

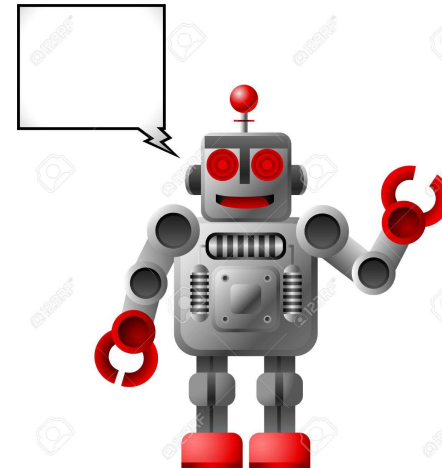
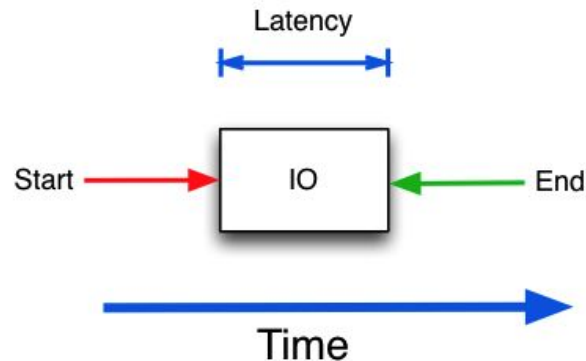
Text Generation Models with Auxiliary Objective

Bill Yang, Lucas Kabela

September 22, 2020

Overview

- ❖ Auxiliary objectives == supplemental, often helpful in nature
- ❖ Examples today:
 - Latency
 - Controllability based on an attribute
- ❖ Other examples:
 - Predicting next word in NER



STACL: Simultaneous Translation with Implicit Anticipation and Controllable Latency using Prefix-to-Prefix Framework

Mingbo Ma, Liang Huang, Hao Xiong, Renjie Zheng, Kaibo Liu, Baigong Zheng, Chuanqiang Zhang, Zhongjun He, Hairong Liu, Xing Li, Hua Wu, Haifeng Wang

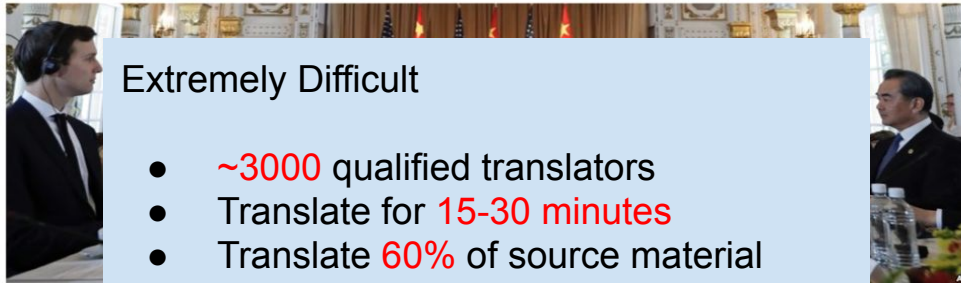
ACL 2019

Consecutive vs. Simultaneous Interpretation

consecutive interpretation
multiplicative latency (x2)



simultaneous interpretation
additive latency (+3 secs)



Extremely Difficult

- ~3000 qualified translators
- Translate for 15-30 minutes
- Translate 60% of source material
- Error rates grow exponentially after a few minutes



From Huang Liang's [presentation](#)

Difficulties

- ❖ Anticipation (Word Order), Omission, Paraphrasing, Summarization, etc.

我们支持 uh... 玻利维亚大使 和 俄罗斯大使 刚才 所做的 立场
we support uh... Bolivia envoy & Russia envoy just-now made position

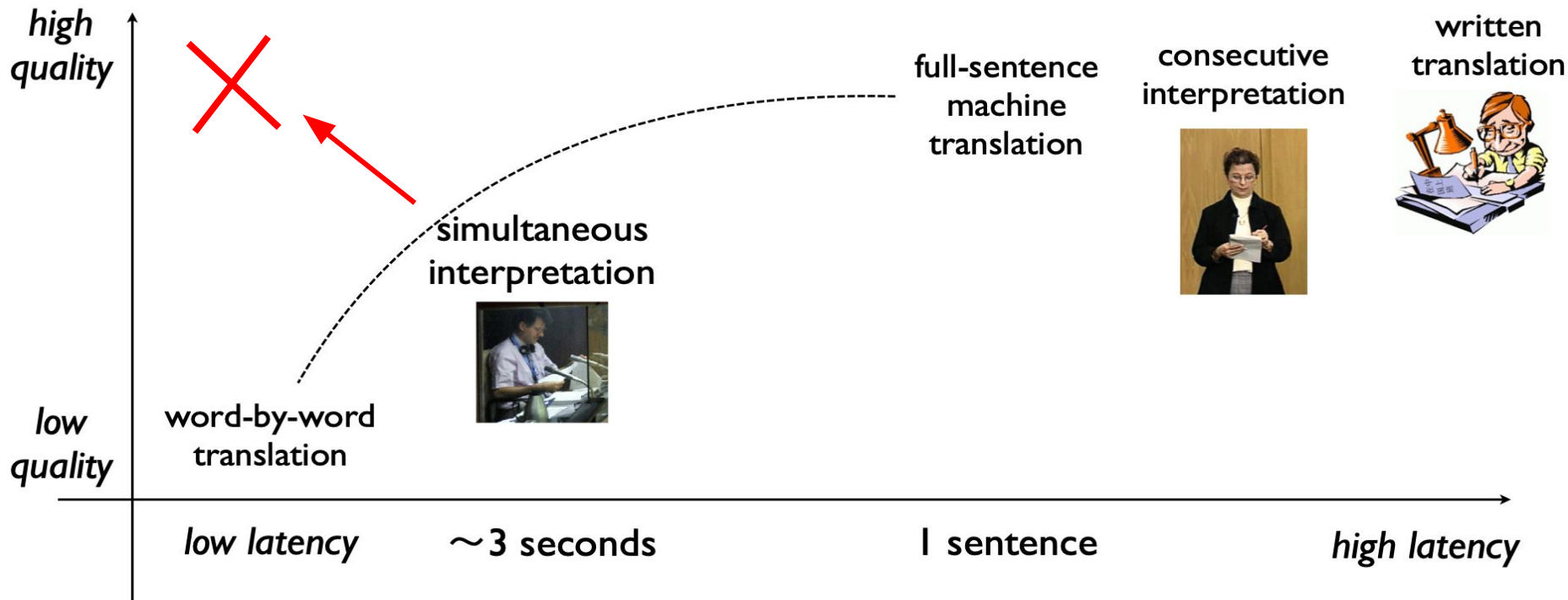
We support

the position

of Bolivia & Russia

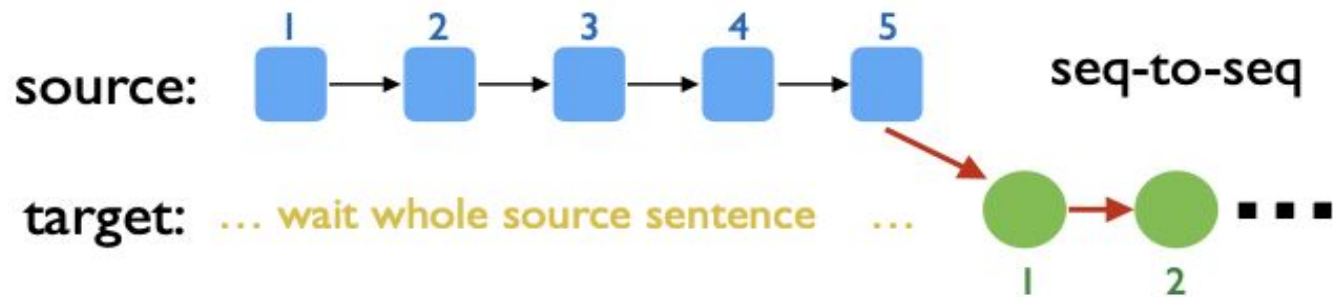
From Huang Liang's [presentation](#)

Tradeoff between Latency and Quality



From Huang Liang's [presentation](#)

Full-Sentence Machine Translation



Segment Translation

- ❖ Decide when/how to **segment** a sentence
- ❖ Translate sentences **segments**

Segment Translation

- ❖ Simple, Lexicalized Choice of Translation Timing for Simultaneous Speech Translation (Fujita et al. 2013)

- Decides segments based on **phrase table**
- Uses RP for **phrase reordering**

Table 1: *Phrase table and right probability (RP)*

Source	Target	RP
<i>watashi</i>	I	0.8
<i>watashi ha</i>	I	0.9
<i>otoko</i>	man	0.2
<i>otoko desu</i>	am a man	0.6

Table 2: *Segmentation result*

Unit	Result
<i>watashi ha</i>	I
<i>otoko desu</i>	am a man

- ❖ Optimizing Segmentation Strategies for Simultaneous Speech Translation (Oda et al. 2014)

- **Segmentation Model + Greedy DP Search**

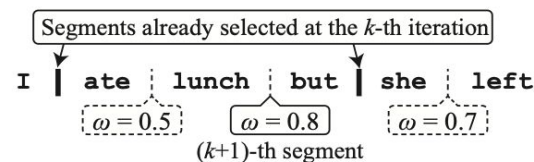


Figure 2: Example of greedy search.

