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Difference Constraints: An adequate Abstraction for Complexity Analysis of Imperative Programs

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Joint work with Moritz Sinn, Helmut Veith

Bounds and Complexity

```
foo(uint n)
x = n;
y = n;
while(x > 0)
t1: { x--;
      y = y + 2;
    }
z = y;
while(z > 0)
t2:  z--;
```

Local Bound(t1): x

Variable that decreases when t1 is executed.

Bounds and Complexity

```
foo(uint n)
x = n;
y = n;
while(x > 0)
t1: { x--;
      y = y + 2;
    }
z = y;
while(z > 0)
t2:  z--;
```

Local Bound(t1): x

Transition Bound(t1): n

of visits to
transition t1

Bounds and Complexity

```
foo(uint n)
x = n;
y = n;
while(x > 0)
t1: { x--;
      y = y + 2;
    }
z = y;
while(z > 0)
t2:  z--;
```

Local Bound(t1): x

Transition Bound(t1): n

Local Bound(t2): z

Variable Bound(y): 3n

Invariant of shape
 $y \leq 3n$

Bounds and Complexity

```
foo(uint n)
x = n;
y = n;
while(x > 0)
t1: { x--;
      y = y + 2;
    }
z = y;
while(z > 0)
t2:  z--;
```

Local Bound(t1): x

Transition Bound(t1): n

Local Bound(t2): z

Variable Bound(y): $3n$

Transition Bound(t2): $3n$

Complexity: $4n$

Bounds and Complexity

Bound Analysis:

- # of visits to a transition
- # of visits to multiple transitions
- # of iterations of a loop
- resource consumption of a program
- complexity of a program
- upper bound on the value of a variable

Introduce
a counter c
and
increment
at places
of interest

Intuition: *All these bound analysis problems are related and can be reduced to each other.*

Applications of Bound Analysis

Verification:

- Computing bounds on resource consumption (CPU time, memory, bandwidth,...)
- Termination analysis with quantitative information on program progress

Program understanding:

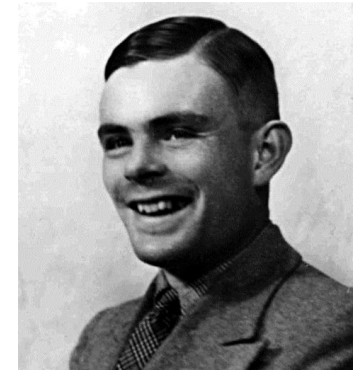
- Static profiling
- Understanding program performance

Bound Analysis and the Halting Problem

For imperative programs:

Halting Problem = termination analysis
of loops

→ Bound analysis is a hard problem!



```
while(n != 0)
  if (n % 2 = 0)
    n = n / 2;
  else
    n = 3n+1;
```

Typical
programs?

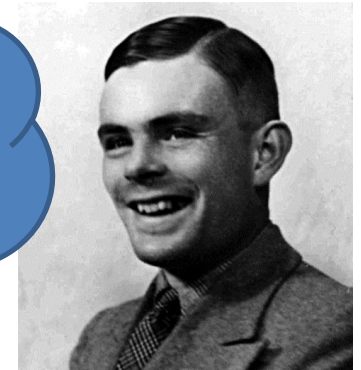
```
while(n > 0) {
  m := n--;
  while(m > 0 && ?)
    m--;
}
```


