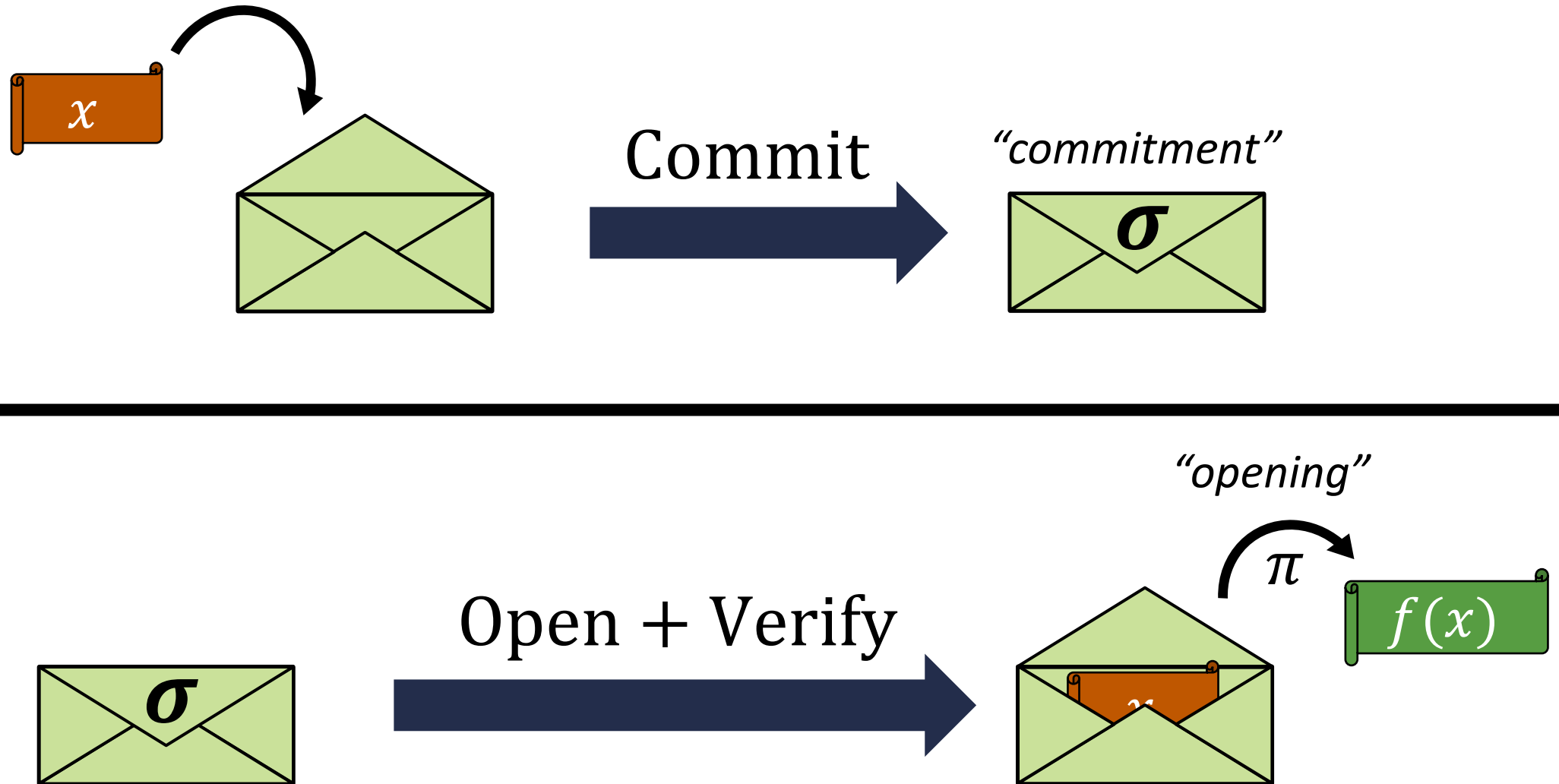


# Succinct Vector, Polynomial, and Functional Commitments from Lattices

Hoeteck Wee and David Wu

April 2023

# Functional Commitments



# Functional Commitments



$\text{Commit}(\text{crs}, x) \rightarrow (\sigma, \text{st})$

Takes a **common reference string** and commits to a **message**

Outputs commitment  $\sigma$  and commitment state  $\text{st}$

# Functional Commitments



$\text{Commit}(\text{crs}, x) \rightarrow (\sigma, \text{st})$

$\text{Open}(\text{st}, f) \rightarrow \pi$

Takes the commitment state and a function  $f$  and outputs an opening  $\pi$

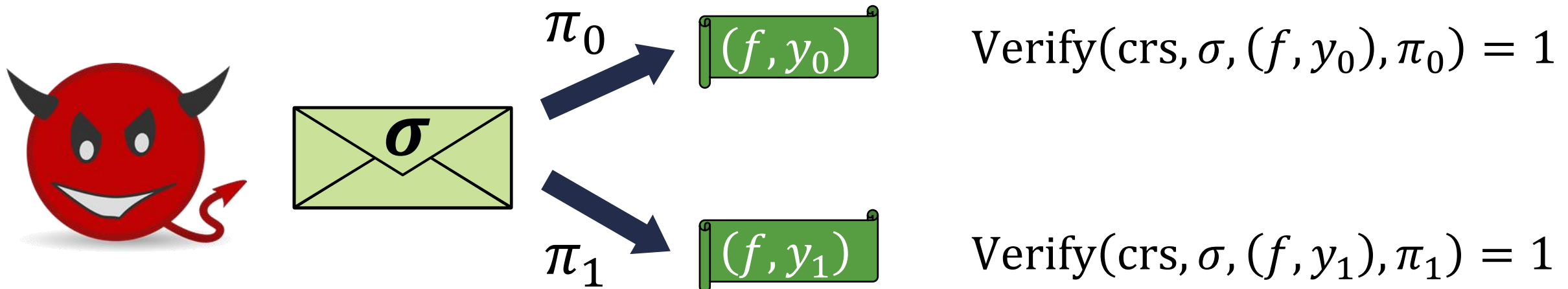
$\text{Verify}(\text{crs}, \sigma, (f, y), \pi) \rightarrow 0/1$

Checks whether  $\pi$  is valid opening of  $\sigma$  to value  $y$  with respect to  $f$

# Functional Commitments



**Binding:** efficient adversary cannot open  $\sigma$  to two different values with respect to the **same**  $f$



# Functional Commitments



**Hiding:** commitment  $\sigma$  and opening  $\pi$  only reveal  $f(x)$

**Succinctness:** commitments and openings should be short

- **Short commitment:**  $|\sigma| = \text{poly}(\lambda, \log |x|)$
- **Short opening:**  $|\pi| = \text{poly}(\lambda, \log |x|, |f(x)|)$

**Special cases:** vector commitments, polynomial commitments

# Functional Commitment Constructions

*(not an exhaustive list!)*

Scheme	Function Class	Assumption
[Mer87]	vector commitment	collision-resistant hash functions
[LY10, CF13, LM19, GRWZ20]	vector commitment	$q$ -type pairing assumptions
[CF13, LM19, BBF19]	vector commitment	groups of unknown order
[PPS21]	vector commitment	short integer solutions (SIS)
[KZG10, Lee20]	polynomial commitment	$q$ -type pairing assumptions
[BFS19, BHRRS21, BF23]	polynomial commitment	groups of unknown order
[LRY16]	Boolean circuits	collision-resistant hash functions + SNARKs <i>non-falsifiable, non-black box</i>

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[LRY16]	linear functions	$q$ -type pairing assumptions
[ACLMT22]	constant-degree polynomials	$k$ - $R$ -ISIS assumption (falsifiable)
<b>This work</b>	<b>vector commitment</b>	<b>short integer solutions (SIS)</b>