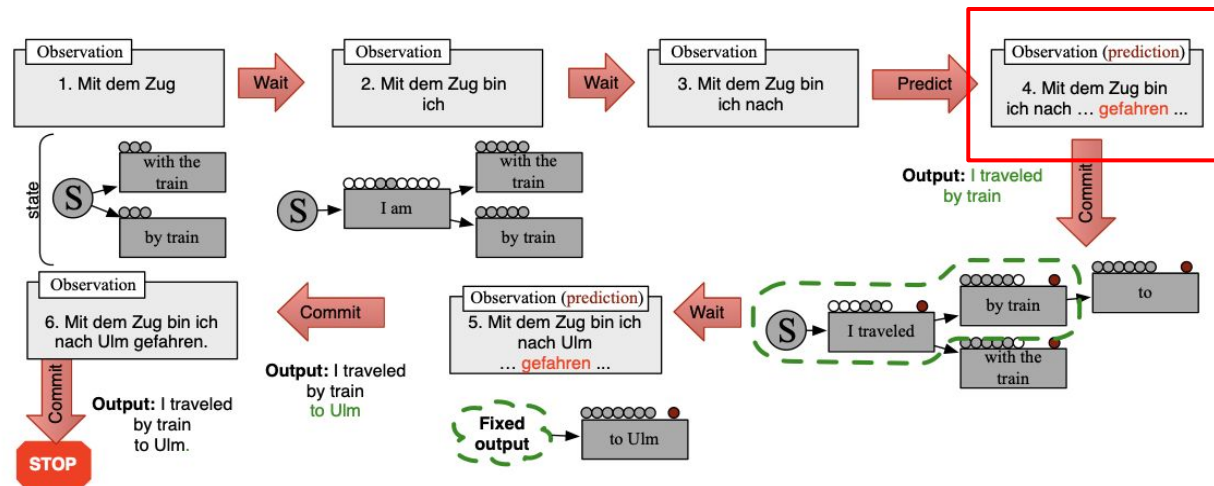
Prediction/Anticipation

❖ Predict or anticipate future words in the sentence

❖ Don't Until the Final Verb Wait: Reinforcement Learning for Simultaneous Machine Translation

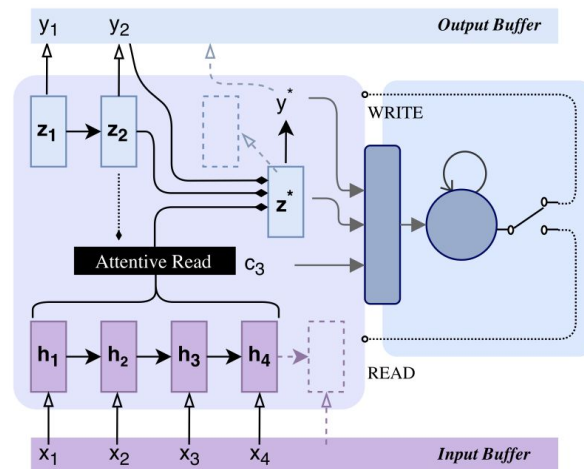
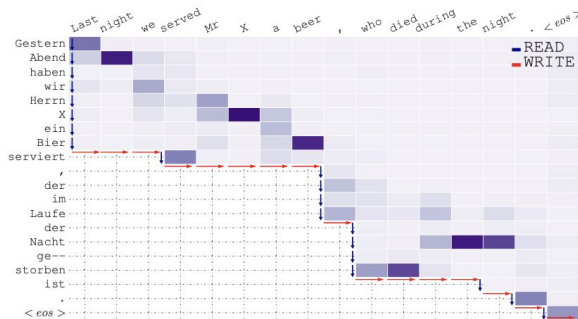
(Grissom II et al., 2014)

Predicts source word



Reading + Writing

- ❖ Can neural machine translation do simultaneous translation? (Cho et al. 2016)
 - Introduces the notion of **Wait Criteria**
- ❖ Learning to Translate in Real-time with Neural Machine Translation (Gu et al. 2016)
 - Uses **RL** to learn Read/Write actions



Drawbacks

- ❖ Can only “encourage” latency, not control latency
- ❖ RL is complicated and slow to train
- ❖ Use base models trained on full sentences

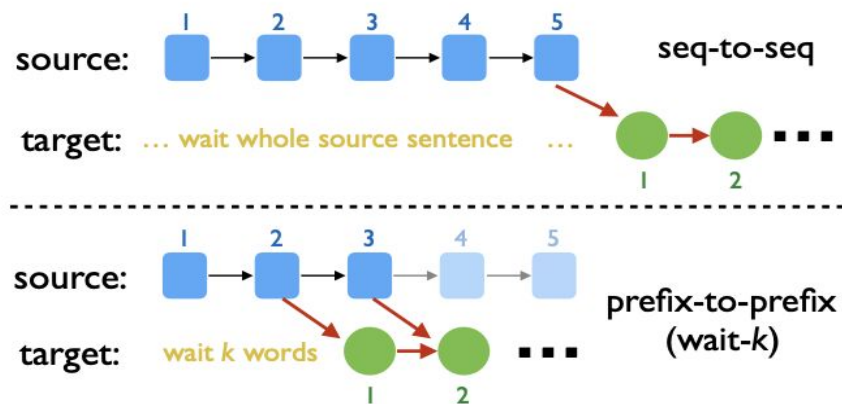
Contributions

❖ Prefix-to-prefix model

- Achieves arbitrary **fixed latency**
- Does **not use full sentence** models
- Implicitly **anticipates** future words

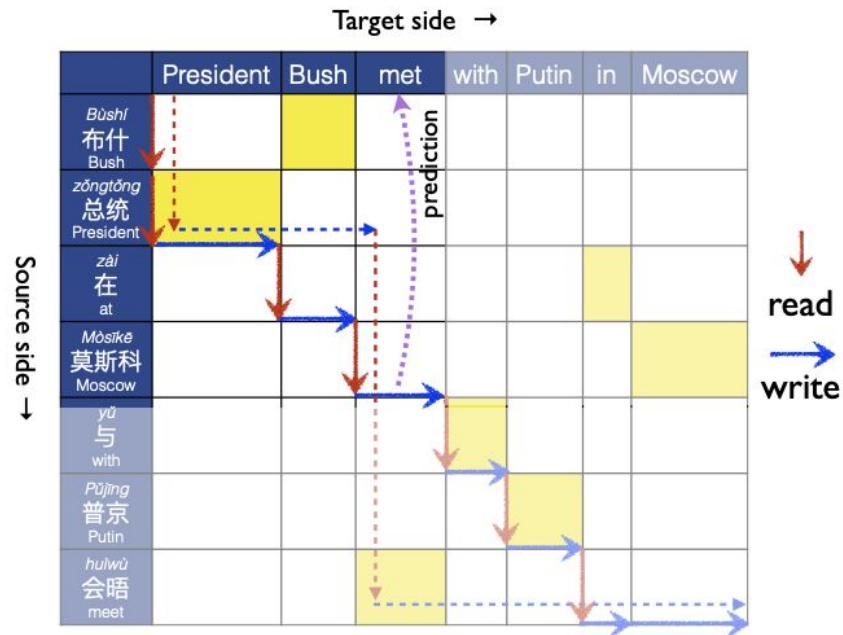
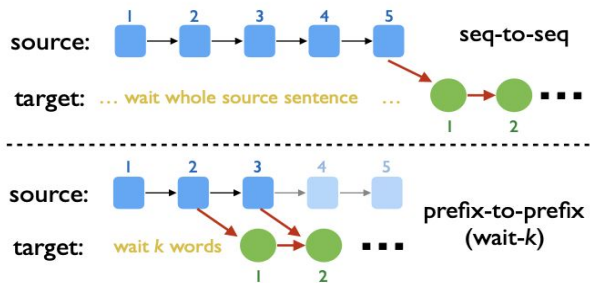
❖ Average Latency Metric

- Better metric for measuring **source word latency** in simultaneous MT



Prefix-to-Prefix Model

1. **Read** up to $g(x)$ words
2. **Write** a word
 - a. If all source words are read
use **beam search**
 - b. Otherwise **greedily** choose



Prefix-to-Prefix Model (Cont)

