WATERFALL	ELIMINATE-IRRELEVANCE	EXAMPLE	DETAILS	FURTHER CONSIDERATIONS
Im	proving Elimir	ato Innolo	uanco for	
1111		ile-melev	vance ioi	ACLZ

Matt Kaufmann (Joint Work with J Moore)

The University of Texas at Austin

October 14, 2016

WATERFALL	Eliminate-Irrelevance	Example	DETAILS	FURTHER CONSIDERATIONS
Outline				

### Organization of this talk.

- 1. Review the ACL2 *waterfall* and its *eliminate-irrelevance* clause-processor.
  - Section Waterfall
  - Section Eliminate-Irrelevance
- 2. Present a recent change in its heuristics.
  - Section Example
  - Section Details
- 3. Remark on considerations when designing and implementing that change.
  - Section Further Considerations

# THE ACL2 WATERFALL



# CLAUSE PROCESSORS

Every ACL2 goal is represented as a *clause*: a list that is viewed as a disjunction of terms (called *literals*).

```
Example: A goal and corresponding clause:
```

Each waterfall step uses a *clause-processor*: a function that maps a clause to a list of clauses (possibly empty). Key property:

*If every result clause is a theorem, then the input clause is a theorem.* NOTE: Converse need not hold!

## INTRODUCTION TO ELIMINATE-IRRELEVANCE

#### Example from the ACL2 regression suite, in:

books/workshops/2006/cowles-gamboa-euclid/Euclid/fld-u-poly/.

```
(ld "fuproducto.port")
(in-package "FUPOL")
(rebuild "fuproducto.lisp" '*)
; Succeeds:
(thm ; polinomiop-*
(polinomiop (* p q)))
; Fails:
(thm ; polinomiop-*
(polinomiop (* p q))
:hints
(("Goal"
:do-not '(eliminate-irrelevance))))
```

#### From successful proof, after (set-gag-mode nil):

```
Subgoal *1/2'5'
(IMPLIES (AND (MONOMIOP P1)
(POLINOMIOP P2)
(POLINOMIOP V*0))
(POLINOMIOP (APPEND (*-MONOMIO P1 Q) V*0))).
```

We suspect that the term (POLINOMIOP P2) is irrelevant to the truth of this conjecture and throw it out. We will thus try to prove

```
Subgoal *1/2'6'
(IMPLIES (AND (MONOMIOP P1) (POLINOMIOP V*0))
(POLINOMIOP (APPEND (*-MONOMIO P1 Q) V*0))).
```

. . .

We will induct according to a scheme suggested by (POLINOMIOP V\*0).

### In the failed proof, keeping the literal (POLINOMIOP P2): We will induct according to a scheme suggested by (POLINOMIOP P2).

### Consider again this goal:

```
(IMPLIES (AND (MONOMIOP P1)

(POLINOMIOP P2)

(POLINOMIOP V*0))

(POLINOMIOP (APPEND (*-MONOMIO P1 Q) V*0)))
```

### ACL2 represents this as a clause (disjunction of literals):

```
{(NOT (MONOMIOP P1)),
(NOT (POLINOMIOP P2)),
(NOT (POLINOMIOP V*0)),
(POLINOMIOP (APPEND (*-MONOMIO P1 Q) V*0))}
```

#### The relation of sharing a variable has two components.

```
{ { (NOT (MONOMIOP P1)),
   (NOT (POLINOMIOP V*0)),
   (POLINOMIOP (APPEND (*-MONOMIO P1 Q) V*0))},
   {(NOT (POLINOMIOP P2))}
}
```

ACL2 drops the component that has a single member.

## CHANGING THE HEURISTIC: AN EXAMPLE

J Moore encountered a problem with this heuristic. The following simple example exhibits the problem.

```
(encapsulate (((p) => *) ((my-app * *) => *)))
  (local (defun p () t))
  (local (defun my-app (x y) (append x y)))
  (defthm my-app-def
     (implies (p)
               (equal (my-app x y))
                        (append x y)))))
(defun rev (x)
  (if (consp x)
       (my-app (rev (cdr x))
                 (cons (car x) nil))
    nil))
(thm (implies (and (p)
                      (true-listp x))
                 (equal (rev (rev x)) x)))
```

#### ACL2 Version 7.2 discards (P): proof then fails!

```
Subgoal *1/2'5'
(IMPLIES (AND (P) (TRUE-LISTP X2))
(EQUAL (REV (APPEND RV (LIST X1)))
(CONS X1 (REV RV)))).
```

We suspect that the terms (TRUE-LISTP X2) and (P) are irrelevant to the truth of this conjecture and throw them out. We will thus try to prove

```
Subgoal *1/2'6'
(EQUAL (REV (APPEND RV (LIST X1)))
(CONS X1 (REV RV))).
```

```
Name the formula above *1.1.
```

#### But now, ACL2 keeps (P), and the proof succeeds.

We suspect that the term (TRUE-LISTP X2) is irrelevant to the truth of this conjecture and throw it out. We will thus try to prove

```
Subgoal *1/2'6'
(IMPLIES (P)
(EQUAL (REV (APPEND RV (LIST X1)))
(CONS X1 (REV RV)))).
```

# THE CHANGE IN A NUTSHELL

Why does ACL2 now keep the hypothesis (P)? Technically: Why does ACL2 keep the literal (NOT (P))? Recall the theorem exported from our encapsulate event.

- ► Variables of hypothesis (p): { }.
- ► Variables of left-hand side (my-app x y): {x,y}.

These are disjoint sets! So the function symbol p is marked as *relevant*, since (p) can be useful for rewriting calls that don't involve its (empty set of) variables.

# THE NEW HEURISTIC IN MORE DETAIL

Suppose *p* is a Boolean and we have two terms, as follows.

