

Looks like an SIS solution...

How to choose  $\mathbf{A}_i, \mathbf{t}_i$ ?

# Proving Security

Captures and generalizes other lattice-based functional commitments [PPS21, ACLMT22]

$$\text{Verification invariant: } \mathbf{c} = \mathbf{A}_i \mathbf{v}_i + x_i \mathbf{t}_i \quad \forall i \in [\ell]$$

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$$\text{set } \mathbf{A}_i \leftarrow \mathbb{Z}_q^{n \times m}$$

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## Short integer solutions (SIS)

given  $A \leftarrow \mathbb{Z}_q^{n \times m}$ , hard to find short  $\mathbf{x} \neq \mathbf{0}$  such that  $A\mathbf{x} = \mathbf{0}$

$$\mathbf{A}_i (\mathbf{v}_i - \mathbf{v}'_i) = (x'_i - x_i) \mathbf{e}_1$$

$\mathbf{v}_i - \mathbf{v}'_i$  is a SIS solution for  $\mathbf{A}_i$  without the first row

# Proving Security

Captures and generalizes other lattice-based functional commitments [PPS21, ACLMT22]

$$\text{Verification invariant: } \mathbf{c} = \mathbf{A}_i \mathbf{v}_i + x_i \mathbf{t}_i \quad \forall i \in [\ell]$$

*for a short  $\mathbf{v}_i$*

Adversary that breaks binding can solve SIS with respect to  $\mathbf{A}_i$

*(technically  $\mathbf{A}_i$  without the first row – which is equivalent to SIS with dimension  $n - 1$ )*

but... adversary also gets additional information beyond  $\mathbf{A}_i$

$$\mathbf{B}_\ell = \begin{bmatrix} \mathbf{A}_1 & & & \vdots & -\mathbf{G} \\ & \ddots & & & \vdots \\ & & \mathbf{A}_\ell & \vdots & -\mathbf{G} \end{bmatrix}$$

Adversary sees  
**trapdoor** for  $\mathbf{B}_\ell$

# Basis-Augmented SIS (BASIS) Assumption

Captures and generalizes other lattice-based functional commitments [PPS21, ACLMT22]

$$\text{Verification invariant: } \mathbf{c} = \mathbf{A}_i \mathbf{v}_i + x_i \mathbf{t}_i \quad \forall i \in [\ell]$$

*for a short  $\mathbf{v}_i$*

Adversary that breaks binding can solve SIS with respect to  $\mathbf{A}_i$

Basis-augmented SIS (BASIS) assumption:

*SIS is hard with respect to  $\mathbf{A}_i$   
given a trapdoor (a basis) for the matrix*

$$\mathbf{B}_\ell = \begin{bmatrix} \mathbf{A}_1 & & & \vdots & -\mathbf{G} \\ & \ddots & & & \vdots \\ & & \mathbf{A}_\ell & \vdots & -\mathbf{G} \end{bmatrix}$$

Can simulate CRS from BASIS challenge:

matrices  $\mathbf{A}_1, \dots, \mathbf{A}_\ell \leftarrow \mathbb{Z}_q^{n \times m}$

trapdoor for  $\mathbf{B}_\ell$

# Basis-Augmented SIS (BASIS) Assumption

*SIS is hard with respect to  $\mathbf{A}_i$  given a trapdoor (a basis) for the matrix*

$$\mathbf{B}_\ell = \begin{bmatrix} \mathbf{A}_1 & & & \vdots & -\mathbf{G} \\ & \ddots & & & \vdots \\ & & \mathbf{A}_\ell & \vdots & -\mathbf{G} \end{bmatrix}$$

When  $\mathbf{A}_1, \dots, \mathbf{A}_\ell \leftarrow \mathbb{Z}_q^{n \times m}$  are uniform and independent:

*hardness of SIS implies hardness of BASIS*

*(follows from standard lattice trapdoor extension techniques)*

# Vector Commitments from SIS

Common reference string (for inputs of length  $\ell$ ):

matrices  $A_1, \dots, A_\ell \in \mathbb{Z}_q^{n \times m}$

*auxiliary data*: trapdoor for  $B_\ell = \begin{bmatrix} A_1 & & \vdots & -G \\ & \ddots & & \vdots \\ & & A_\ell & -G \end{bmatrix}$