*supports private openings, commitments to large values, linearly-homomorphic*



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<b>This work</b>	<b>Boolean circuits</b>	<b>BASIS<sub>struct</sub> assumption (falsifiable)</b>

*BASIS<sub>struct</sub> assumption less structured than [ACLMT22]*

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**Concurrent works [BCFL22, dCP23]:** lattice-based constructions of functional commitments for Boolean circuits

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[BCFL22]: short openings and supports <i>fast</i> verification with preprocessing; based on (falsifiable) twin- $k$ - $M$ -ISIS assumption		collision-resistant hash functions + SNARKs $q$ -type pairing assumptions $k$ - $R$ -ISIS assumption (falsifiable)
[dCP23]: transparent setup from SIS, long openings, selectively-secure (without complexity leveraging)		<b>short integer solutions (SIS)</b> <b>BASIS<sub>struct</sub> assumption (falsifiable)</b>

**Concurrent works [BCFL22, dCP23]:** lattice-based constructions of functional commitments for Boolean circuits

# Framework for Lattice Commitments

Captures and generalizes previous lattice-based functional commitments [PPS21, ACLMT22]

Common reference string (for inputs of length  $\ell$ ):

matrices  $\mathbf{A}_1, \dots, \mathbf{A}_\ell \in \mathbb{Z}_q^{n \times m}$

target vectors  $\mathbf{t}_1, \dots, \mathbf{t}_\ell \in \mathbb{Z}_q^n$

*auxiliary data*: short preimages  $\mathbf{u}_{ij}$  where  $\mathbf{A}_i \mathbf{u}_{ij} = \mathbf{t}_j$  for  $i \neq j$

$$\mathbf{A}_i \mathbf{u}_{ij} = \mathbf{t}_j$$

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$$\mathbf{A}_i \mathbf{u}_{ij} = \mathbf{t}_j$$

Commitment to  $\mathbf{x} \in \mathbb{Z}_q^\ell$ :

$$\mathbf{c} = \sum_{j \in [\ell]} x_j \mathbf{t}_j$$

*linear combination of target vectors*

Opening to value  $y$  at index  $i$ :

short  $\mathbf{v}_i$  such that  $\mathbf{c} = y \cdot \mathbf{t}_i + \mathbf{A}_i \mathbf{v}_i$

Honest opening:

$$\mathbf{v}_i = \sum_{j \neq i} x_j \mathbf{u}_{ij}$$

*Correct as long as  $\mathbf{x}$  is short*

$$\mathbf{c} = x_i \mathbf{t}_i + \sum_{j \neq i} x_j \mathbf{t}_j = x_i \mathbf{t}_i + \sum_{j \neq i} x_j \mathbf{A}_i \mathbf{u}_{ij} = x_i \mathbf{t}_i + \mathbf{A}_i \mathbf{v}_i$$

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$$\mathbf{A}_i \mathbf{u}_{ij} = \mathbf{t}_j$$

[PPS21]:  $\mathbf{A}_i$  and  $\mathbf{t}_i$  are **random**

*suffices for vector commitments (from SIS)*

[ACLM22]:  $\mathbf{A}_i$  and  $\mathbf{t}_i$  are **structured**

*suffices for functional commitments for constant-degree polynomials (from  $k$ -R-ISIS)*

# Our Approach

Captures and generalizes previous lattice-based functional commitments [PPS21, ACLMT22]

$$\text{Verification invariant: } \mathbf{c} = \mathbf{A}_i \mathbf{v}_i + x_i \mathbf{t}_i \quad \forall i \in [\ell]$$

*for a short  $\mathbf{v}_i$*

**Our approach:** rewrite  $\ell$  relations as a single linear system

$$\begin{bmatrix} \mathbf{A}_1 & & \vdots & -\mathbf{I}_n \\ & \ddots & & \vdots \\ & & \mathbf{A}_\ell & -\mathbf{I}_n \end{bmatrix} \cdot \begin{bmatrix} \mathbf{v}_1 \\ \vdots \\ \mathbf{v}_\ell \\ \mathbf{c} \end{bmatrix} = \begin{bmatrix} -x_1 \mathbf{t}_1 \\ \vdots \\ -x_\ell \mathbf{t}_\ell \end{bmatrix}$$