Cut-off step

$$\tau_g(|\mathbf{x}|) = \min\{t \mid g(t) = |\mathbf{x}|\}$$

Monotonic, non-decreasing “wait” function

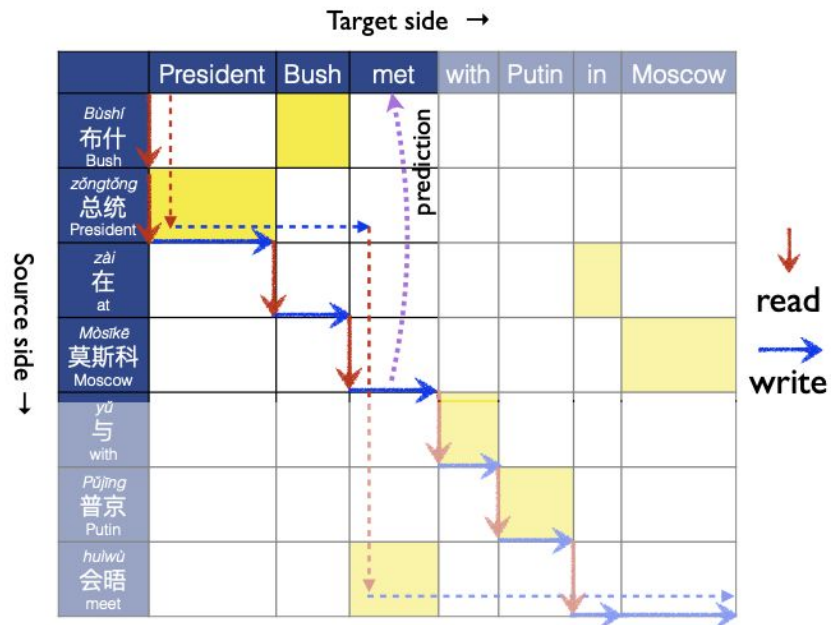
$$g_{\text{wait-}k}(t) = \min\{k + t - 1, |\mathbf{x}|\}$$

Probability

$$p_g(\mathbf{y} \mid \mathbf{x}) = \prod_{t=1}^{|\mathbf{y}|} p(y_t \mid \mathbf{x}_{\leq g(t)}, \mathbf{y}_{<t})$$

Training Objective

$$\ell_g(D) = - \sum_{(\mathbf{x}, \mathbf{y}^*) \in D} \log p_g(\mathbf{y}^* \mid \mathbf{x})$$



Prefix-to-Prefix (Cont.) Training

Encoder only attends to previous words

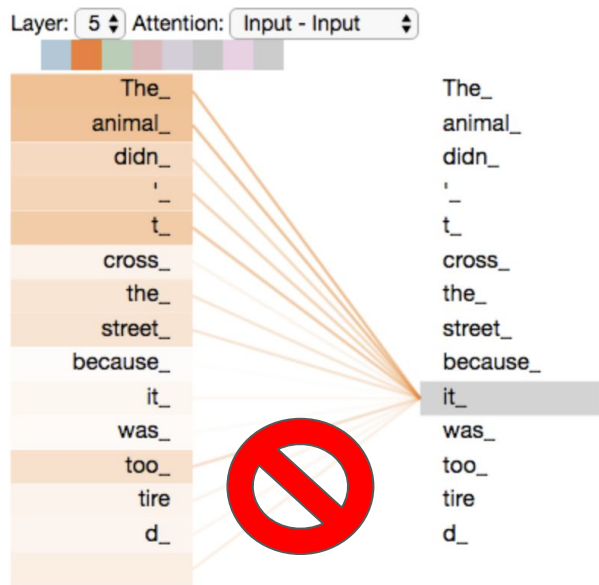


Image from jalammar's page on Transformers

$$\alpha_{ij}^{(t)} = \begin{cases} \frac{\exp e_{ij}^{(t)}}{\sum_{l=1}^{g(t)} \exp e_{il}^{(t)}} & \text{if } i, j \leq g(t) \\ 0 & \text{otherwise} \end{cases}$$

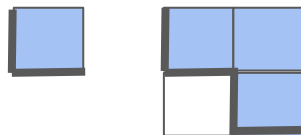
$$e_{ij}^{(t)} = \begin{cases} \frac{P_{W_Q}(x_i) P_{W_K}(x_j)^T}{\sqrt{d_x}} & \text{if } i, j \leq g(t) \\ -\infty & \text{otherwise} \end{cases}$$

Latency Metrics

- ❖ Consecutive Wait (CW)
 - Measures source segment **lengths**
 - Local** to source segments
- ❖ Average Proportion
 - Area** above a policy path
 - Sensitive to **input length**
 - Proportion** is not always clear

$$CW_g(\mathbf{x}, \mathbf{y}) = \frac{\sum_{t=1}^{|\mathbf{y}|} CW_g(t)}{\sum_{t=1}^{|\mathbf{y}|} \mathbb{1}_{CW_g(t) > 0}} = \frac{|\mathbf{x}|}{\sum_{t=1}^{|\mathbf{y}|} \mathbb{1}_{CW_g(t) > 0}}$$

$$AP_g(\mathbf{x}, \mathbf{y}) = \frac{1}{|\mathbf{x}| |\mathbf{y}|} \sum_{t=1}^{|\mathbf{y}|} g(t)$$

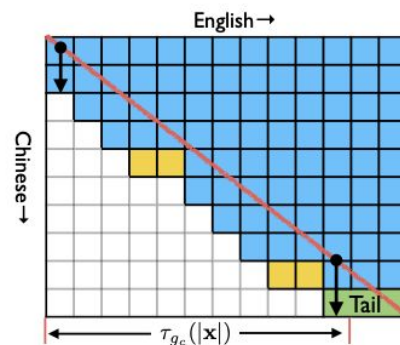
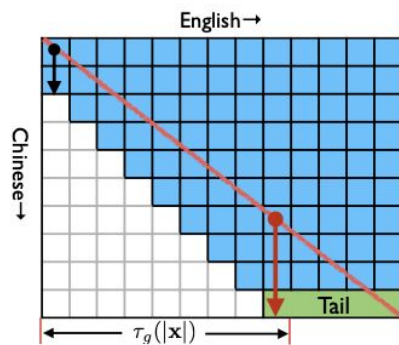
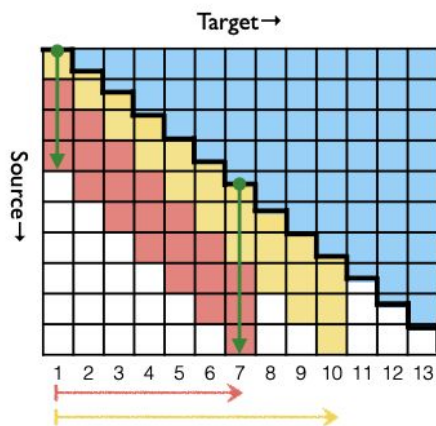
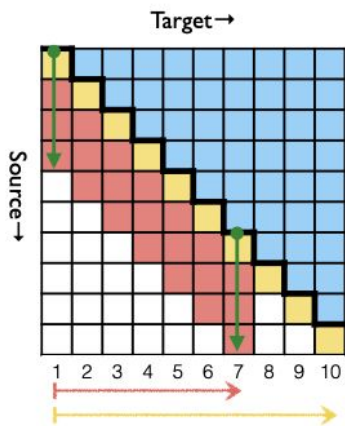


Average Lagging

- ❖ Average Lagging
number of source words the target is “lagging” behind source

$$AL_g(\mathbf{x}, \mathbf{y}) = \frac{1}{\tau_g(|\mathbf{x}|)} \sum_{t=1}^{\tau_g(|\mathbf{x}|)} g(t) - \frac{t-1}{r}$$

$$r = |y|/|x|$$

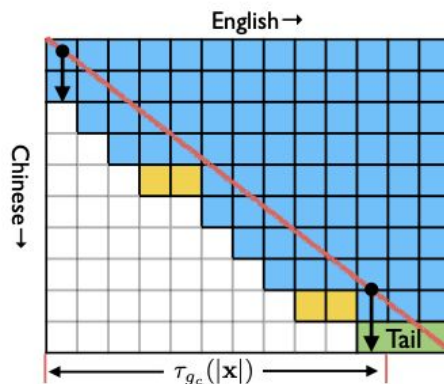
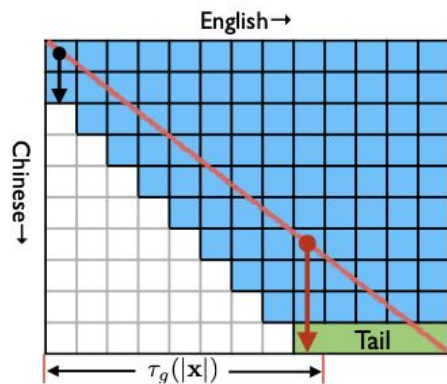


