Ben Selfridge

February 28, 2014

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## Outline

#### 1 Introduction

- Multiprocessor Reasoning
- Weak Memory
- Goals of this talk

#### 2 An Axiomatic Weak Memory Model

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- Concurrent Executions
- SC-Per-Location
- **3** ACL2 Mechanization

#### 4 Conclusion

#### L<sub>Introduction</sub>

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Introduction

└\_Multiprocessor Reasoning

## Multiprocessor Reasoning

Goal: Analysis of programs written for multiple processors with a shared memory

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 $\_$ Introduction

└─Multiprocessor Reasoning

## Multiprocessor Reasoning

Two conceivable approaches:



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└─Multiprocessor Reasoning

## Multiprocessor Reasoning

Two conceivable approaches:

• **Operational** - Create a **model** of a multiprocessor machine (e.g. in ACL2) and **mechanically prove** that certain properties of the program hold

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## Multiprocessor Reasoning

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Use an oracle to model non-determinism of scheduler

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## Multiprocessor Reasoning

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Use an oracle to model non-determinism of scheduler

• Axiomatic - derive a set of mathematical objects from the program and prove theorems about the structure of those objects

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## Multiprocessor Reasoning

Two conceivable approaches:

• **Operational** - Create a **model** of a multiprocessor machine (e.g. in ACL2) and **mechanically prove** that certain properties of the program hold

■ Use an oracle to model non-determinism of scheduler

• Axiomatic - derive a set of mathematical objects from the program and prove theorems about the structure of those objects

Both approaches have certain advantages and disadvantages

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└\_Multiprocessor Reasoning

#### Operational vs. Axiomatic

 Operational semantics have a closer connection to the actual architecture being modeled, whereas an axiomatic approach makes a lot of assumptions

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Introduction

└─Multiprocessor Reasoning

#### Operational vs. Axiomatic

- Operational semantics have a closer connection to the actual architecture being modeled, whereas an axiomatic approach makes a lot of assumptions
- Axiomatic models can be easier to reason about; fully modeling an MP architecture can be messy from a theorem-proving perspective

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└\_Multiprocessor Reasoning

## Bridging the Gap

How could we "bridge the gap" between these two approaches?

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└\_Multiprocessor Reasoning

## Bridging the Gap

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One strategy:

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└─Multiprocessor Reasoning

# Bridging the Gap

How could we "bridge the gap" between these two approaches?

One strategy:

**As we execute** our model (i.e. using an oracle), simultaneously **construct one of these mathematical objects** 

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# Bridging the Gap

How could we "bridge the gap" between these two approaches?

One strategy:

- **As we execute** our model (i.e. using an oracle), simultaneously **construct one of these mathematical objects**
- 2 Demonstrate, for all programs and oracles, any object produced by such an execution satisfies certain structural properties

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# Bridging the Gap

How could we "bridge the gap" between these two approaches?

One strategy:

- **As we execute** our model (i.e. using an oracle), simultaneously **construct one of these mathematical objects**
- 2 Demonstrate, for all programs and oracles, any object produced by such an execution satisfies certain structural properties
- **3** To prove a program has property *P*, show that **any execution** of that program that **fails to satisfy** *P* will produce an **invalid object**

 $\_$ Introduction

└-Weak Memory

## Complication: Weak Memory

# Practical MP architectures do not satisfy sequential consistency

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Introduction

Weak Memory

## Complication: Weak Memory

 Practical MP architectures do not satisfy sequential consistency

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Instead, they satisfy some weaker properties

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Weak Memory

## Complication: Weak Memory

- Practical MP architectures do not satisfy sequential consistency
- Instead, they satisfy some weaker properties
- Axiomatic Memory Models attempt to capture the weaker consistency guarantees of most modern architectures as axioms

Introduction

└Goals of this talk

#### Goal of this talk

What this talk is about:

<sup>1</sup>[2] Jade Alglave, Luc Maranget, and Michael Tautschnig. *Herding Cats* - *Modelling, simulation, testing, and data-mining for weak memory.* To appear in TOPLAS 2014. http://arxiv.org/abs/1308.6810

Introduction

└─Goals of this talk

#### Goal of this talk

What this talk is about:

 $\blacksquare$  A partial description of one particular axiomatic memory framework  $^1$ 

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Introduction

└─Goals of this talk

#### Goal of this talk

What this talk is about:

- $\blacksquare$  A partial description of one particular axiomatic memory framework  $^1$
- An ACL2 mechanization of this framework

Introduction

└─Goals of this talk

#### Goal of this talk

What this talk is about:

- $\blacksquare$  A partial description of one particular axiomatic memory framework  $^1$
- An ACL2 mechanization of this framework
- A new proof of a nice equivalence result for this framework, and a mechanization of this proof

An Axiomatic Weak Memory Model

## Outline

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#### 2 An Axiomatic Weak Memory Model

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- Concurrent Executions
- SC-Per-Location
- **3** ACL2 Mechanization

#### 4 Conclusion

An Axiomatic Weak Memory Model

└─Concurrent Executions

#### Execution

An *execution* of a sequential program is a sequence of events that results from running the program on a particular set of inputs (or with a particular starting configuration).

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Concurrent Executions

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An *execution* of a sequential program is a sequence of events that results from running the program on a particular set of inputs (or with a particular starting configuration).

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How does this translate to concurrent programs?

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└─Concurrent Executions

#### Concurrent Executions

With multiple processors, an execution is not necessarily a linear sequence.

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└─Concurrent Executions

#### Concurrent Executions

With multiple processors, an execution is not necessarily a linear sequence.

Instead, we represent it as a graph, consisting of a collection of **events** with various kinds of directed edges.