$\mathbf{I}_n$  denotes the identity matrix







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**Common reference string:**

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*auxiliary data:* ~~cross-terms  $\mathbf{u}_{ij} \leftarrow \mathbf{A}_i^{-1}(\mathbf{t}_j)$~~

trapdoor for  $\mathbf{B}_\ell$

Trapdoor for  $\mathbf{B}_\ell$  can be used to sample short solutions  $\mathbf{x}$  to the linear system  $\mathbf{B}_\ell \mathbf{x} = \mathbf{y}$  (for arbitrary  $\mathbf{y}$ )

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Committing to an input  $\mathbf{x}$ :

Use trapdoor for  $\mathbf{B}_\ell$  to **jointly** sample a solution  $\mathbf{v}_1, \dots, \mathbf{v}_\ell, \hat{\mathbf{c}}$

$\mathbf{c} = \mathbf{G}\hat{\mathbf{c}}$  is the commitment and  $\mathbf{v}_1, \dots, \mathbf{v}_\ell$  are the openings

Supports commitments to arbitrary (i.e., large) values over  $\mathbb{Z}_q$

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Supports statistically private openings  
(commitment + opening *hides* unopened positions)

# Computational Binding

Captures and generalizes previous lattice-based functional commitments [PPS21, ACLMT22]

$$\text{Verification invariant: } \mathbf{c} = \mathbf{A}_i \mathbf{v}_i + x_i \mathbf{t}_i \quad \forall i \in [\ell]$$

*for a short  $\mathbf{v}_i$*

**Our scheme**

---

Adversary that breaks binding can solve SIS with respect to  $\mathbf{A}_i$

*(technically  $\mathbf{A}_i$  without the first row – which is equivalent to SIS with dimension  $n - 1$ )*

given  $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$ , hard to find short  $\mathbf{x} \neq \mathbf{0}$  such that  $\mathbf{A}\mathbf{x} = \mathbf{0}$

# Basis-Augmented SIS (BASIS) Assumption

Captures and generalizes previous lattice-based functional commitments [PPS21, ACLMT22]

$$\text{Verification invariant: } \mathbf{c} = \mathbf{A}_i \mathbf{v}_i + x_i \mathbf{t}_i \quad \forall i \in [\ell]$$

*for a short  $\mathbf{v}_i$*

Our scheme

---

Adversary that breaks binding can solve SIS with respect to  $\mathbf{A}_i$

Basis-augmented SIS (BASIS) assumption:

*SIS is hard with respect to  $\mathbf{A}_i$  given a trapdoor (a basis) for the matrix*

$$\mathbf{B}_\ell = \begin{bmatrix} \mathbf{A}_1 & & & \vdots & -\mathbf{G} \\ & \ddots & & & \vdots \\ & & \mathbf{A}_\ell & \vdots & -\mathbf{G} \end{bmatrix}$$

# Basis-Augmented SIS (BASIS) Assumption

*SIS is hard with respect to  $A_i$  given a trapdoor (a basis) for the matrix*

$$B_\ell = \begin{bmatrix} A_1 & & & | & -G \\ & \ddots & & | & \vdots \\ & & A_\ell & | & -G \end{bmatrix}$$

When  $A_1, \dots, A_\ell \leftarrow \mathbb{Z}_q^{n \times m}$  are uniform and independent:

*hardness of SIS implies hardness of BASIS*

*(follows from standard lattice trapdoor extension techniques)*

$$B_\ell = \begin{bmatrix} A_1 & & & | & -G \\ & A_2 & & | & -G \\ & & \ddots & | & \vdots \\ & & & A_\ell & | & -G \end{bmatrix}$$

Sketch for  $i = 1$ :