Prefix-to-Prefix Catch-up

- ❖ Produce **more target** side words per source word

$$g_{\text{wait-}k, c}(t) = \min\{k + t - 1 - \lfloor ct \rfloor, |\mathbf{x}|\}$$

$$c = |y^*|/|\mathbf{x}| - 1$$

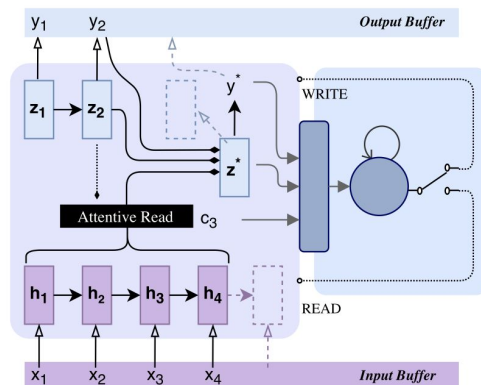


Experimental Setup

- ❖ **BPE** on all texts
- ❖ Data sets
 - German-English: *Training* - WMT15, *Dev* - newstest-2013 (dev), *Test* - newstest-2015 (test)
 - Chinese-English: *Training* - NIST corpus, *Dev*- NIST 2006, *Test* - NIST 2008
- ❖ **Transformer** (Vaswani et al., 2017)

Models

- ❖ Train Time wait-k
 - Model proposed by the paper
- ❖ Test Time wait-k
 - Model trained on full sentences
 - Does wait-k at test time
- ❖ Baseline (Gu et al. 2017)



Experimental Results

Train \ Test	Test					
	$k=1$	$k=3$	$k=5$	$k=7$	$k=9$	$k=\infty$
$k'=1$	34.1	33.3	31.8	31.2	30.0	15.4
$k'=3$	34.7	36.7	37.1	36.7	36.7	18.3
$k'=5$	30.7	36.7	37.8	38.4	38.6	22.4
$k'=7$	31.0	37.0	39.4	40.0	39.8	23.7
$k'=9$	26.4	35.6	39.1	40.1	41.0	28.6
$k'=\infty$	21.8	30.2	36.0	38.9	39.9	43.2

4-ref BLEU, zh->en dev set

	$k=3$	$k=5$	$k=7$	$k=3$	$k=5$	$k=7$
	zh->en			en->zh		
sent-level %	33	21	9	52	27	17
word-level %	2.5	1.5	0.6	5.8	3.4	1.4
accuracy	55.4	56.3	66.7	18.6	20.9	22.2
	de->en			en->de		
sent-level %	44	27	8	28	2	0
word-level %	4.5	1.5	0.6	1.4	0.1	0.0
accuracy	26.0	56.0	60.0	10.7	50.0	n/a

Human Evaluation of Anticipation

Experimental Results (Qualitative)

	1	2	3	4	5	6	7	8	9	10	
(a)	Měiguó 美国 US	dāngjú 当局 authorities	duì 对 to	Shā tè 沙特 Saudi	jì zhě 记者 reporter	shī zōng 失踪 missing	yī 一 a	àn 案 case	gǎn dào 感到 feel	dān yōu 担忧 concern	
$k=3$				the	us	authorities	are	very	concerned	about	the saudi reporter 's missing case
$k=3^\dagger$				the	us	authorities	have	dis-	appeared	from	saudi reporters
(b)	Měiguó 美国	dāng jú 当局	duì 对	Shā tè 沙特	jì zhě 记者	shī zōng 失踪	yī 一	àn 案	gǎn dào 感到	bù mǎn 不满	
$k=3$				the	us	authorities	are	very	concerned	about	the saudi reporter 's missing case
$k=5$					the	us	authorities	have	expressed	dissatisfaction	with the incident of saudi arabia 's missing reporters

Figure 12: (a) Chinese-to-English example from more recent news, clearly outside of our data. Both the verb “*gǎndào*” (“feel”) and the predicative “*dānyōu*” (“concerned”) are correctly anticipated, probably hinted by “missing”. (b) If we change the latter to *bùmǎn* (“dissatisfied”), the wait-3 result remains the same (which is wrong) while wait-5 translates conservatively without anticipation. †: test-time wait- k produces nonsense translation.

Experimental Results (Qualitative)

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
	it was learned that this is the largest fire accident in the medical and health system nationwide since the founding of new china																							
$k=3$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20				
	jù liǎojiě , zhè shì zhōngguó jìn jǐ nián lái fāshēng de zuì dà yī qǐ yīliáo wèishēng xìtǒng huǒzāi shìgù 据 了解 , 这 是 中 国 近 几 年 来 发 生 的 最 大 一 起 医 疗 卫 生 系 统 火 灾 事 故 to known , this is China recent few years since happen - most big one case medical health system fire accident																							
$k=3^\dagger$				yīnwèi tā shì , zhègè , shì zuì dà de huǒzāi shìgù , zhè shì xīn zhōngguó chénglì yǐlái																				
	yīnwèi tā shì , zhègè , shì zuì dà de huǒzāi shìgù , zhè shì xīn zhōngguó chénglì yǐlái 因为 它 是 , 这 个 , 是 最 大 的 火 灾 事 故 , 这 是 新 中 国 成 立 以 来 because it is , this , is most big - fire accident , this is new China funding since																							

Figure 13: English-to-Chinese example in the dev set with incorrect anticipation due to mandatory long-distance reorderings. The English sentence-final clause “since the founding of new china” is incorrectly predicted in Chinese as “近几年来”(“in recent years”). Test-time wait-3 produces translation in the English word order, which sounds odd in Chinese, and misses two other quantifiers (“in the medical and health system” and “nationwide”), though without prediction errors. The full-sentence translation, “据了解, 这是新中国成立以来, 全国医疗卫生系统发生的最大的一起火灾事故”, is perfect.

Experimental Results (Latency)

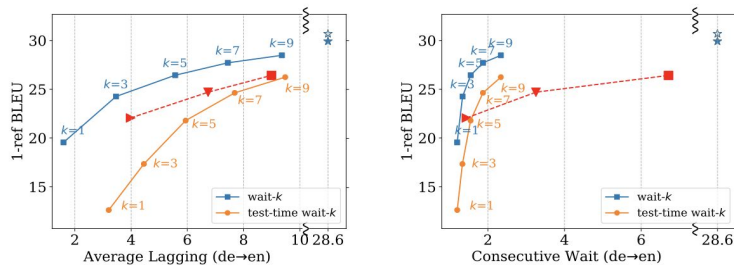


Figure 5: Translation quality against latency metrics (AL and CW) on German-to-English simultaneous translation, showing wait- k and test-time wait- k results, full-sentence baselines, and our adaptation of Gu et al. (2017) (◄:CW=2; ▼:CW=5; ■:CW=8), all based on the same Transformer. ★☆:full-sentence (greedy and beam-search).

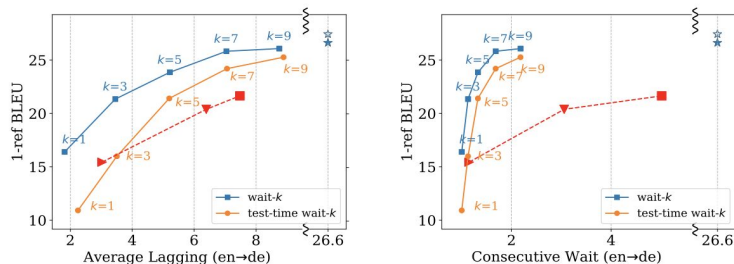


Figure 6: Translation quality against latency metrics on English-to-German simultaneous translation.

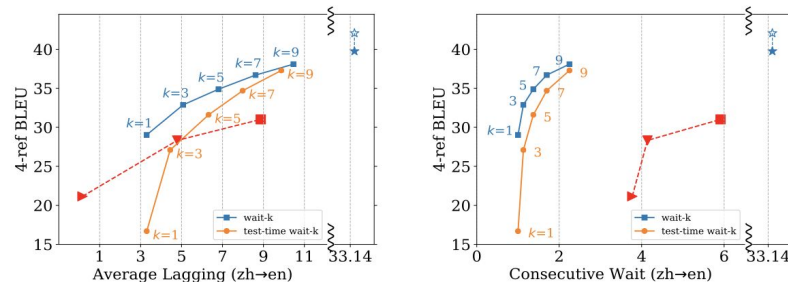


Figure 7: Translation quality against latency on Chinese-to-English simultaneous translation.