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└An Axiomatic Weak Memory Model

└─Concurrent Executions

#### Events

#### Definition

An *event* is a read or a write.

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└An Axiomatic Weak Memory Model

└─Concurrent Executions

#### Events

Components of an event:



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└─<sub>Concurrent Executions</sub>

#### Events

Components of an event:

■ Type (read or write)

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└─<sub>Concurrent Executions</sub>

#### Events

Components of an event:

■ Type (read or write)

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Memory location

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Concurrent Executions

#### Events

Components of an event:

- Type (read or write)
- Memory location
- Value read or written

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└─Concurrent Executions

#### Events

Components of an event:

- Type (read or write)
- Memory location
- Value read or written

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Process number

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└─Concurrent Executions

#### Concurrent Executions

#### Definition

An *execution* is a tuple  $(\mathbb{E}, po, rf, co)$ , where  $\mathbb{E}$  is a set of events and po, rf, and co are relations on  $\mathbb{E}$  satisfying

- An Axiomatic Weak Memory Model
  - └─Concurrent Executions

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**po** is a total order on events in the same process

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  - Concurrent Executions

## Concurrent Executions

#### Definition

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- **po** is a total order on events in the same process
- **co** is a total order on writes to the same location

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Concurrent Executions

#### Concurrent Executions

#### Definition

An *execution* is a tuple  $(\mathbb{E}, po, rf, co)$ , where  $\mathbb{E}$  is a set of events and po, rf, and co are relations on  $\mathbb{E}$  satisfying

- **po** is a total order on events in the same process
- **co** is a total order on writes to the same location
- rf is a relation from writes to reads s.t. for each read r, there is exactly one write w such that  $w \xrightarrow{\text{rf}} r$  and val(w) = val(r)

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└─Concurrent Executions

#### Concurrent Executions

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 $po\ {\rm is}\ {\rm "program}\ {\rm order"},\ co\ {\rm is}\ {\rm "coherence}\ {\rm order"},\ rf\ {\rm is}\ {\rm "read-from"}$ 

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└─Concurrent Executions

#### Concurrent Executions

# Define $\mathsf{fr}=\mathsf{r}\mathsf{f}^{-1}\circ\mathsf{co}$ to represent a write that must come after a read

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An Axiomatic Weak Memory Model

└─Concurrent Executions

#### Concurrent Executions

Define  $fr = rf^{-1} \circ co$  to represent a write that must come after a read

**co**, **rf**, and **fr** are *per-location* dependencies; they relate events which occur at the same memory location only

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An Axiomatic Weak Memory Model

└SC-Per-Location

## Sequential consistency (SC)

**Sequential consistency**<sup>2</sup>: "The result of any execution is the same as if the operations of all the processors were executed in some sequential order, and the operations of each individual processor appear in this sequence in the order specified by its program."

<sup>&</sup>lt;sup>2</sup>[3] Leslie Lamport. How to make a multiprocessor computer that correctly executes multiprocess programs. IEEE Transactions on Computers, September 1979

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└SC-Per-Location

## Sequential consistency (SC)

**Sequential consistency**<sup>2</sup>: "The result of any execution is the same as if the operations of all the processors were executed in some sequential order, and the operations of each individual processor appear in this sequence in the order specified by its program."

In our framework, we interpret this as the condition

 $\mathsf{acyclic}(\mathsf{po} \cup \mathsf{co} \cup \mathsf{rf} \cup \mathsf{fr})$ 

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└SC-Per-Location

# Sequential consistency (SC)

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In our framework, we interpret this as the condition

 $\mathsf{acyclic}(\mathsf{po} \cup \mathsf{co} \cup \mathsf{rf} \cup \mathsf{fr})$ 

#### Modern architectures do not satisfy this constraint.

 An ACL2 Mechanization of an Axiomatic Weak Memory Model
An Axiomatic Weak Memory Model

└SC-Per-Location

## SC-Per-Location

Although we don't usually have full sequential consistency, we do have an analogous notion that is enforced by most modern architectures:

```
\mathsf{acyclic}(\mathsf{pol} \cup \mathsf{co} \cup \mathsf{rf} \cup \mathsf{fr}),
```

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where **pol** is **po** restricted to events at the same memory location.

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└SC-Per-Location

## SC-Per-Location

Although we don't usually have full sequential consistency, we do have an analogous notion that is enforced by most modern architectures:

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```

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where **pol** is **po** restricted to events at the same memory location.

We refer to this condition as SC-Per-Location.

## SC-Per-Location

SC-Per-Location is equivalent to prohibiting the following five patterns:



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SC-Per-Location

## SC-Per-Location

SC-Per-Location is equivalent to prohibiting the following five patterns:



We formalized SC-Per-Location in ACL2 and proved this equivalence.

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└ACL2 Mechanization

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#### **3** ACL2 Mechanization

#### 4 Conclusion

Conclusion



• We presented a (partial) mechanization in ACL2 of an axiomatic model of weak memory

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Conclusion



- We presented a (partial) mechanization in ACL2 of an axiomatic model of weak memory
- This included a new proof of an equivalence theorem

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-Conclusion



- We presented a (partial) mechanization in ACL2 of an axiomatic model of weak memory
- This included a new proof of an equivalence theorem
- I plan to investigate how this model (or a similar one) can be used practically for MP code proofs

-Conclusion

#### References

- 1. Jade Alglave. A Shared Memory Poetics. Ph.D. Dissertation. Université Paris 7, 2010.
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- 3. Leslie Lamport. How to make a multiprocessor computer that correctly executes multiprocess programs. IEEE Transactions on Computers, C-28(9):690691. September 1979