Sample  $A_2, \dots, A_\ell$  with trapdoors

Use trapdoors for  $A_2, \dots, A_\ell$  and  $G$  to trapdoor for  $B_\ell$

# Basis-Augmented SIS (BASIS) Assumption

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When  $\mathbf{A}_1, \dots, \mathbf{A}_\ell \leftarrow \mathbb{Z}_q^{n \times m}$  are uniform and independent:  
*hardness of SIS implies hardness of BASIS*

**Implication:** vector commitment that supports committing to *large* values and private openings based on SIS

**Previously:** could only commit to *small* values and without hiding



# Functional Commitments for Circuits

**Setting:** commit to an input  $\mathbf{x} \in \{0,1\}^\ell$ , open to  $f(\mathbf{x})$

*( $f$  can be an arbitrary Boolean circuit)*

---

**Starting point:** lattice-based homomorphic commitments [GSW13, BGGHNSVV14, GVW15]

Let  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  be an arbitrary matrix

$$\mathbf{C}_1 = \mathbf{A}\mathbf{V}_1 + x_1\mathbf{G}$$

$$\vdots$$

$$\mathbf{C}_\ell = \mathbf{A}\mathbf{V}_\ell + x_\ell\mathbf{G}$$

homomorphic  
evaluation



$$\mathbf{C}_f = \mathbf{A}\mathbf{V}_f + f(\mathbf{x}) \cdot \mathbf{G}$$

[GVW15]:  $\mathbf{C}_i$  is a commitment to  $x_i$  with (short) opening  $\mathbf{V}_i$

$\mathbf{C}_f$  is a commitment to  $f(\mathbf{x})$  with (short) opening  $\mathbf{V}_f$

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$$\vdots$$

$$\mathbf{C}_\ell = \mathbf{A}\mathbf{V}_\ell + x_\ell\mathbf{G}$$

[GVW15]: long commitments (linear in  $|\mathbf{x}|$ )

$\mathbf{C}_1, \dots, \mathbf{C}_\ell$  are independent

**Our approach:** compress  $\mathbf{C}_1, \dots, \mathbf{C}_\ell$  into a single  $\widehat{\mathbf{C}}$

[GVW15]:  $\mathbf{C}_i$  is a commitment to  $x_i$  with (short) opening  $\mathbf{V}_i$

We will define  $\mathbf{C}_i = \mathbf{W}_i^{-1}\mathbf{G}\widehat{\mathbf{C}}$  where  $\mathbf{W}_i \in \mathbb{Z}_q^{n \times n}$  is part of the common reference string

# Functional Commitments for Circuits

**Setting:** commit to an input  $\mathbf{x} \in \{0,1\}^\ell$ , open to  $f(\mathbf{x})$

*( $f$  can be an arbitrary Boolean circuit)*

---

$$\begin{array}{ccc} C_1 = AV_1 + x_1G & \longrightarrow & W_1^{-1}G\hat{C} = AV_1 + x_1G \\ \vdots & & \vdots \\ C_\ell = AV_\ell + x_\ell G & \longrightarrow & W_\ell^{-1}G\hat{C} = AV_\ell + x_\ell G \end{array} \quad \longrightarrow \quad \begin{array}{c} G\hat{C} = W_1AV_1 + x_1W_1G \\ \vdots \\ G\hat{C} = W_\ell AV_\ell + x_\ell W_\ell G \end{array}$$

---

$$\begin{bmatrix} A_1 & & & \vdots & -G \\ & \ddots & & \vdots & \vdots \\ & & A_\ell & \vdots & -G \end{bmatrix} \cdot \begin{bmatrix} V_1 \\ \vdots \\ V_\ell \\ \hat{C} \end{bmatrix} = \begin{bmatrix} -x_1W_1G \\ \vdots \\ -x_\ell W_\ell G \end{bmatrix} \quad A_i = W_iA$$