- ► Let *t*<sub>1</sub> be (FN V1 ... VK), an application of a function symbol to distinct variables.
- ► Let t<sub>2</sub> be a term whose free variables are disjoint from those of t<sub>1</sub>.

Then FN is *relevant with parity* p whenever  $t_1$  or its negation is a hypothesis (perhaps among others), in which case:

- p = t if  $t_1$  is a hypothesis;
- ► *p* = nil if (not *t*<sub>1</sub>) is a hypothesis.

ACL2 !>

DETAILS

# EXAMPLE OF "RELEVANT WITH PARITY"

Recall our earlier example rewrite rule and the problem goal:

```
(encapsulate (((p) => *) ((my-app * *) => *))
  (local (defun p () t))
  (local (defun my-app (x y) (append x y)))
  (defthm my-app-def
    (implies (p)
              (equal (my-app x y)
                     (append x y)))))
(IMPLIES (AND (P) (TRUE-LISTP X2))
          (EQUAL (REV (APPEND RV (LIST X1)))
                 (CONS X1 (REV RV))))
The "hypothesis" (P) is, internally, the literal (NOT (P)).
Parity t corresponds to "negated literal should be kept", so:
ACL2 !>(assoc-eq 'p
                   (global-val 'never-irrelevant-fns-alist
                                 (w state)))
(P.T)
```

### **RELEVANCE WITH PARITY FOR VARIOUS RULES**

Assume that terms  $t_1 = (FN \ V1 \ ... \ VK)$  (distinct Vi) and  $t_2$  have disjoint free variables, where for a rule of the given class:

- ► Rule-classes : REWRITE and : DEFINITION: *t*<sub>2</sub> is the rule's left-hand side.
- ► **Rule-class** : LINEAR: *t*<sub>2</sub> is a *max-term*.
- ► **Rule-class** : TYPE-PRESCRIPTION: *t*<sub>2</sub> is a *typed-term*.
- ► **Rule-class** : FORWARD-CHAINING: *t*<sub>2</sub> is the conclusion.

Then FN is *relevant with parity p* for such rules when:

- ▶ p=t : (implies (and ...  $t_1$  ...) ...)
- ▶ p=nil: (implies (and ... (not  $t_1$ ) ...) ...)

For a call *u* of FN on distinct variables:

- ► literal *u* is never irrelevant (dropped) if *p* = nil; and
- ► literal (not *u*) is never irrelevant (dropped) if *p* = t.

# ADDITIONAL PARITIES

- A function symbol FN can be irrelevant with parity t in one rule and with parity nil in another rule. We then store FN with parity :both.
- ► We also store FN as irrelevant for suitable occurrences of t<sub>1</sub> in *conclusions*. That might be overkill.
- There is a second criterion for irrelevant components (besides single-literal components based on calls of irrelevant literals): all function symbols the component are among a fixed set of primitives.
  - Unchanged, except that NOT has been added to that set (since the other criterion is stricter).

TIMING (1)

Does the use of *irrelevance with parity* slow down ACL2?

- Does using of that information slow down the *eliminate-irrelevance* procedure?
  - Not concerning procedure is invoked only just before a sub-induction; rather rare in practice.
- Is maintaining such information expensive?
  - Info is stored in an alist.
  - Each suitable rule causes linear lookup in the alist and possibly its extension — potentially quadratic behavior. (Should we consider an applicative hash-table (*fast alist*)?)

Regression suite didn't show significant time difference, but let's look at other evidence against slowdown.

```
    WATERFALL
    ELIMINATE-IRRELEVANCE
    EXAMPLE
    DETAILS
    FURTHER CONSIDERATIONS

    TIMING (2)
    Stress test:
    Stress test:
    Stress test:
```

```
(time$ (include-book "doc/top" :dir :system)).
Showed essentially no change!
```

```
ACL2 !>
```

# TIMING (3)

Seems like the new global is a non-issue, since a symbol-alist of length 11,869 is trivial to traverse. On my Mac:

```
ACL2 !>:q
```

```
Exiting the ACL2 read-eval-print loop. To re-enter, execute (
? (defun foo (sym n)
    (let ((x (make-list n :initial-element '(a . b))))
      (time$ (assoc-eq sym x))))
FOO
? (foo 'c 100000)
; (ASSOC-EO SYM ...) took
; 0.00 seconds realtime, 0.00 seconds runtime
; (0 bytes allocated).
NTT.
? (foo 'c 1000000)
; (ASSOC-EO SYM ...) took
; 0.03 seconds realtime, 0.03 seconds runtime
; (0 bytes allocated).
NTT.
?
```

# MISCELLANEOUS CONSIDERATIONS

**Question 1:** Make the heuristic attachable? **Answer:** Seems like overkill. After all, *eliminate-irrelevance* only occurs before a sub-induction, and nobody should rely on sub-inductions.

**Question 2:** Extend irrelevance with a sort of transitive closure? Suppose for example we have these three rewrite rules.

```
(implies (f1 x) (f2 x))
(implies (f2 x) (f3 x))
(implies (f3 x) (h y z))
```

Then just as we don't want to drop a hypothesis (negated literal for) (f3 x), we don't want to drop (f1 x) or (f2 x). **Answer:** Nah, seems like overkill for such a last-ditch heuristic.

# CONCLUDING REMARKS

- ► Bottom line: Eliminate-irrelevance is fairly minor. But this tweak, which arose from J's work on apply\$, was helpful for that work and could help others.
- Thanks for your attention.
- (If there's extra time, I could give a sense of the source code (e.g., eliminate-irrelevance-clause (through irrelevant-lits and irrelevant-clausep) and add-rewrite-rule (through add-rewrite-rule2 and extend-never-irrelevant-fns-alist).)