Bound Analysis and the Halting Problem

Collatz
Conjecture

→ Bounds stops
→ Bounds is a hard problem

Real-life
Programs

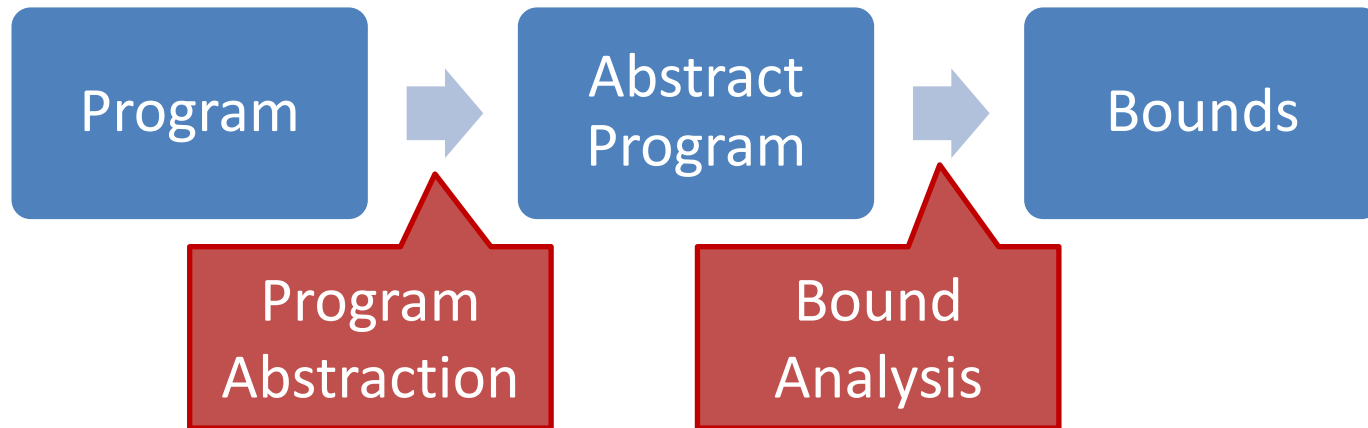


```
while(n != 0)
  if (n % 2 = 0)
    n = n / 2;
  else
    n = 3n+1;
```

Typical
programs?

```
while(n > 0) {
  m := n--;
  while(m > 0 && ?)
    m--;
}
```

Methodological Approach



Desired properties of our abstract program model:

- simple
- good computational properties
- motivates further theoretical analysis

Design goals of our analysis:

- no refinement loop
- fail fast
- captures most common loop patterns

Minimal Requirements for Abstract Program Model?

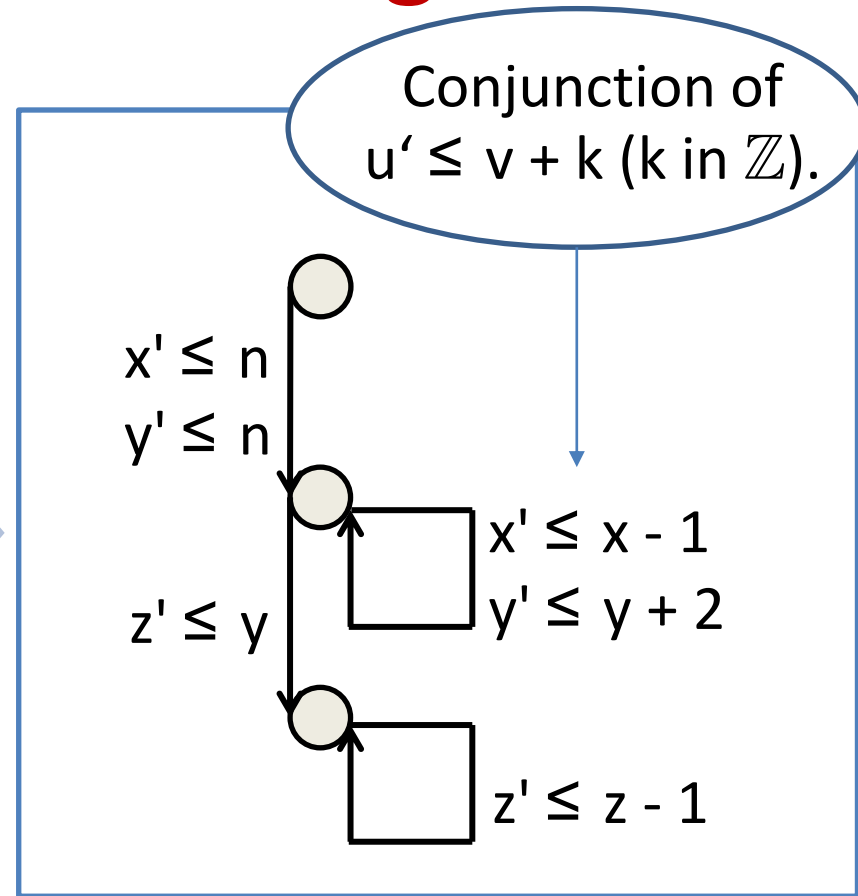
```
foo(uint n)
x = n;
y = n;
while(x > 0)
{
    x--;
    y = y + 2;
}
z = y;
while(z > 0)
    z--;
```