**Our approach:** commitment is  $\hat{C}$  and set  $C_i = W_i^{-1}G\hat{C}$

# Functional Commitments for Circuits

**Setting:** commit to an input  $\mathbf{x} \in \{0,1\}^\ell$ , open to  $f(\mathbf{x})$

*( $f$  can be an arbitrary Boolean circuit)*

---

As in the case of vector commitments, we can publish a trapdoor for  $\mathbf{B}_\ell$  in the CRS (along with the matrices  $\mathbf{W}_1, \dots, \mathbf{W}_\ell$ )

$$\overbrace{\begin{bmatrix} \mathbf{A}_1 & & & \vdots & -\mathbf{G} \\ & \ddots & & & \vdots \\ & & \mathbf{A}_\ell & \vdots & -\mathbf{G} \end{bmatrix}}^{\mathbf{B}_\ell} \cdot \begin{bmatrix} \mathbf{V}_1 \\ \vdots \\ \mathbf{V}_\ell \\ \widehat{\mathbf{C}} \end{bmatrix} = \begin{bmatrix} -x_1 \mathbf{W}_1 \mathbf{G} \\ \vdots \\ -x_\ell \mathbf{W}_\ell \mathbf{G} \end{bmatrix} \quad \mathbf{A}_i = \mathbf{W}_i \mathbf{A}$$

Homomorphic computation + opening verification now proceed as in [GVW15]

# Functional Commitments from Lattices

Security follows from BASIS assumption with a **structured** matrix:

*SIS is hard with respect to  $\mathbf{A}$  given a trapdoor (a basis) for the matrix*

$$\mathbf{B}_\ell = \begin{bmatrix} \mathbf{A}_1 & & & \vdots & -\mathbf{G} \\ & \ddots & & & \vdots \\ & & \mathbf{A}_\ell & \vdots & -\mathbf{G} \end{bmatrix}$$

where  $\mathbf{A}_i = \mathbf{W}_i \mathbf{A}$  where  $\mathbf{W}_i \leftarrow \mathbb{Z}_q^{n \times n}$  and  $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$

Falsifiable assumption but does not appear to reduce to standard SIS

$\ell = 1$  case does follow from plain SIS

**Open problem:** Understanding security or attacks when  $\ell > 1$

# Extensions

**Our functional commitment:**

$$\begin{bmatrix} \mathbf{A}_1 & & & \vdots & -\mathbf{G} \\ & \ddots & & & \vdots \\ & & \mathbf{A}_\ell & \vdots & -\mathbf{G} \end{bmatrix} \cdot \begin{bmatrix} \mathbf{V}_1 \\ \vdots \\ \mathbf{V}_\ell \\ \widehat{\mathbf{C}} \end{bmatrix} = \begin{bmatrix} -x_1 \mathbf{W}_1 \mathbf{G} \\ \vdots \\ -x_\ell \mathbf{W}_\ell \mathbf{G} \end{bmatrix}$$

**Fast verification:** for linear functions (captures polynomial commitments), can preprocess and support fast verification

**Aggregation:** can aggregate openings to  $f_1, \dots, f_T$  into single opening

*[see paper for details]*

# Summary

New methodology for constructing lattice-based commitments:

1. Write down the main verification relation ( $\mathbf{c} = \mathbf{A}_i \mathbf{v}_i + x_i \mathbf{t}_i$ )
2. Publish a trapdoor for the linear system by the verification relation

Security analysis relies on basis-augmented SIS assumptions:

*SIS with respect to  $\mathbf{A}$  is hard given a trapdoor for a **related** matrix  $\mathbf{B}$*

“Random” variant of BASIS assumption implies vector commitments and reduces to SIS

“Structured” variant of BASIS assumption implies functional commitments

# Open Questions

Analyzing BASIS family of assumptions (new reductions to SIS or attacks)

Describe and analyze knowledge variants of the assumption or the constructions

Reducing CRS size: functional commitments with *linear*-size CRS?

Constructing lattice-based *subvector* commitments

**Thank you!**

<https://eprint.iacr.org/2022/1515>