To commit to a vector  $x \in \mathbb{Z}_q^\ell$ : sample solution  $(v_1, \dots, v_\ell, \hat{c})$

$$\begin{bmatrix} A_1 & & \vdots & -G \\ & \ddots & & \vdots \\ & & A_\ell & -G \end{bmatrix} \cdot \begin{bmatrix} v_1 \\ \vdots \\ v_\ell \\ \hat{c} \end{bmatrix} = \begin{bmatrix} -x_1 e_1 \\ \vdots \\ -x_\ell e_\ell \end{bmatrix}$$

Commitment is  $c = G\hat{c}$

Openings are  $v_1, \dots, v_\ell$

Can commit and open to **arbitrary**  $\mathbb{Z}_q$  vectors

Commitments and openings statistically **hide** unopened components

**Linearly homomorphic:**

$c + c'$  is a commitment to  $x + x'$  with openings  $v_i + v'_i$

# Extending to Functional Commitments

**Goal:** commit to  $\mathbf{x} \in \{0,1\}^\ell$ , open to **function**  $f(\mathbf{x})$

Suppose  $f(\mathbf{x}) = \sum_{i \in [\ell]} \alpha_i x_i$  is a **linear** function

**Verification invariant:**  $\mathbf{c} = A_i \mathbf{v}_i + x_i \mathbf{t}_i \quad \forall i \in [\ell]$

Can also view  $\mathbf{c}$  as commitment to vector  $x_i \mathbf{t}_i$  with respect to  $A_i$  and opening  $\mathbf{v}_i$

---

Suppose  $\mathbf{c}_1, \mathbf{c}_2$  are commitments to vectors  $\mathbf{u}_1, \mathbf{u}_2$  with respect to the same  $A$

$$\begin{array}{l} \mathbf{c}_1 = A\mathbf{v}_1 + \mathbf{u}_1 \\ \mathbf{c}_2 = A\mathbf{v}_2 + \mathbf{u}_2 \end{array} \quad \longrightarrow \quad \mathbf{c}_1 + \mathbf{c}_2 = A(\mathbf{v}_1 + \mathbf{v}_2) + (\mathbf{u}_1 + \mathbf{u}_2)$$

# Extending to Functional Commitments

$$\begin{aligned} \mathbf{c}_1 &= \mathbf{A}\mathbf{v}_1 + x_1\mathbf{t} \\ &\vdots \\ \mathbf{c}_\ell &= \mathbf{A}\mathbf{v}_\ell + x_\ell\mathbf{t} \end{aligned}$$

Desired correctness relation



$$\begin{aligned} \mathbf{W}_1\mathbf{c} &= \mathbf{A}\mathbf{v}_1 + x_1\mathbf{t} \\ &\vdots \\ \mathbf{W}_\ell\mathbf{c} &= \mathbf{A}\mathbf{v}_\ell + x_\ell\mathbf{t} \end{aligned}$$

Cannot define commitment to be  $(\mathbf{c}_1, \dots, \mathbf{c}_\ell)$  since this is long  
Instead, suppose  $\mathbf{c}_i = \mathbf{W}_i\mathbf{c}$  can be **derived** from a (single)  $\mathbf{c}$

$$\overbrace{\begin{bmatrix} \mathbf{A} & & & -\mathbf{W}_1 \\ & \ddots & & \vdots \\ & & \mathbf{A} & -\mathbf{W}_\ell \end{bmatrix}}^{\mathbf{B}_\ell} \cdot \begin{bmatrix} \mathbf{v}_1 \\ \vdots \\ \mathbf{v}_\ell \\ \mathbf{c} \end{bmatrix} = \begin{bmatrix} -x_1\mathbf{t} \\ \vdots \\ -x_\ell\mathbf{t} \end{bmatrix}$$

**Our approach:** rewrite  $\ell$  relations as a single linear system (and publish a trapdoor for it)



# Extending to Functional Commitments

$$\overbrace{\begin{bmatrix} A & & & -W_1 \\ & \ddots & & \vdots \\ & & A & -W_\ell \end{bmatrix}}^{B_\ell} \cdot \begin{bmatrix} \mathbf{v}_1 \\ \vdots \\ \mathbf{v}_\ell \\ \mathbf{c} \end{bmatrix} = \begin{bmatrix} -x_1 \mathbf{t} \\ \vdots \\ -x_\ell \mathbf{t} \end{bmatrix}$$