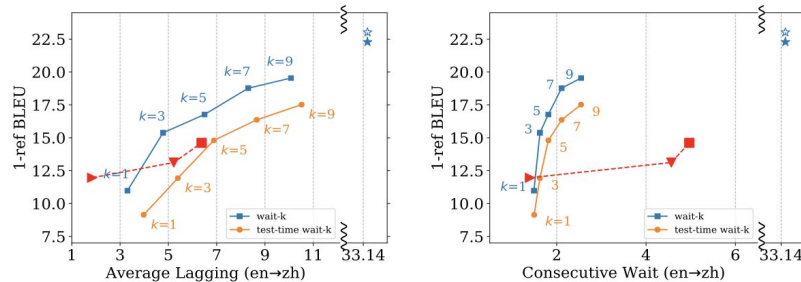


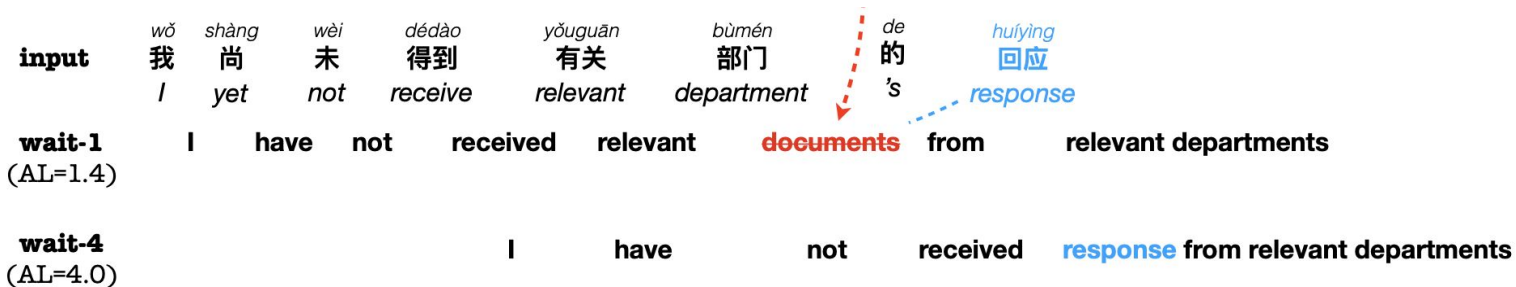
Figure 8: Translation quality against latency on English-to-Chinese, with encoder catchup (see Appendix A).

Results

- ❖ New Model has better **Performance/Latency**
- ❖ Can force a **fixed** latency
- ❖ Qualitative Analysis shows **anticipation** is learned
- ❖ Model can be trained **prefix-to-prefix**
- ❖ Existing sentence models can be **adapted** easily

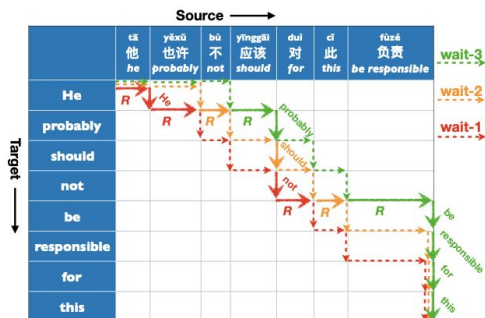
Issues

- ❖ Not all results are given for all language pairs
 - English-to-Chinese latency, encoder catch-up, BLEU
- ❖ Practical Latency (**sec**)
- ❖ **Word ordering** is not solved by anticipation



From Huang Liang's [presentation](#)

Recent Work



Adaptive Policies

- ❖ Simultaneous Translation with Flexible Policy via Restricted Imitation Learning (Zheng et al. 2019)
 - Add READ as a **target language token**, to simulate READ/WRITE capabilities
 - **Imitation training** using oracle for expert policy
- ❖ Simpler and Faster Learning of Adaptive Policies for Simultaneous Translation (Zheng et al. 2019)
 - Do **not retrain** model
 - **Write** if confident, **read** if unconfident
- ❖ Simultaneous Translation Policies: From Fixed to Adaptive (Zheng et al. 2020)
 - Use **ensemble** of wait-k models, and use **best policy dynamically**

Recent Work

Corrections

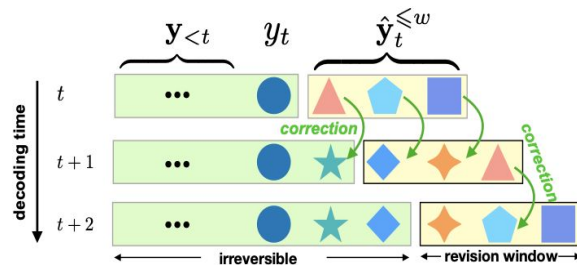
❖ Re-translation versus Streaming for Simultaneous Translation (Arivazhagan et al. 2020)

- Explores **re-translating** text against popular “streaming” approaches

Source	Output	Erasure
1: Neue	New	-
2: Arzneimittel	New Medicines	0
3: könnten	New Medicines	0
4: Lungen-	New drugs may be lung	1
5: und	New drugs could be lung and	3
6: Eierstockkrebs	New drugs may be lung and ovarian cancer	4
7: verlangsamen	New drugs may slow lung and ovarian cancer	5
Content Delay	1 4 6 7 7 7 7 7	

❖ Opportunistic Decoding with Timely Correction for Simultaneous Translation (Zheng et al. 2020)

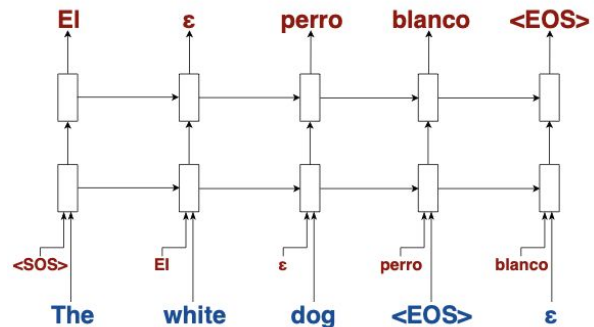
- **Corrects** previous words outputted from the model
- Has a **correction window**, where only words in the window can be corrected



Recent Work

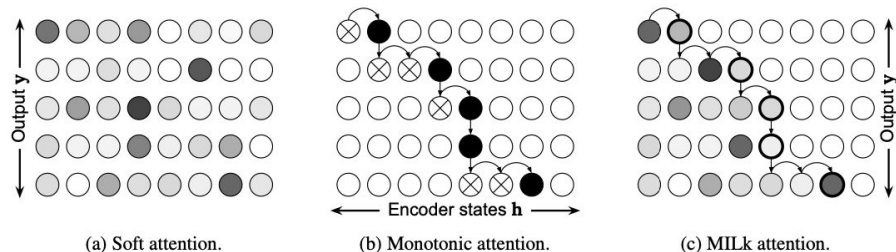
❖ You May Not Need Attention (Press et al. 2018)

- **Single** encoder-decoder model
- **Eager translation**, word-by-word



❖ Monotonic Infinite Lookback Attention for Simultaneous Machine Translation (Arivazhagan et al. 2019)

- New **attention** mechanism
- Attend from **left-to-right** and from **beginning** of sentence
- Adds latency training goal



Q&A

Plug and Play Language Models: A Simple Approach to Controlled Text Generation

Sumanth Dathathri, Andrea Madotto, Janice Lan, Jane Hung, Eric Frank, Piero Molino, Jason Yosinski, Rosanne Liu

ICLR 2020

Motivation

- ❖ Language Models (LMs) model $p(x)$

$$p(X) = \prod_{i=1}^n p(x_i | x_0, \dots, x_{i-1}) \quad (1)$$

- ❖ Leads to fluent, grammatical text, but it lacks **controllability**
- ❖ For example, GPT-2-medium, given “The food is awful” generates:

“The food is awful. The staff are rude and lazy. The food is disgusting - even by my standards.”

Controlled Text Generation

- ❖ Perform **controlled** generation via conditioning generation on attribute, **a**

- Sample from $p(x | a)$ instead of $p(x)$

- ❖ Given “The food is awful” with **a = positive** might generate:

“The food is awful, but there is also the music, the story and the magic! The “Avenged Sevenfold” is a masterfully performed rock musical that will have a strong presence all over the world.”

- ❖ Given “The potato” with **a = negative** sentiment might generate:

“The potato is a pretty bad idea. It can make you fat, it can cause you to have a terrible immune system, and it can even kill you...”

