

# CS371N: Natural Language Processing

## Lecture 1: Introduction

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(he/him)



## Administrivia

- ▶ Lecture: Tuesdays and Thursdays 9:30am-10:45am in JGB 2.218
  - ▶ Recordings available afterwards on LecturesOnline
- ▶ Course website (including **syllabus**): <http://www.cs.utexas.edu/~gdurrett/courses/fa2024/cs371n.shtml>
- ▶ Ed Discussion board: link on Canvas
- ▶ Office hours: see course website and Canvas. Greg's are hybrid, some TA OHs are hybrid too. **Office hours start Thursday after class.**
- ▶ TAs: Juan Diego Rodriguez and Grace Kim.
- ▶ Office hours start today, and I will stay around after this class if you have questions



## Course Requirements

- ▶ CS 429
- ▶ Recommended: CS 331, familiarity with probability and linear algebra, programming experience in Python
- ▶ Helpful: Exposure to AI and machine learning (e.g., CS 342/343/363)
- ▶ Assignment 0 is out now (optional):
  - ▶ If this seems like it'll be challenging for you, come and talk to me (this is smaller-scale than the other assignments, which are smaller-scale than the final project)



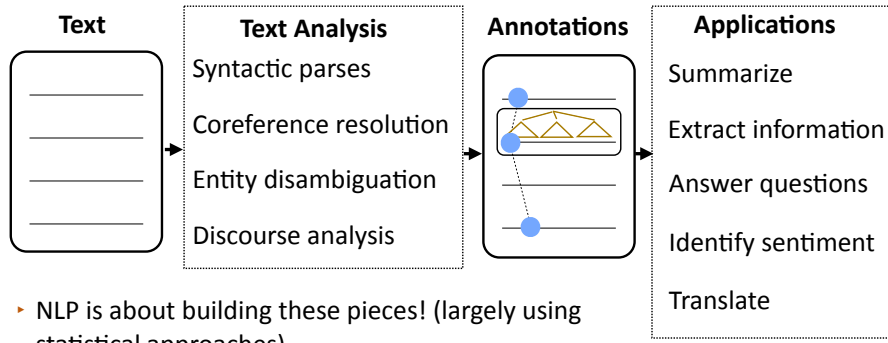
## Format and Accessibility

- ▶ Lectures will build in time for discussion, in-class exercises, and questions. Additional material is available as videos to watch either before or after lectures
  - ▶ Format: in-person to encourage discussion, but all materials are available asynchronously afterwards
- ▶ Equipment: useful to have a device for lecture to do Instapolls. For homework:
  - ▶ Lab machines available via SSH
  - ▶ A GPU is **not** required to complete the assignments! Having a GPU, GCP credits, or Google Colab access will be helpful for the final project though





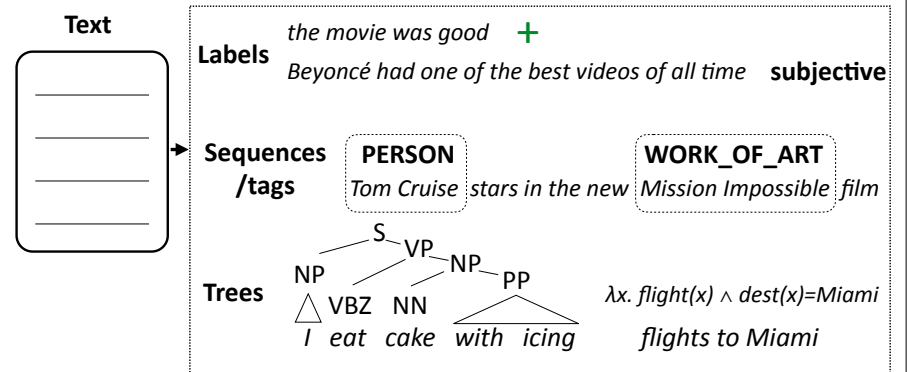
## Classical NLP Analysis Pipeline



- ▶ NLP is about building these pieces! (largely using statistical approaches)
- ▶ Lots of this is done end-to-end with neural nets. But analysis is still useful...



## How do we represent language?



- ▶ Question: What ambiguities do these representations need to help us resolve?

Why is language hard?  
(and how can we handle that?)