CRS contains  $A, W_1, \dots, W_\ell, t$   
and trapdoor for  $B_\ell$

To commit to  $\mathbf{x} \in \{0,1\}^\ell$ , use trapdoor for  $B_\ell$  to sample  $\mathbf{c}, \mathbf{v}_1, \dots, \mathbf{v}_\ell$  where

$$\begin{aligned} W_1 \mathbf{c} &= A \mathbf{v}_1 + x_1 \mathbf{t} \\ &\vdots \\ W_\ell \mathbf{c} &= A \mathbf{v}_\ell + x_\ell \mathbf{t} \end{aligned}$$

Opening to value  $y = f(\mathbf{x}) = \sum_{i \in [\ell]} \alpha_i x_i$  is  $\mathbf{v}_f := \sum_{i \in [\ell]} \alpha_i \mathbf{v}_i$

Verification relation

$$\sum_{i \in [\ell]} \alpha_i W_i \mathbf{c} = A \mathbf{v}_f + y \cdot \mathbf{t}$$

# Functional Commitments from Lattices

Security follows from  $\ell$ -succinct SIS assumption [Wee24]:

*SIS is hard with respect to  $A$  given a trapdoor (a basis) for the matrix*

$$\mathbf{B}_\ell = \begin{bmatrix} \mathbf{A} & & & \vdots & \mathbf{W}_1 \\ & \ddots & & & \vdots \\ & & \mathbf{A} & \vdots & \mathbf{W}_\ell \end{bmatrix}$$

where  $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$  and  $\mathbf{W}_i \leftarrow \mathbb{Z}_q^{n \times m}$

Falsifiable assumption but does not appear to reduce to standard SIS

$\ell = 1$  case does follow from plain SIS (and when  $\mathbf{W}_i$  is very wide)

**Open problem:** Understanding security or attacks when  $\ell > 1$

# Functional Commitments from Lattices

Security follows from  $\ell$ -succinct SIS assumption [Wee24]:

*SIS is hard with respect to  $\mathbf{A}$  given a trapdoor (a basis) for the matrix*

$$\mathbf{B}_\ell = \begin{bmatrix} \mathbf{A} & & & \vdots & \mathbf{W}_1 \\ & & \ddots & & \vdots \\ & & & \mathbf{A} & \vdots & \mathbf{W}_\ell \end{bmatrix}$$

where  $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$  and  $\mathbf{W}_i \leftarrow \mathbb{Z}_q^{n \times m}$

Equivalent formulation:

*SIS is hard with respect to  $\mathbf{A}$  given  $\mathbf{A}^{-1}(\mathbf{W}_i \mathbf{R})$  along with  $\mathbf{W}_i, \mathbf{R}$*

where  $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$ ,  $\mathbf{W}_i \leftarrow \mathbb{Z}_q^{n \times m}$ , and  $\mathbf{R} \leftarrow D_{\mathbb{Z}, S}^{m \times k}$  where  $k \geq m(\ell + 1)$

# Functional Commitments from Lattices

Linear functional commitments extends readily to support (bounded-depth) circuits

$$\begin{array}{l} W_1 \mathbf{c} = A\mathbf{v}_1 + x_1 \mathbf{t} \\ \vdots \\ W_\ell \mathbf{c} = A\mathbf{v}_\ell + x_\ell \mathbf{t} \end{array}$$

Supports openings to  
linear functions



$$\begin{array}{l} W_1 \mathbf{C} = A\mathbf{V}_1 + x_1 \mathbf{G} \\ \vdots \\ W_\ell \mathbf{C} = A\mathbf{V}_\ell + x_\ell \mathbf{G} \end{array}$$

Supports openings to  
Boolean circuits

In this setting,  $(W_1 \mathbf{C}, \dots, W_\ell \mathbf{C})$  is a [GVW14] homomorphic commitment to  $x$  (can be opened to any function  $f(x)$  of bounded depth)

Can be sampled using **same** trapdoor for  $B_\ell$   
(security still reduces to  $\ell$ -succinct SIS)

*[see paper for details]*

# Summary of Functional Commitments

New methodology for constructing lattice-based commitments:

1. Write down the main verification relation ( $\mathbf{c} = \mathbf{A}_i \mathbf{v}_i + x_i \mathbf{t}_i$ )
2. **Publish** a trapdoor for the linear system induced by the verification relation

Security analysis relies on new  $q$ -type variants of SIS:

*SIS with respect to  $\mathbf{A}$  is hard given a trapdoor for a **related** matrix  $\mathbf{B}$*

“Random” variant of the assumption implies vector commitments and reduces to SIS

“Structured” variant ( $\ell$ -succinct SIS) implies functional commitments for circuits

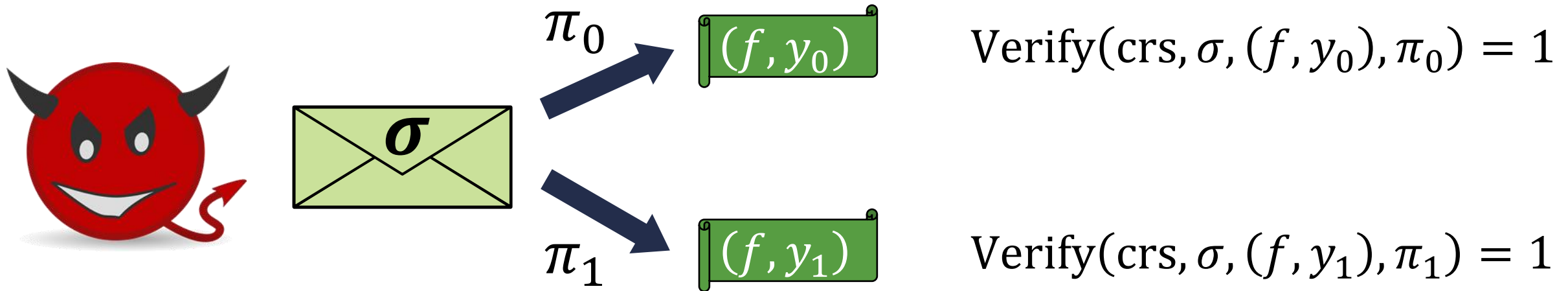
- Structure also enables **aggregating** openings

*[see paper for details]*

# Cryptanalysis of Lattice-Based Knowledge Assumptions

# Extractable Functional Commitments

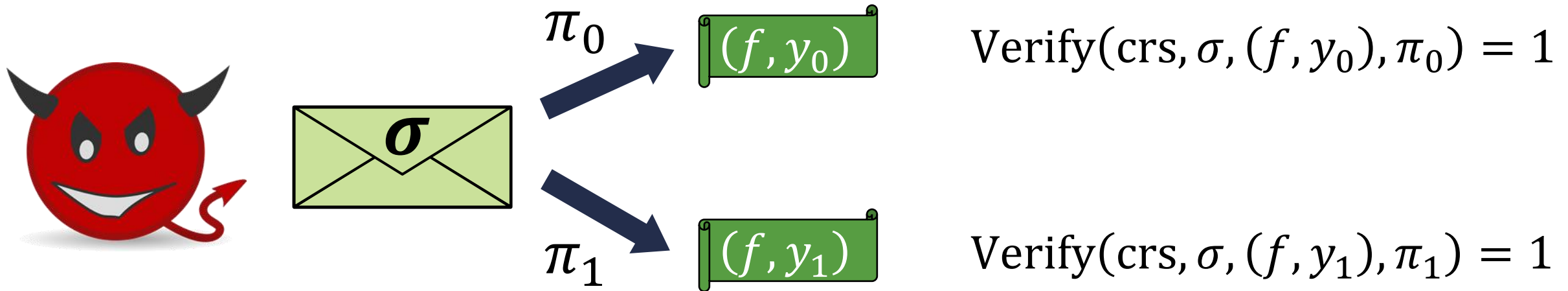
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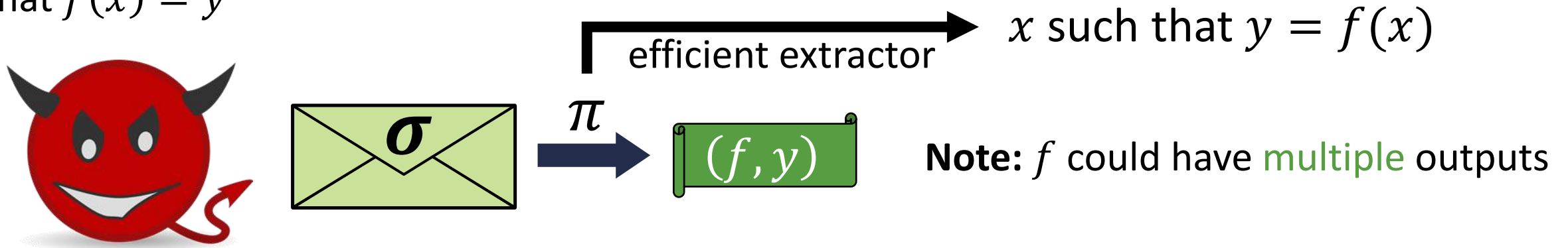
Scheme could be binding, but still allow an efficient adversary to construct (malformed) commitment  $\sigma$  and opening to value 1 with respect to the **all-zeroes** function