We need to model:

- counter variables
 - increments/
decrements
 - resets
- finite control

Difference Constraint Programs

```
foo(uint n)
x = n;
y = n;
while(x > 0)
{
    x--;
    y = y + 2;
}
z = y;
while(z > 0)
    z--;
```

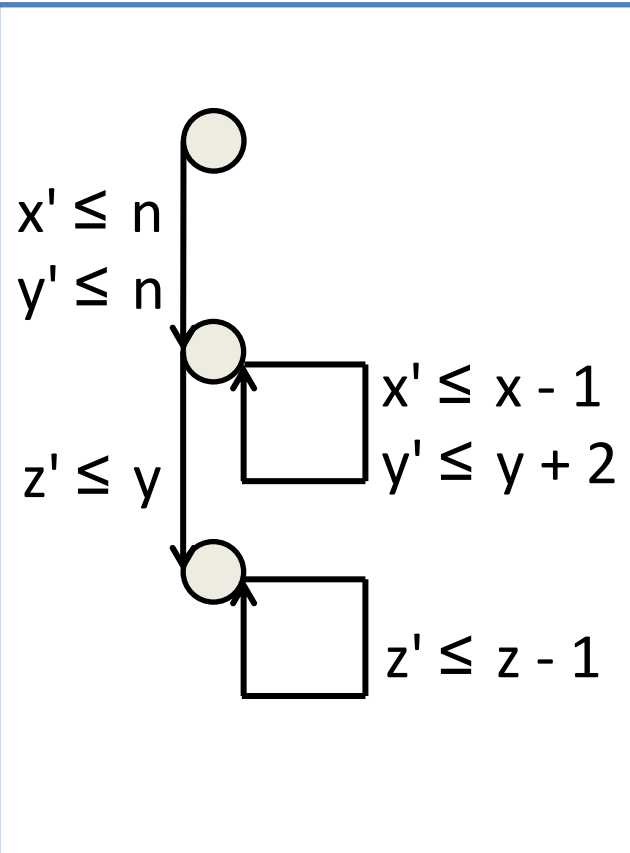


Difference Constraint Programs (DCPs)

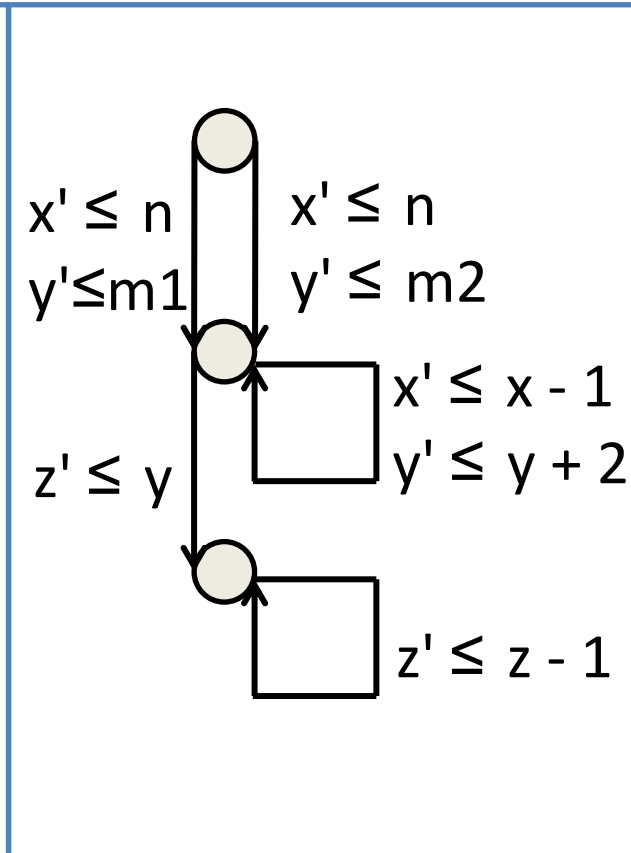
- Introduced by Ben-Amram (2008)
- Termination is undecidable in general, but decidable for **deterministic** DCPs (**deterministic** = at most one constraint $u' \leq v + k$ for every variable u , this is a natural subclass: every variable assignment is abstracted to one constraint)
- **we show:** DCPs can model interesting bound analysis problems