## Language is Ambiguous!

- ▶ Hector Levesque (2011): "Winograd schema challenge" (named after Terry Winograd, the creator of SHRDLU)
- The city council refused the demonstrators a permit because they advocated violence
- The city council refused the demonstrators a permit because they feared violence
- The city council refused the demonstrators a permit because they \_\_\_\_\_ violence
- ▶ >5 datasets in the last few years examining this problem and commonsense reasoning
  - ▶ Referential ambiguity



## Language is Ambiguous!

Teacher Strikes Idle Kids

Ban on Nude Dancing on Governor's Desk

Iraqi Head Seeks Arms

- Syntactic and semantic ambiguities: parsing needed to resolve these, but need context to figure out which parse is correct

example credit: Dan Klein



## Language is **Really** Ambiguous!

- There aren't just one or two possibilities which are resolved pragmatically

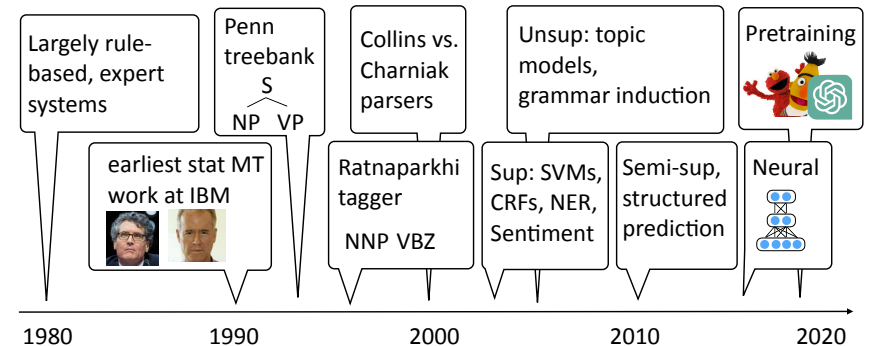
*il fait vraiment beau* → It is really nice out  
 It's really nice  
 The weather is beautiful  
 It is really beautiful outside  
**He makes truly beautiful**  
**It fact actually handsome**

- Combinatorially many possibilities, many you won't even register as ambiguities, but systems still have to resolve them

What techniques do we use?  
 (to combine data, knowledge, linguistics, etc.)



## A brief history of (modern) NLP





## Pretraining

- Language modeling: predict the next word in a text  $P(w_i | w_1, \dots, w_{i-1})$

$P(w | \text{I want to go to}) = 0.01$  Hawai'i  
 0.005 LA  
 0.0001 class



: use this model for other purposes

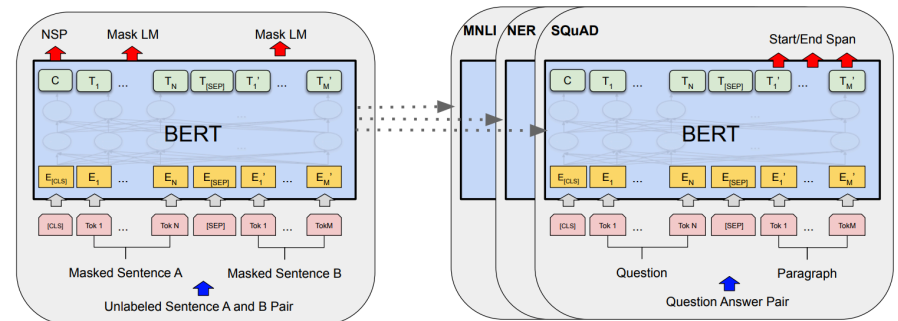
$P(w | \text{the acting was horrible, I think the movie was}) = 0.1$  bad  
 0.001 good

- Model understands some sentiment?
- Train a neural network to do language modeling on massive unlabeled text, fine-tune it to do {tagging, sentiment, question answering, ...}

Peters et al. (2018), Devlin et al. (2019)



## BERT



Pre-training

Fine-tuning

- Key parts which we will study: (1) Transformer architecture; (2) what data is used (both for pre-training and fine-tuning)

Devlin et al. (2019)

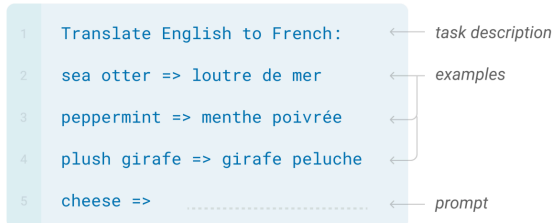


## GPT and In-Context Learning

- Even more "extreme" setting: no gradient updates to model, instead large language models "learn" from examples in their context
- Many papers studying why this works. We will read some!

