

# Some Basic ACL2-Lisp Functions

## ACL2 Lecture 3

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## REV, Accumulators, Complexity, and Tracing

In this lecture, we investigate some basic list and tree processing functions.

- ▶ Discussion of REV
- ▶ TRACE\$ of REV
- ▶ Using accumulators; tail recursion
- ▶ We define a way to sum the leaves of a tree with integer leaves.
- ▶ We define an ACL2 predicate that recognizes trees with integer leaves.
- ▶ We introduce FLATTEN, a function to flatten a tree into a list.
- ▶ We postulate flattening a tree without using APP.
- ▶ We will use TRACE\$ to animate our definitions.

REMINDER: Using examples, we are introducing functional programming.

The lack of side effects provides opportunities for analysis. Much of this course concerns the pursuit of such opportunities.

## List REVerse

We can use APP to create a REVerse function.

Consider:

```
(defun app (x y)
  (if (atom x)
      y
      (cons (car x)
            (app (cdr x) y))))
```

```
(defun rev (x)
  (if (atom x)
      nil
      (app (rev (cdr x))
            (list (car x)))))
```

Does REV return a TRUE-LISTP?

Remark: in running text, we often write ACL2 terms in upper case because Lisp *up-cases* everything.

## TRACE of REVerse

Let's *animate* the REV function.

```
(trace$ REV)
```

It appears linear in its performance, but what about APP?

```
(trace$ APP)
```

Does REV return a TRUE-LISTP?

Does reversing a list twice produce return the original input?

```
(equal (rev (rev x)) x)
```

Deeper Question: Should we trace CONS?

## Using Accumulators; Tail Recursion

We see that REV may be expensive to evaluate.

What is the complexity of REV?

Let's consider the use of an accumulator.

```
(defun rev2-help (x acc)
  (if (atom x)
      acc
      (rev2-help
       ;; Trim off first element
       (cdr x)
       ;; Extend the accumulator
       (cons (car x) acc))))
```

```
(defun rev2 (x)
  (rev2-help x nil))
```

Let's trace things to see what happens.

## Tree Copy

So far, our definitions have concerned only right-associated trees (lists).

Consider:

```
(defun tree-copy (x)
  ;; If no pair
  (if (atom x)
      ;; return the atom
      x
      ;; otherwise, pair a copy of
      (cons
        ;; the TREE-COPY of the left subtree, and
        (tree-copy (car x))
        ;; the TREE-COPY of the right subtree
        (tree-copy (cdr x))))))
```

Note that we *move* both left and right. Is this OK?

Does this proposed definition meet the **Principle of Structural Recursion** requirements?

## A Tree with Integer Leaves

Can we define a function that recognizes a tree containing just integers?

Will we allow *empty* trees?

```
(defun tree-integerp (x)
  ;; If pair recognized
  (if (atom x)
      ;; do we have an integer?
      (integerp x)
      ;; otherwise, check
      (and
        ;; the left subtree, and
        (tree-integerp (car x))
        ;; the right subtree
        (tree-integerp (cdr x)))))
```

Are we under utilizing CONS? How many CONSES are needed to *hold*  $n$  atoms?

## FLATTEN a Tree

Can we define a function that, from left-to-right, takes each tip of a tree and creates a list?

```
(flatten (cons (cons 1 2) (cons 3 4)))
```

==>

```
(1 2 3 4)
```

We want a list with all tree tips. So, let's append the left and right subtrees together.

What do we need to do?



## Count the Number of Tips

Given the idiomatic use of right-associated CONS trees to represent collections of items, we define a way to count the number of items.

```
(defun len (x)
  ;; If pair recognized
  (if (consp x)
      ;; then, increment and continue
      (+ 1 (len (cdr x)))
      ;; otherwise, return zero
      0))
```

Does this definition work properly? What about this next function?

```
(defun count-tips (x)
  ;; If atom recognized
  (if (atom x)
      ;; One tip
      1
      ;; otherwise, sum tips in the left and right subtrees
      (+ (count-tips (car x))
         (count-tips (cdr x)))))
```

## Appending Two Lists

Often, we want to combine the elements of one list with another.

Our lists are ordered, so we have to make a decision as to how we wish to combine two lists. For now, we just *attach* one list to the *front* of a second list.

```
(defun not (x) (if x NIL T)
(defun atom (x) (not (consp x)))
(defun app (x y)
  ;; If pair recognized
  (if (atom x)
      ;; then, just return Y
      y
      ;; otherwise, make a new pair
      (cons
       ;; of the first item in X
       (car x)
       ;; and APP of the rest of X with Y
       (app (cdr x) y))))
```

To define a recursive function, we have to demonstrate that something gets smaller with each recursive call.

So, what gets smaller?

## Associativity of APP

When we have defined something, we often wish to consider properties of the functions we have defined.

For example, is APP associative?

```
(equal (app (app x y) z)
       (app x (app y z)))
```

Let's run some simulations and see what happens.

Can we establish this relationship once and for all?

Yes, by using the **Principle of Structural Induction**, we can prove that APP is associative.

## Consideration of Structural Induction

Given the definition

```
(defun f (x)
  (if (consp x)
      (f (cdr x))
      t))
```

can you prove the theorem `(equal (f x) t)` using the logical machinery we have described above?

ACL2 supports inductive proofs. Its Induction Principle is quite general and involves the notion of the ordinals and well-foundedness.

For now, we will use a much simpler principle.

A substitution  $\sigma$  is a *car/cdr substitution* on  $x$  if the binding (image) of  $x$  under  $\sigma$  is a *car/cdr nest* around  $x$ .

The other bindings of  $\sigma$  are unrestricted. For example,  $\sigma = \{x \leftarrow (\text{car } x), y \leftarrow (\text{cons } (\text{cdr } x) y)\}$  is a *car/cdr substitution* on  $x$ .

## Principle of Structural Induction

**Principle of Structural Induction:** Let  $\psi$  be the term representing a conjecture.  $\psi$  may be proved by selecting an “induction” variable  $x$ , selecting a set of car/cdr substitutions on  $x$   $\sigma_1, \dots, \sigma_n$ , and by proving the following subgoals:

*Base Case:*

```
(implies (not (consp x))  
          $\psi$ )
```

and

*Induction Step:*

```
(implies (and (consp x)           ; test  
             $\psi/\sigma_1$            ; induction hypothesis 1  
             $\vdots$   
             $\psi/\sigma_n$ )         ; induction hypothesis n  
          $\psi$ )                   ; induction conclusion
```

Here is an example Induction Step.

```
(implies (and (consp x)  
             $\psi/\{x \leftarrow (\text{car } x), y \leftarrow (\text{app } x \ y)\}$   
             $\psi/\{x \leftarrow (\text{cdr } (\text{cdr } x)), y \leftarrow (\text{cons } x \ y)\}$   
             $\psi/\{x \leftarrow (\text{cdr } (\text{cdr } x)), y \leftarrow y\}$ )  
          $\psi$ )
```