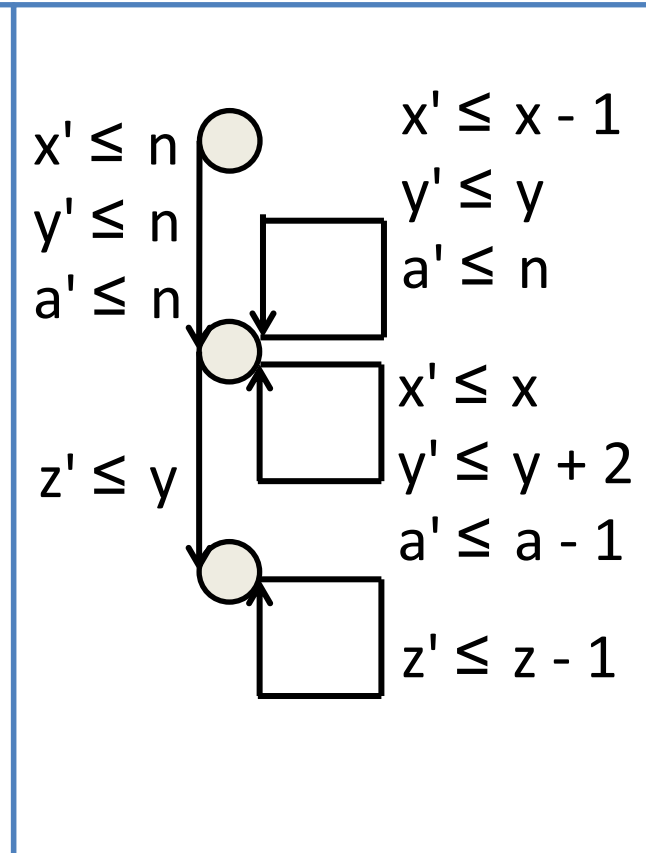
Invariant Analysis Problems



Variable Bound(y):
 $3n$



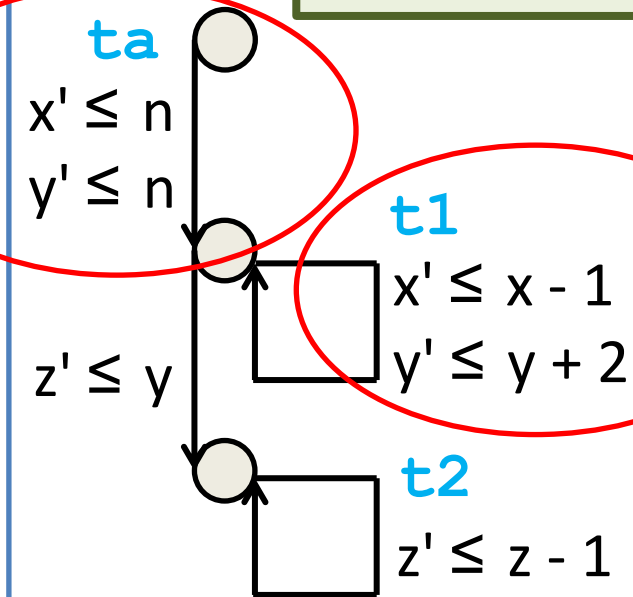
Variable Bound(y):
 $n + 2\max\{m1, m2\}$