### Few-shot

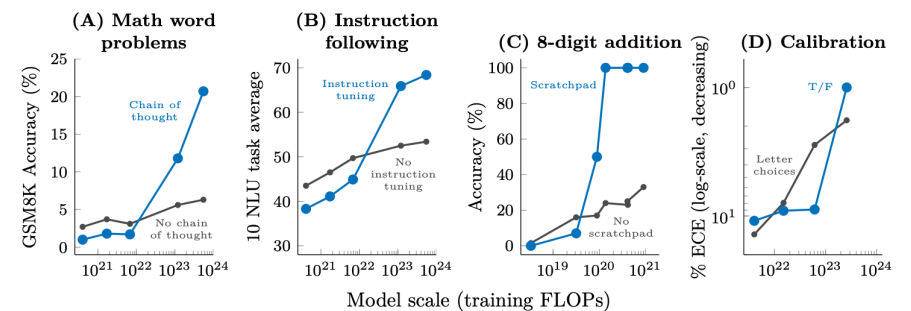
In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.



Brown et al. (2020)



## Scaling Laws



- Many of the methods that work in LLMs today only make sense and only work because the models are so big!

Kaplan et al. (2020), Jason Wei et al. (2022)



## Where are we?

- ▶ We have very powerful neural models that can fit lots of datasets
- ▶ Data: we need data that is not just correctly labeled, but reflects what we actually want to be able to do
- ▶ Users: systems are not useful unless they do something we want
- ▶ Language/outreach: who are we building this for? What languages/dialects do they speak?



## Social Impact

- ▶ NLP systems are increasingly used in the world



...and increasingly we have to reckon with their impact



- ▶ This lecture: let's warm up by thinking about these issues a bit



## Social Impact

- ▶ Rate your awareness of the social impact of NLP, AI, and machine learning from 1 to 5, where 1 is little awareness and 5 is strong awareness (5 = you feel like you could write a blog post about a current issue).
- ▶ Describe one scenario where you think deployment of an NLP system might pose ethical challenges *due to the application* itself (i.e., using NLP to do “bad stuff”)
- ▶ Describe one scenario where you think deployment of an NLP system might pose ethical challenges due to *unintended* consequences (e.g., unfairness, indirectly causing bad things to happen, etc.).



## Outline of the Course

- ▶ Classification: linear and neural, word representations (3.5 weeks)
- ▶ Language modeling, Transformers, pre-training (2.5 weeks)
- ▶ Tagging, parsing, and linguistic structure (2 weeks, ending in midterm)
- ▶ Modern pre-trained models, ChatGPT, etc. (2.5 weeks)
- ▶ Applications, modern topics, and ethics (2.5 weeks)
- ▶ Goals:
  - ▶ Cover fundamental techniques used in NLP
  - ▶ Understand how to look at language data and approach linguistic phenomena
  - ▶ Cover modern NLP problems encountered in the literature: what are the active research topics in 2023?



## Coursework

- ▶ Five assignments, worth 40% of grade
  - ▶ Mix of writing and implementation;
  - ▶ Assignment 0 is out now, optional diagnostic
  - ▶ ~2 weeks per assignment except for A4
  - ▶ 5 “slip days” throughout the semester to turn in assignments 24 hours late
  - ▶ Submission on Gradescope

These assignments require understanding the concepts, writing performant code, and thinking about how to debug complex systems. **They are challenging; start early!**

Office hours: please come! However, **the course staff are not here to debug your code!** We **will** help you understand the concepts and come up with debugging strategies!



## Coursework

- ▶ Midterm (25% of grade), take-home
  - ▶ Similar to written homework problems
- ▶ Final project (25% of grade)
  - ▶ Groups of 1 or 2
  - ▶ Standard project: understanding dataset biases
  - ▶ Independent projects are possible: these must be proposed earlier (to get you thinking early) and will be held to a high standard!
- ▶ Social Impact Responses, UT Instapoll (10% of the grade)
  - ▶ These will be done online and can be done during or after class



## Academic Honesty

- ▶ You may work in groups, but your final writeup and code **must be your own**
- ▶ Don't share code with others!



## Conduct



**A climate conducive to learning and creating knowledge is the right of every person in our community.** Bias, harassment and discrimination of any sort have no place here.

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## Survey

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- See Instapoll (you can answer later as well)