

Using ACL2 for Tree-Based Set Operations

Sets as Trees without Duplicates

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Sets as Trees

Previously, we defined a set as a list without duplicates. Access and update was linear. We now consider tree-based set operations that can provide improved performance.

```
(defun bstp (x)
  "Syntactic Tree Set Recognizer."
  (declare (xargs :guard t))
  (if (atom x)
      (null x)
      (let ((sbt (cdr x)))
        (if (atom sbt)
            (null sbt)
            (and (bstp (car sbt))
                 (bstp (cdr sbt))))))))
```

But, is this enough? What about the order?

And, what kind of order should we have?

Draw some example sets that will satisfy this syntax recognizer.

Ordered Sets as Trees

We need a way to specify *tree* order.

```
(defun << (x y)
  "General less-than function."
  (declare (xargs :guard t))
  (and (lexorder x y)
       (not (equal x y))))

(defun bst-ordp (x)
  "Recognizer for ordered, tree-based sets."
  (declare (xargs :guard (bstp x)))
  (if (atom x)
      T
      (let ((obj (car x))
            (sbt (cdr x)))
        (if (atom sbt)
            T
            (let ((lt (car sbt))
                  (rt (cdr sbt)))
              (and (bst-ordp lt)
                   (bst-ordp rt)
                   ;; Confirm that LT and RT "surround" OBJ
                   (tr<<e lt obj)
                   (e<<tr obj rt))))))))
```

Define recognizers `tree<<e` and `e<<tr`. Or, define your own tree-set recognizer.

Converter to List-Based Sets

As a sanity check, can we write a converter that takes a tree-based set and produces a list-based set?

```
(defun bst-to-1st (x)
  "Converter of tree-based set to list-based set.?"
  (declare (xargs :guard (and (bstp x)
                               (bst-ordp x))))
  (if (atom x)
      nil
      (let ((obj (car x))
            (sbt (cdr x)))
        (if (atom sbt)
            (list obj)
            (append (bst-to-1st (car sbt))
                    (cons obj
                          (bst-to-1st (cdr sbt))))))))))
```

Tree-Based Set Membership

To see a typical recursion, we define our membership test.

```
(defun bst-mbr (e x)
  "Is E a member of BST X?"
  (declare (xargs :guard (and (bstp x)
                               (bst-ordp x))))
  (if (atom x)
      NIL
      (let ((obj (car x))
            (sbt (cdr x)))
        (if (equal e obj)
            T
            (if (atom sbt)
                NIL
                (let ((lt (car sbt))
                      (rt (cdr sbt)))
                  (if (<< e obj)
                      ;; Search left or right...
                      (bst-mbr e lt)
                      (bst-mbr e rt))))))))))
```

Tree-Based Set Insertion

Given that our bstp recognizer requires any extension to be ordered requires that we find a proper insertion place.

```
(defun bst-insrt (e x)
  ;; Insert element in BST tree.
  (declare (xargs :guard (and (bstp x) (bst-ordp x))))
  (if (atom x)
      ;; Create new node in BST tree.
      (list* e nil nil)
      (let ((obj (car x))
            (sbt (cdr x)))
        (if (atom sbt)
            ;; Insert element in BST tree.

            (let ((lt (car sbt))
                  (rt (cdr sbt)))
              (if (equal e obj)
                  ;; If element already in BST tree.

                  ;; Continue search in BST tree.
                  (if (<< e obj)
```

```
))))))
```

Does Insertion Create a Good Set? Is E a Member After Insertion?

Does insertion leave us with a good set? An ordered set?

```
(defthm bstp-bst-insrt
  ;; Syntax of BST-INSRT is OK.
  (implies (bstp x)
            (bstp (bst-insrt e x))))

(defthm bst-ordp-bst-insrt
  ;; BST-INSRT produces well-formed set.
  (implies (and (bstp x)
                (bst-ordp x))
            (bst-ordp (bst-insrt e x))))
```

After inserting E into set X, will we find it? Will A still be a member?

```
(defthm bst-mbr-bst-insrt
  ;; E is a member after its insertion
  (bst-mbr e (bst-insrt e x)))

(defthm bst-mbr-a-mbr-insrt
  ;; Item A is still a member after any insertion
  (implies (bst-mbr a x)
            (bst-mbr a (bst-insrt e x))))
```

Can you prove these lemmas?

Tree-Based Set Element Deletion

Can we remove an element from our set leaving it ordered?

```
(defun bst-del (e x)
  "BST delete, if element E present, delete it from tree X."
  (declare (xargs :guard (and (bstp x)
                               (bst-ordp x))))
  (if (atom x)
      nil
      (let* ((obj (car x))
             (sbt (cdr x))
             (lt (car sbt))
             (rt (cdr sbt)))
        (if (equal e obj)
            ;; Remove OBJ
            (if (atom sbt)
                nil
                ;; We have inferior nodes...
                ;; Finish defining this function.
                )))
      )))
```


Tree-Based Set Element Deletion, Properties

Do we have to delete all E items?

Can we establish the following?

```
(defthm bstp-bst-del
  (implies (bstp x)
            (bstp (bst-del e x))))
```

Does the deletion operation leave the set X ordered?

```
(defthm bst-ordp-bst-del
  (implies (and (bstp x)
                (bst-ordp x))
            (bst-ordp (bst-del e x))))
```

The first property is syntactic. The second property concerns the resulting element order.

Tree-Based Set Element Deletion, Properties

If we delete item E, does item A remain?

```
(defthm bst-mbr-bst-del-e
  ;; Item A still a member if different element deleted.
  (implies (and (bstp x)
                 (bst-ordp x)
                 (not (equal a e)))
            (equal (bst-mbr a (bst-del e x))
                   (bst-mbr a x))))
```

Will E remain a member after deleting item A?

Is deletion idempotent?

Were we careful enough in our specification of a well-formed tree?

Are there other properties that are missing? If so, what are they?