Variable Bound(y):
 $3n + 2n^2$

Bound Analysis Algorithm: Intuition

y modified on two transitions



Variable Bound(y) =
 $n * \text{Transition Bound}(ta) +$
 $2 * \text{Transition Bound}(t1)$

Local Bound(t1): x

Transition Bound(t1): n

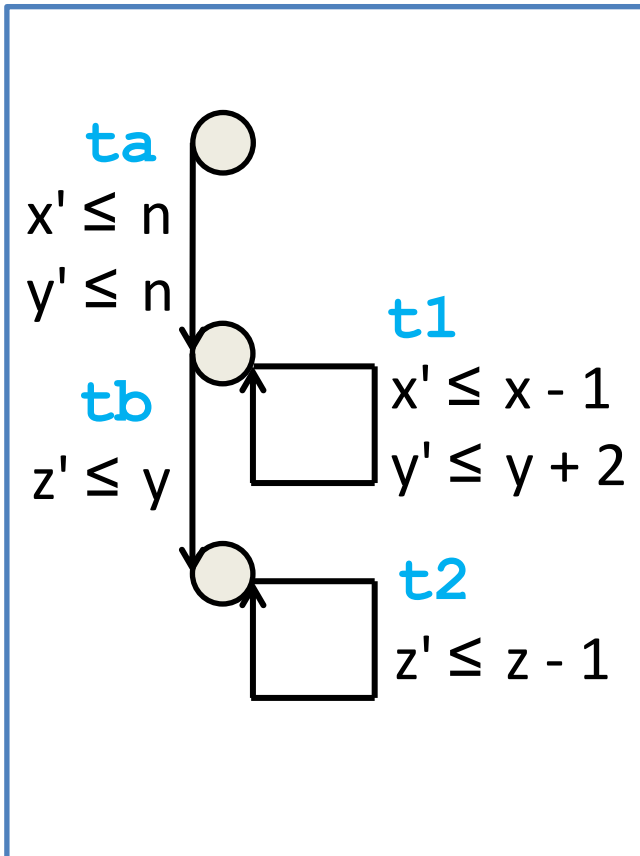
Local Bound(t2): z

Variable Bound(y): 3n

Transition Bound(t2): 3n

Mutual recursion between
Variable Bound and
Transition Bound

Bound Analysis Algorithm



We assume a **local bound** for every transition, which **decreases** when the transition is executed:

$$\text{LB}(t1) = x$$

$$\text{LB}(t2) = z$$

$$\text{LB}(ta) = 1$$

$$\text{LB}(tb) = 1$$

We define **increments** and **resets**:

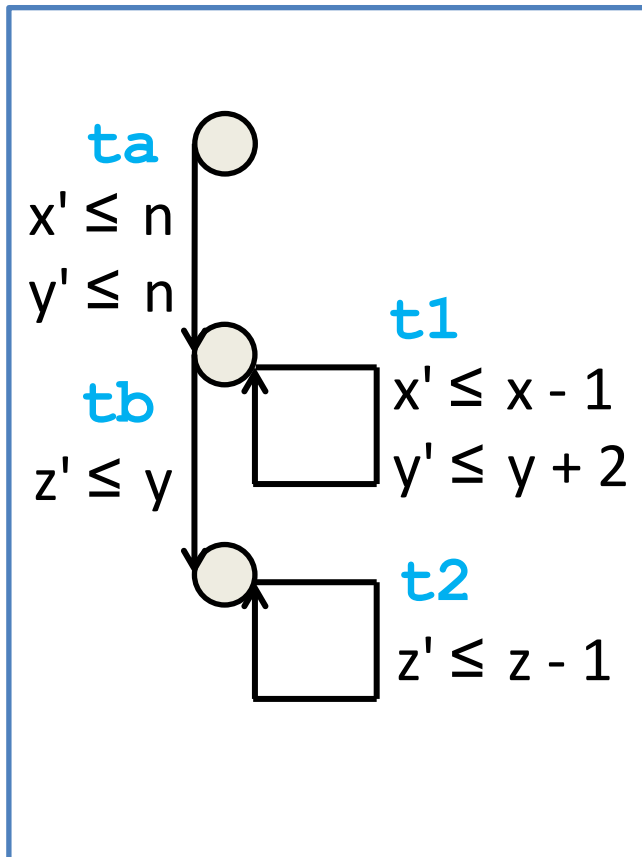
$$\text{inc}(t1, y) = 2$$

$$\text{reset}(ta, z) = y$$

$$\text{reset}(tb, x) = n$$

$$\text{reset}(tb, y) = n$$

Bound Analysis Algorithm



$$\begin{aligned}
 \text{TB}(t2) &= \\
 &= \text{VB}(\text{reset}(tb, \text{LB}(t2))) * \text{TB}(tb) = \\
 &= \text{VB}(\text{reset}(tb, z)) * 1 = \\
 &= \text{VB}(y) = \\
 &= \text{VB}(\text{reset}(ta, y)) * \text{TB}(ta) + \\
 &\quad \text{inc}(t1, y) * \text{TB}(t1) = \\
 &= n * 1 + \\
 &\quad 2 * \text{VB}(\text{reset}(ta, \text{LB}(t1))) * \text{TB}(ta) = \\
 &= n + 2 * \text{VB}(x) * 1 = 3n
 \end{aligned}$$

Bound Analysis Algorithm

Let P be a set of transitions,
where each transition t of P has local bound $LB(t)$.

For all $t \in P$ we define

$$TB(t) = \sum_{s \in P} \text{inc}(s, LB(t)) * TB(s) + \sum_{s \in P} VB(\text{reset}(s, LB(t))) * TB(s)$$

$$VB(t) = \sum_{s \in P} \text{inc}(s, LB(t)) * TB(s) + \max_{s \in P} VB(\text{reset}(s, LB(t))) * TB(s)$$

Mutual recursion terminates, if there are no cycles.
(is the case for reasonable programs).

Invariant Analysis Problems

Alternative Method
for Invariant Computation:

- demand-driven
- compositional
- no fixed point computation needed

Complementary technique for
invariant computation

Related Work:

has also been
observed in earlier
work on bound
analysis

- SPEED
- KoAT
- Loopus 2014

Variable Bound(y):
 $3n$

Variable Bound(y):
 $n + 2\max\{m_1, m_2\}$

Variable Bound(y):
 $3n + 2n^2$

Amortized Complexity Analysis

```
foo(uint n)
x = n;
r = 0;
while(x > 0)
{t1:  x--;
  r++;
  if(?) {
    p = r;
    while(p > 0)
    t2:  p--;
    r = 0;
  }
}
```

Local Bound(t1): x

Transition Bound(t1): n

Local Bound(t2): p

Variable Bound(p): n

Transition Bound(t2): n^2 ?

Amortized Complexity Analysis

```
foo(uint n)
x = n;
r = 0;
while(x > 0)
{ t1:  x--;
  r++;
  if(?) {
    p = r;
    while(p > 0)
    t2:  p--;
    r = 0;
  }
}
```

r is **reset** after
the inner loop
→ every
increment **r++**
leads to one loop
iteration