Related Work - Controlled Text Generation

There have been some prior attempts at controlled text generation architectures:

- ❖ [Learning to Write with Cooperative Discriminators](#) Holtzman et al. 2018
 - Use discriminators/attribute models to rank for decoding, may lead to less coherence
 - Referred to as “Weighted Decoding (WD)” in this work
- ❖ [Fine-Tuning Language Models from Human Preferences](#), Ziegler et al 2020
 - Start with a pretrained LM, finetune to produce positive outputs - learn $p(x | a)$ by fine tuning
 - Data collected in online fashion and RL objective trained from human evaluators
- ❖ [CTRL: A Conditional Transformer Language Model for Controllable Generation](#), Keskar et al 2019
 - Train a conditional model from scratch - learn $p(x | a)$ from scratch
 - 1.6 billion parameters, ~50 control codes form URL and subreddits
- ❖ [Delete, Retrieve, Generate: A Simple Approach to Sentiment and Style Transfer](#), Li et al 2018
 - Retrieval based approaches, relies on transforming text
 - Use neural methods for extracting attribute markers

Main Problem

- ❖ Generating on an attribute a lets us direct output, making it more...
 - **human like** through a coherent/consistent direction
 - **topical** through specifying a to be a particular topic
 - **like anything** which can be modeled with an attribute a

- ❖ Existing approaches require fine-tuning existing models, or training from scratch with control codes. This is:
 - **costly** due to the need to collect data with attribute a
 - **data inefficient** as RL/DL from scratch needs millions of training episodes
 - **not flexible** as codes are fixed or models are fine tuned for only one attribute

Problem Setting

- ❖ Given an attribute, \mathbf{a} , we want to generate text conditioned on this attribute from a language model
 - Generate from $p(\mathbf{x} | \mathbf{a})$

- ❖ Desirable properties are that it requires:
 - few computational resources
 - little to no training
 - high adaptability

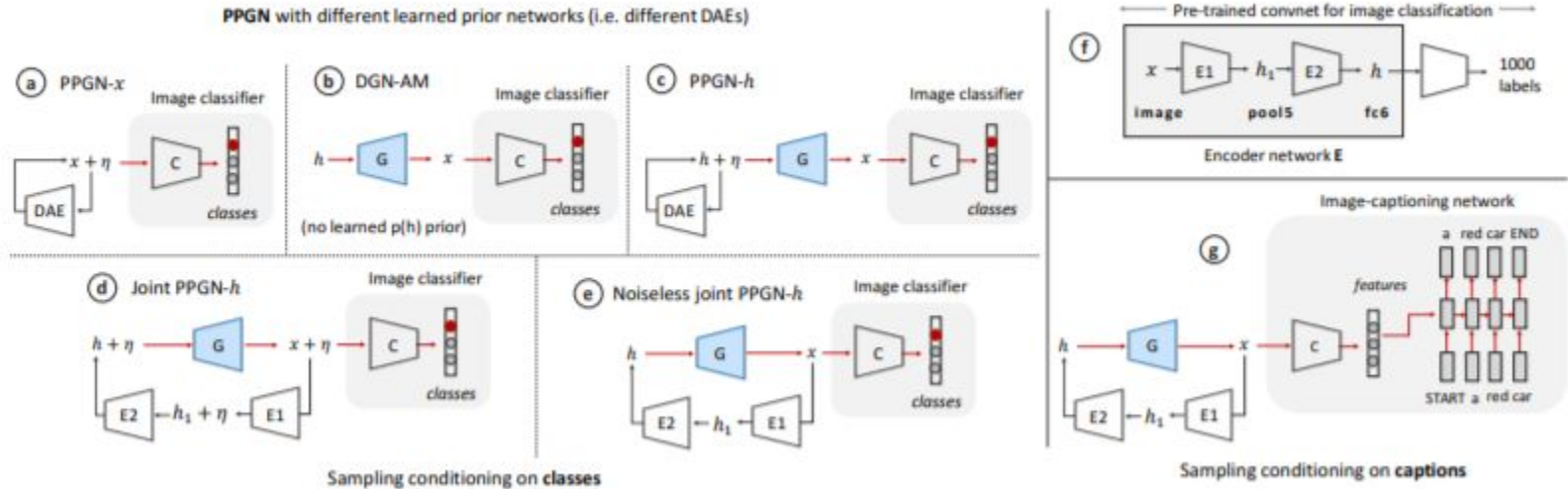
Related Work - Alternative Controlled Schema

- ❖ [Simple and Effective Noisy Channeling](#) Yee et al. 2019
 - Use similar application of Bayes rule: $p(y|x) = p(x|y)p(y)/p(x)$ for NMT
- ❖ [SeqGAN: Sequence Generative Adversarial Nets with Policy Gradient](#), Yu et al 2017
 - Train a GAN, treating the sequence generation as sequential decision problem (RL)
 - Use a discriminator to guide training
- ❖ [Multiple Attribute Text Style Transfer](#) Subramanian et al. 2019
 - Uses denoised autoencoding to style transfer, makes use of back translation similar to this work

Related Work - Plug and Play

❖ [Plug and Play Generative Networks Conditional Iterative Generation of Images in Latent Space](#) Nguyen et al 2017

- Introduces plug and play in vision, similar motivation to manipulate latent space



Compare and Contrast: PPGN and PPLM

❖ PPGN

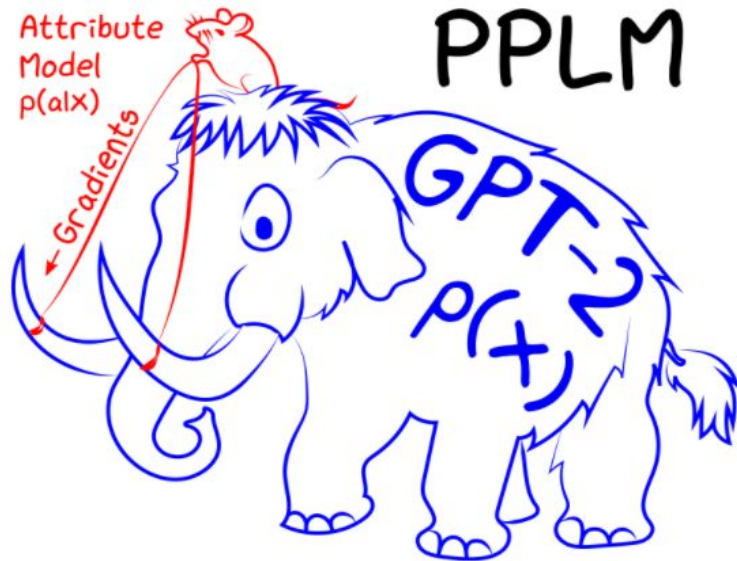
- $h \rightarrow x \rightarrow y$ where h is latent code, x is image, y is attribute
- **Noise added** in h space for image diversity
- **Markov chain** in h space to sample probability distribution

❖ PPLM:

- $[x_1 \rightarrow (h_1, x_2) \rightarrow \dots] \rightarrow y$ where h_t is latent, x_t is byte-pairs, and y is attribute
- **Noise is naturally introduced** by sampling of each x to obtain sentence diversity
- **No Markov chain** - instead, sliding window of h 's history is used to sample words one at a time

PPLM Overview

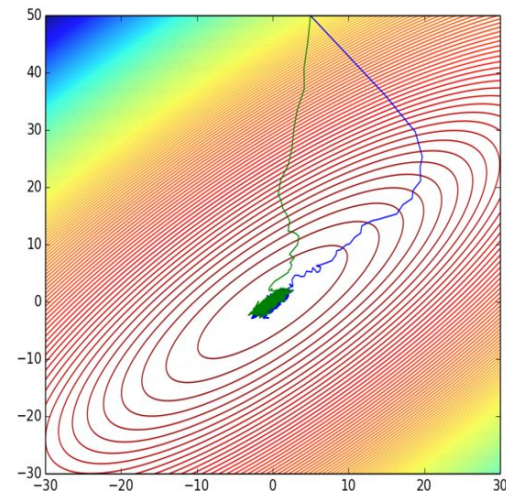
- ❖ Combine:
 - Pretrained LM which models $p(x)$
 - Discriminator/attribute model, which models $p(a | x)$
- ❖ Use Bayes rule: $p(x | a) \propto p(a | x) * p(x)$
- ❖ The small attribute model will “steer” the gradients to alter the **activation functions** to prefer things of desired attribute
- ❖ **Modularity**: the LM and attribute model can be anything modeling $p(x)$ and $p(a | x)$ respectively