# Extractable Functional Commitments

**Binding:** efficient adversary cannot open  $\sigma$  to two different values with respect to the **same**  $f$



**Extractability:** efficient adversary that opens  $\sigma$  to  $y$  with respect to  $f$  must **know** an  $x$  such that  $f(x) = y$





# Extractable Functional Commitments

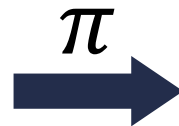
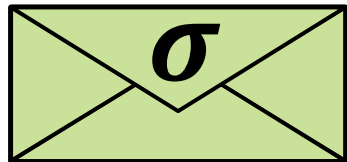
**Binding:** efficient adversary cannot open  $\sigma$  to two different values with respect to the **same**  $f$

Notion is equivalent to SNARKs, so will be challenging to build from a falsifiable assumption

$$\text{Verify}(\text{crs}, \sigma, (f, y_0), \pi_0) = 1$$

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**Extractability:** efficient adversary that opens  $\sigma$  to  $y$  with respect to  $f$  must **know** an  $x$  such that  $f(x) = y$



$x$  such that  $y = f(x)$

**Note:**  $f$  could have **multiple** outputs

# Cryptanalysis of Lattice-Based Knowledge Assumptions

Typical lattice-based knowledge assumption (to get extractable commitments / SNARKs):



[ACLMT22]

given (tall) matrices  $A, D$  and *short* preimages  $Z$  of a *random* target  $T$

if adversary can produce a *short* vector  $v$  such that  $Av$  is in the image of  $D$  (i.e.,  $Av = Dc$ ), then there exists an extractor that outputs short  $x$  where  $v = Zx$

**Observe:**  $Av$  for a random (short)  $v$  is outside the image of  $D$  (since  $D$  is tall)

# Cryptanalysis of Lattice-Based Knowledge Assumptions

Typical lattice-based knowledge assumption (to get extractable commitments / SNARKs):



For extractable functional commitments:

- $Z$  is in the CRS
- Commitment is  $c = Tx$
- Opening is  $v$  where  $Av = Dc$

Extractable since valid opening can be associated with an honestly-generated commitment

[22]

given (tall) matrices  $A, D$  and *short* preimages  $Z$  of a *random* target  $T$

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# Obliviously Sampling a Solution

Typical lattice-based knowledge assumption (to get extractable commitments / SNARKs):

$$\begin{matrix} \text{A} \\ \text{Z} \\ \text{short} \end{matrix} = \begin{matrix} \text{D} \\ \text{T} \end{matrix}$$

[ACLMT22]

**Our work:** algorithm to **obliviously** sample a solution  $A\mathbf{v} = D\mathbf{c}$  without knowledge of a linear combination  $\mathbf{v} = Z\mathbf{x}$

Rewrite  $AZ = DT$  as

$$[A \mid DG] \cdot \begin{bmatrix} Z \\ -G^{-1}(T) \end{bmatrix} = \mathbf{0}$$

If  $Z$  and  $T$  are wide enough, we (heuristically) obtain a basis for  $[A \mid DG]$

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**Oblivious sampler (Babai rounding):**

1. Take any (non-zero) integer solution  $\mathbf{y}$  where  $[A \mid DG]\mathbf{y} = \mathbf{0} \pmod q$
2. Assuming  $B^*$  is full-rank over  $\mathbb{Q}$ , find  $\mathbf{z}$  such that  $B^*\mathbf{z} = \mathbf{y}$  (over  $\mathbb{Q}$ )
3. Set  $\mathbf{y}^* = \mathbf{y} - B^*[\mathbf{z}] = B^*(\mathbf{z} - [\mathbf{z}])$  and parse into  $\mathbf{v}, \mathbf{c}$