Local Bound(t1): x

Transition Bound(t1): n

Local Bound(t2): p

Variable Bound(p): n

Transition Bound(t2): n

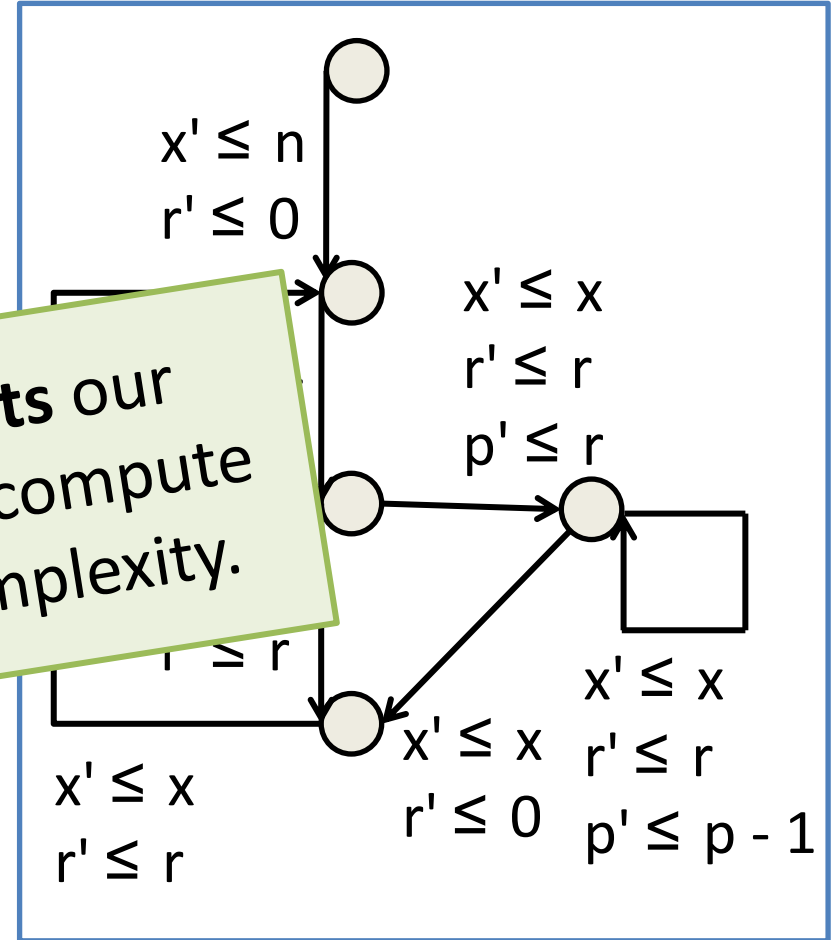
We call **r = 0** a
context for **p = r**.

Complexity: $2n$

Amortized Complexity Analysis

```
foo(uint n)
x = n;
r = 0;
while(x > 0)
{
    x--;
    r++;
    if(?)
        p
        w
        r
}
}
```

Using **contexts** our algorithm can compute the linear complexity.



Complexity: $2n$

Amortized Complexity in Real Code

Amortization due to

Dependencies between increments and resets

15 Examples found during our experiments

Examples: forsyte.at/software/loopus

Implementation

- **Tool:** „Loopus“ based on LLVM and Z3
- **Evaluation:** CBench („Collective Benchmark“)
 - C programs
 - 1027 files with > 200 kLoc, > 4000 loops
 - 1751 functions
- **Comparison to the tools:**
 - KoAT (TACAS 2014)
 - CoFloCo (APLAS 2014)
 - Loopus 2014 (CAV 2014)
- **First experimental comparison on real world code**

Experimental Results: Function Complexity

	Succ	1	n	n ²	n ³	n ^{>3}	2 ⁿ	Time	TimeOut
Loopus 15	806	205	489	97	13	2	0	15m	6
Loopus 14	431	200	188	43	0	0	0	40m	20
KoAT	430	253	138	35	2	0	2	5.6h	161
CoFloCo	386	200	148	38	0	0	0	4.7h	217

Loopus 15: - More Complexity Results
- In Shorter Time

More details: forsyte.at/software/loopus

Summary

Contributions to bound/complexity analysis:

- notions of increment/decrement and reset
- first algorithm based on DCPs
- we demonstrate the scalability and applicability of our algorithm

- DCPs are an interesting model for further research