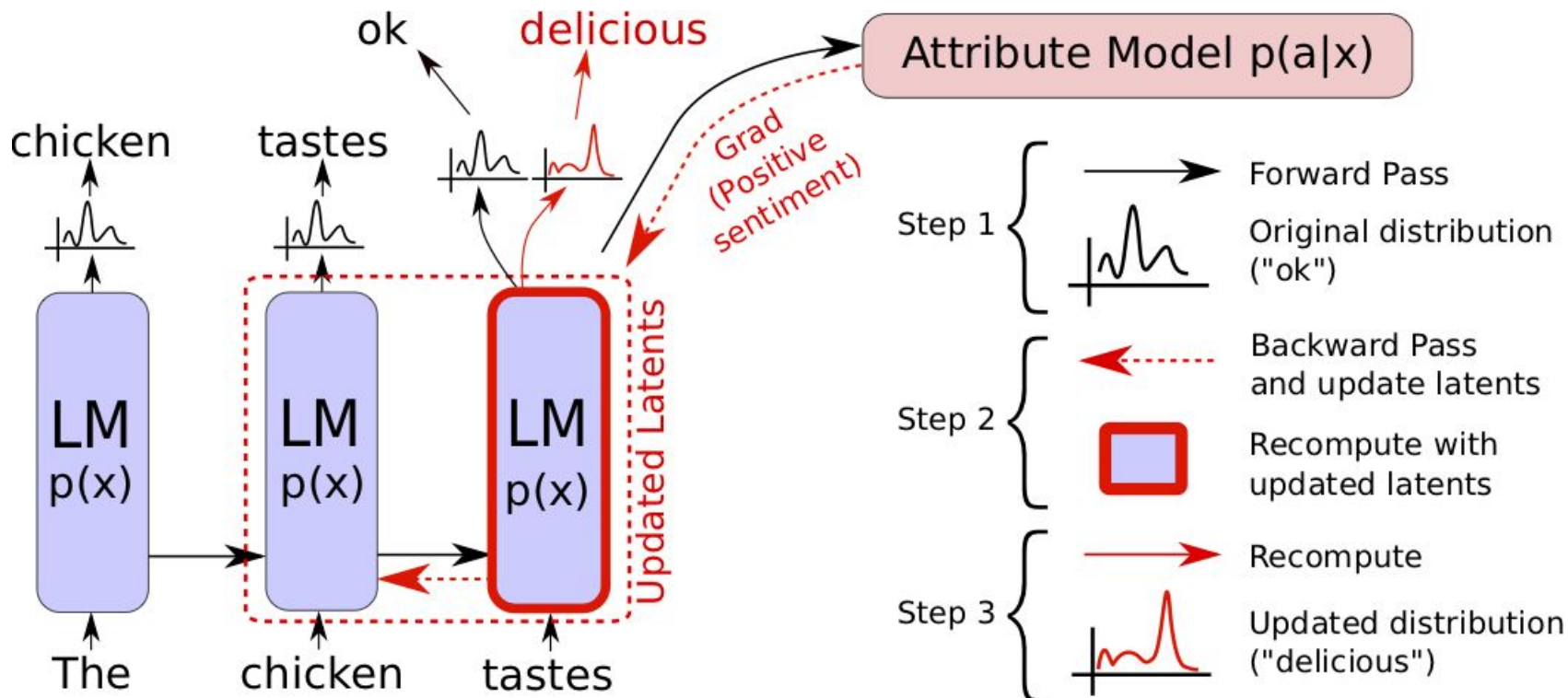
How PPLM works

Application of **Metropolis-adjusted Langevin sampler (MALA)** Roberts and Tweedie 1996 on H_t where $H_t = [(K_t^0, V_t^0), \dots, (K_t^l, V_t^l)]$ where (K_t^i, V_t^i) are the transformer key value pairs generated from time 0 to t

1. From partial sentence \mathbf{x} - compute $\log(p(\mathbf{x}))$ and $\log(p(a|\mathbf{x}))$ and gradients w.r.t hidden rep H_t
2. Using gradients, move H_t a small step increasing $\log(p(a|\mathbf{x}))$ and increasing $\log(p(\mathbf{x}))$.
3. Sample the next word and repeat



How PPLM works illustrated



Methodology - Maximizing $p(a|x)$

- ❖ Want to perform an update to the latent space to shift towards higher LL of \mathbf{a}
 - ΔH_t starts at 0, updated by gradients from attribute model - is the “reinterpretation” of the past
 - $\log p(a | H_t + \Delta H_t) = \log p(a | x)$ (with the update)
 - α is the step size update
 - γ is the per layer normalization term (transformer specific)

$$\Delta H_t \leftarrow \Delta H_t + \alpha \frac{\nabla_{\Delta H_t} \log p(a|H_t + \Delta H_t)}{\|\nabla_{\Delta H_t} \log p(a|H_t + \Delta H_t)\|^\gamma} \quad (3)$$

- ❖ This update is performed 3 - 10 times, then we use the LM on: $\bar{H}_t = H_t + \Delta H_t$. to get $p(t')$

Methodology - Remembering $p(x)$

- ❖ Pushing the model towards higher LL of \mathbf{a} will lead to degeneration
- ❖ Two fixes for this:
 1. Update ΔH_t to **minimize KL Divergence** - add $p(t)$'s before taking gradient, scale by

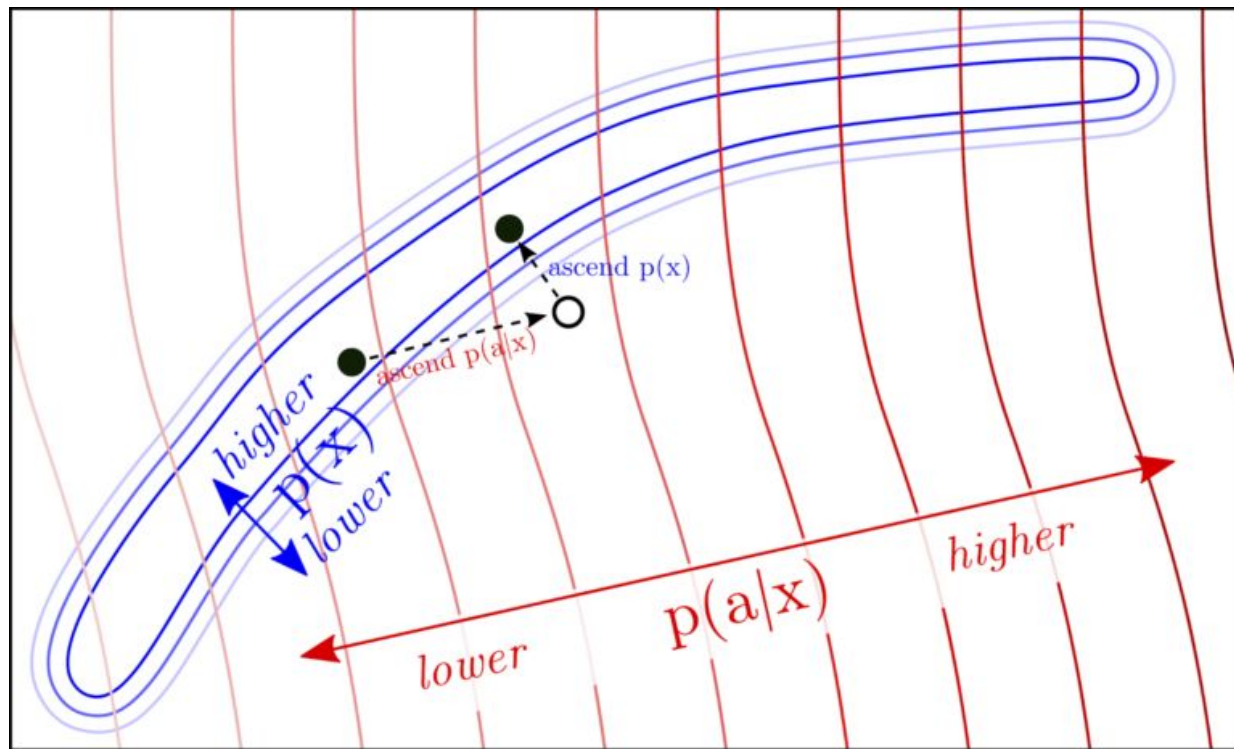
λ KL:

$$D_{\text{KL}}(P \parallel Q) = \sum_{x \in \mathcal{X}} P(x) \log \left(\frac{P(x)}{Q(x)} \right)$$

2. **Post norm Geometric mean fusion** - sample from a combination of the distributions

$$\mathbf{x}_{t+1} \sim \frac{1}{\beta} \left(\tilde{p}_{t+1}^{\gamma_{gm}} p_{t+1}^{1-\gamma_{gm}} \right)$$

Method - Putting it all together, illustration



Experimental Setup

- ❖ Evaluate controlled text generation using **top-10 sampling, ranking** with the attribute model $p(a | x)$, and **discarding** poor quality generation below a threshold for mean of Dist-1, Dist-2, and Dist-3
- ❖ Models compared
 - **B** and **BR** - GPT-2 unchanged and sampled once (**B**) or R samples with ranking (**BR**)
 - **BC** and **BCR** - this paper's method sampled once (**BC**) or R samples with ranking (**BCR**)
 - **CTRL**, **GPT-FT-RL**, and **WD** - alternative approaches introduced in related works
- ❖ Authors evaluate with:
 - **Automatic metrics**: Perplexity, Dist-1, Dist-2, and Dist-3
 - **Human metrics**: Fluency (1-5) & A/B testing for attribute (model A, model B, both or neither)

Experimental Results - BOW model

- ❖ **Using simple BOW as attribute model:** $\log p(a|x) = \log \left(\sum_i^k p_{t+1}[w_i] \right)$. (4)
 - SCIENCE, MILITARY, LEGAL, COMPUTERS, SPACE, POLITICS, and RELIGION
 - Generated 420 samples from 7 topics, 20 prefixes
 - Even with simple attribute model, performs **much higher in topicality** with slightly worse automatic metrics (especially perplexity)