**Correctness:**  $[A \mid DG] \cdot \mathbf{y}^* = [A \mid DG] \cdot B^*(\mathbf{z} - [\mathbf{z}]) = \mathbf{0} \pmod q$  and  $\mathbf{y}^*$  is short

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**Oblivious sampler (Babai round)**

1. Take any (non-zero) integer vector  $\mathbf{z}$
2. Assuming  $B^*$  is full-rank, compute  $\mathbf{y} = [A \mid DG] \cdot B^* \mathbf{z}$
3. Set  $\mathbf{y}^* = \mathbf{y} - B^* \lfloor \mathbf{z} \rfloor = B^* (\mathbf{z} - \lfloor \mathbf{z} \rfloor)$

This solution is obtained by “rounding” off a long solution

**Question:** Can we explain such solutions as taking a short linear combination of  $Z$  (i.e., what the knowledge assumption asserts)

**Correctness:**  $[A \mid DG] \cdot \mathbf{y}^* = [A \mid DG] \cdot B^* (\mathbf{z} - \lfloor \mathbf{z} \rfloor) = \mathbf{0} \pmod{q}$  and  $\mathbf{y}^*$  is short

# Template for Analyzing Lattice-Based Knowledge Assumptions

1. Start with the key verification relation (i.e., knowledge of a **short** solution to a linear system)
2. Express verification relation as finding non-zero vector in the **kernel of a lattice** defined by the verification equation
3. Use **components in the CRS** to derive a basis for the related lattice

$$\begin{array}{ccc} \textcircled{1} & & \textcircled{2} \\ A\mathbf{v} = D\mathbf{c} & \longrightarrow & [A \mid DG] \begin{bmatrix} \mathbf{v} \\ -G^{-1}(\mathbf{c}) \end{bmatrix} = \mathbf{0} \\ & & \downarrow \\ & & \textcircled{3} \\ & & [A \mid DG] \cdot \begin{bmatrix} \mathbf{z} \\ -G^{-1}(\mathbf{T}) \end{bmatrix} = \mathbf{0} \end{array}$$

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## Implications:

- Oblivious sampler for integer variant of knowledge  $k$ - $R$ -ISIS assumption from [ACLMT22]  
Implementation by Martin Albrecht: <https://gist.github.com/malb/7c8b86520c675560be62eda98dab2a6f>
- Breaks extractability of the (integer variant of the) **linear** functional commitment from [ACLMT22] assuming hardness of inhomogeneous SIS (i.e., existence of efficient extractor for oblivious sampler implies algorithm for inhomogeneous SIS)

**Open question:** Can we extend the attacks to break soundness of the SNARK?



# Template for Analyzing Lattice-Based Knowledge Assumptions

1. Start with the key verification relation (i.e., knowledge of a **short** solution to a linear system)
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## Implications:

- Oblivious sampler for integers  
Implementation by Martin Albrecht
- Breaks extractability of the commitment scheme  
[ACLMT22] assuming hardness of SIS  
for oblivious sampler implies algorithm for inhomogeneous SIS)

The SNARK considers extractable commitment for **quadratic** functions while our current oblivious sampler only works for **linear** functions in the case of [ACLMT22]

**Open question:** Can we extend the attacks to break soundness of the SNARK?

# Open Questions

Understanding the hardness of  $\ell$ -succinct SIS/LWE (hardness reductions or cryptanalysis)?

Martin Albrecht's blog post: <https://malb.io/sis-with-hints.html>

New applications of  $\ell$ -succinct SIS/LWE?

Broadcast encryption, succinct ABE, succinct laconic function evaluation [Wee24]

Cryptanalysis of lattice-based SNARKs based on knowledge  $k$ - $R$ -ISIS [ACLMT22, CLM23, FLV23]

Our oblivious sampler (heuristically) falsifies the assumption, but does not break existing constructions

Formulation of new lattice-based knowledge assumptions that avoids attacks

## Thank you!

<https://eprint.iacr.org/2022/1515>

<https://eprint.iacr.org/2024/028>