Method	Topic % (↑ better) (human)	Perplexity (↓ better)	Dist-1 (↑ better)	Dist-2 (↑ better)	Dist-3 (↑ better)	Fluency (↑ better) (human)
B	11.1	39.85±35.9	0.37	0.79	0.93	3.60±0.82
BR	15.8	38.39±27.14	0.38	0.80	0.94	3.68±0.77
BC	46.9	43.62±26.8	0.36	0.78	0.92	3.39±0.95
BCR	51.7	44.04±25.38	0.36	0.80	0.94	3.52±0.83
<hr/>						
CTRL	50.0	24.48±11.98	0.40	0.84	0.93	3.63±0.75
BCR	56.0	–	–	–	–	3.61±0.69
<hr/>						
WD	35.7	32.05±19.07	0.29	0.72	0.89	3.48±0.92
BCR	47.8	–	–	–	–	3.87±0.71

Experimental Results - Discriminator

❖ Train a single layer classifier for sentiment extraction

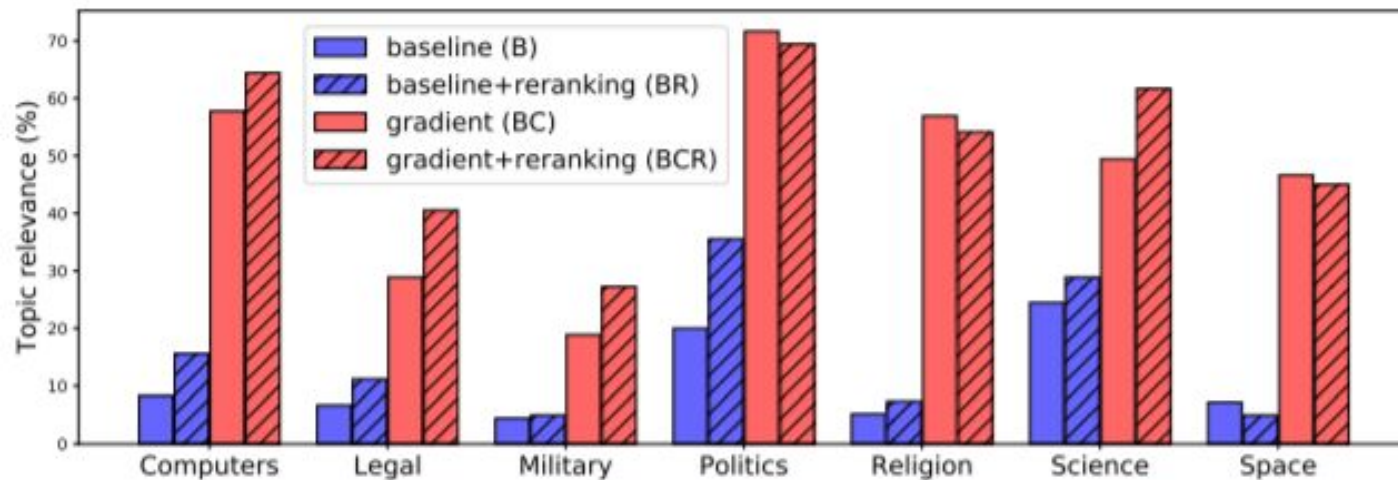
$$\log p(a|x) = \log f(o_{t+1}, o_{t+2})$$

(5)

- Trained on the SST-5 dataset (movie reviews)
- Use 15 prefixes to generate 45 samples, VERY POS and VERY NEG
- BR much more effective because topics, but **BCR still performs quite well in sentiment**

Method	Sentiment Acc. (%) (human)	Sentiment Acc. (%) (external classifier)	Perplexity (↓ better)	Dist-1 (↑ better)	Dist-2 (↑ better)	Dist-3 (↑ better)	Human Evaluation Fluency (↑ better)
B	19.3	52.2	42.1±33.14	0.37	0.75	0.86	3.54±1.08
BR	41.5	62.2	44.6±34.72	0.37	0.76	0.87	3.65±1.07
BC	39.6	64.4	41.8±34.87	0.33	0.70	0.86	2.79±1.17
BCR	73.7	78.8	46.6±40.24	0.36	0.77	0.91	3.29±1.07
CTRL	76.7	96.6	37.4±16.89	0.35	0.78	0.89	3.54±0.77
BCR	70.0	-	-	-	-	-	3.36±0.82
GPT2-FT-RL*	13.3	77.8	217.3±176.4	0.54	0.91	0.94	3.31±0.84
BCR	84.4	-	-	-	-	-	3.68±0.83
WD	18.9	52.2	31.7±28.0	0.33	0.69	0.83	3.67±0.89
BCR	61.1	-	-	-	-	-	3.75±0.66

Ablation Study - BOWS



Qualitative - BOW with odd topics

BOW using **Military**:

“**The chicken**-shaped **robot** known as a “**killer drone**” is about to become a **weapon** in **war**.
The **drone**, which weighs about 500 pounds, is capable of **firing weapons** in urban **warfare**, the **Pentagon** said. It can fly at speeds of 30 miles an hour.
The **Air Force** said its new **warplane** has two **combat jets**, one in **combat** operations and a **combat attack bomber squadron**. **Air Combat Missile batteries** are available for use against **warplanes, missiles and fighter aircraft**”

Qualitative - Discriminator with odd topics

Discriminator with **positive** setting

"My dog died at the age of 92 years this year. He was a **legend** in our home state of Virginia. I have a **tremendous** heart, my soul, my spirit, my **love**. He is in **heaven** and I **love** him very much. We have a **great** bond. He is gone and I am so **thankful** for him!\n \n He had been in the hospital for so many months. My husband of over 60 years, Dr. Paul, was in charge of his care. He took his place as the. . . "

Qualitative - Multiple Knobs

Trained on a **clickbait** discriminator



[Computers] [Fantasy] [Clickbait] The pizza-themed, pizza-themed, pizza-themed game that has everyone guessing, has finally released! The game, known as Pizza-Pocalypse, has released on Steam and on GOG. Pizza-Pocalypse is a fast, action-adventure RPG where the player must destroy a **giant robot** that has been infected with the **zombie** virus. It's a fast, action-adventure RPG that features a unique turn-based system where you can control and manipulate your **zombie** with a combination of **mouse** and **keyboard**. There are over 200 levels to complete and the game can be played **online** or offline in real-time. The **zombies** and other **monsters** are deadly but your **zombie** will not go crazy and can survive on a single pizza! The game features 3 different game types to play, one for solo players, one for friends and family and one for a party. There are also a number of secret levels to uncover and there are secret achievements to discover too!...

Contributions

- ❖ Performance is slightly lower than 1.6 billion parameter, trained from scratch CTRL but beats other efforts and is **comparable in human evaluation**
- ❖ PPLM is **very simple solution** for learning conditional text generation, or $p(x | a)$
- ❖ PPLM is an **incredibly flexible** system - anything that can be modeled with $p(a | x)$ can be used for conditional text generation
- ❖ Can be applied to **story generation** or **language detoxification**

Limitations of the Work

- ❖ Getting this system to work requires **lots of tuning and “tricks”** (despite the name)
 - Hyperparameters for MALA, KL divergence, and geometric fusion need tuning
 - Only modify a finite horizon of H (5 found to be best setting), 3-10 passes for H
- ❖ Different topics and/or attribute models require **different hyperparameter settings**
 - See Table S18 in appendix
- ❖ Highly **dependent on the attribute model** (errors could compound!)
- ❖ PPLM is **high variance**, only **operates on the transformer latent space**

Future/Related Work

- ❖ [Towards Controllable Biases in Language Generation](#) Sheng et al 2020
 - Use gradients to form a bias trigger instead of latent space updates
- ❖ [Few Shot Natural Language Generation for Task Oriented Dialog](#) Peng et al 2020
 - Build a NLG benchmark for few shot controllable text generation
- ❖ [Conditional Rap Lyrics Generation with Denoising Autoencoders](#) Nikolov et al 2020
 - Use conditional text generation to generate topical rap lyrics
- ❖ [You are right. I am ALARMED – But by Climate Change Counter Movement](#) Bhatia et al 2020
 - Point to the dangers of this paper (used for climate deniers/fake news)
- ❖ [GEDI: Generative Discriminator Guided Sequence Generation](#) Krause et al 2020
 - Bake discriminator into training from scratch (combination of CTRL and this work). Leads to generalization to new attributes

Summary

- ❖ Conditional text generation allows for controlled generation while retaining fluency
- ❖ Requires directly training a model for $p(x | a)$, or fine-tuning on existing models
 - This process is costly, data inefficient, and inflexible
- ❖ This paper proposes a flexible attribute model to steer language model's activations
 - Improves likelihood of $p(a | x)$ for control while maintaining likelihood of $p(x)$ for fluency
- ❖ Produces performance near CTRL while being more lightweight and flexible and requiring no fine tuning
- ❖ Able to handle odd topic combinations and multiple attributes with grace
- ❖ Check out blogpost at: <https://eng.uber.com/pplm/>