

# Iteration in ACL2

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ACL2 Seminar, April 19, 2019

Joint work with J Moore

# OUTLINE

Introduction

Syntax and Semantics

Support for Generic Reasoning with Loop\$

Warrant Hypotheses

Evaluation

Limitations, Future Work, and Conclusion

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# INTRODUCTION

- ▶ **Recursion** is natural for equational logic.
- ▶ **Iteration** is natural for programming.
- ▶ **Loop\$** provides both in ACL2;  
     analogous to Common Lisp **loop**

```
ACL2 !>(loop$ for x in '(1 2 3 4) sum (* x x))
30
ACL2 !>:q
```

```
Exiting the ACL2 read-eval-print loop....
? (loop for x in '(1 2 3 4) sum (* x x))
30
?
```

## INTRODUCTION (2)

Today I will discuss:

- ▶ how to **use** `loop$` ...
  - ▶ but see `:DOC loop$` for details; and
- ▶ a bit about the **implementation** of `loop$` ...
  - ▶ but see the ACL2 source code if you want details, notably the “Essay on Loop\$” and the “Essay on Evaluation of Apply\$ and Loop\$ Calls During Proofs”.

This talk will draw from a paper on this topic (in preparation).  
Examples may be found in community book  
`projects/apply/loop-tests.lisp`.

## INTRODUCTION (3)

Prior work: an Nqthm analogue to loop\$ is FOR.

Much as loop\$ depends on apply\$, FOR depended on an evaluator, V&C\$.

That sort of universal evaluator isn't possible for ACL2 because of local.

```
(encapsulate
  ()
  (local (defun f (x) x))
  (defthm lemma-1 (equal (some-eval '(f 3)) 3)))
(defun f (x) (1+ x))
(defthm lemma-2 (equal (some-eval '(f 3)) 4))
(thm nil :hints (("Goal"
                  :in-theory nil
                  :use (lemma-1 lemma-2))))
```

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## SYNTAX AND SEMANTICS

Semantics are given by translating `loop$` expressions into the ACL2 logic. For example,

```
(loop$ for x in '(1 2 3 4) sum (* x x))
```

*essentially* translates to the [term](#)

```
(sum$ '(LAMBDA (X) (BINARY-* X X))
      '(1 2 3 4))
```

where *essentially* — notice `apply$`:

```
(defun sum$ (fn lst)
  (if (endp lst)
      0
      (+ (apply$ fn (list (car lst)))
         (sum$ fn (cdr lst)))))
```

## SYNTAX AND SEMANTICS (2)

Here is a more complex example showing introduction of *loop\$ scions* collect\$, when\$, and until\$.

```
ACL2 !>(loop$ for i from 0 to 100 by 5
          until (> i 30)
          when (evenp i) collect (* i i))
(0 100 400 900)
ACL2 !>
```

The translation of this loop\$ expression is *essentially*:

```
(COLLECT$ '(LAMBDA (I) (BINARY-* I I))
          (WHEN$ '(LAMBDA (I) (EVENP I))
                (UNTIL$ '(LAMBDA (I) (< '30 I))
                        (FROM-TO-BY '0 '100 '5))))
```

## SYNTAX AND SEMANTICS (3)

The *actual* translation using `:trans` (see the paper):

```
(RETURN-LAST
 'PROGN
 '(LOOP$ FOR I FROM 0 TO 100 BY 5 UNTIL (> I 30)
      WHEN (EVENP I)
      COLLECT (* I I))
(COLLECT$ '(LAMBDA (I)
           (DECLARE (IGNORABLE I))
           (RETURN-LAST 'PROGN
                        '(LAMBDA$ (I) (* I I))
                        (BINARY-* I I)))
(WHEN$ '(LAMBDA (I)
        (DECLARE (IGNORABLE I))
        (RETURN-LAST 'PROGN
                     '(LAMBDA$ (I) (EVENP I))
                     (EVENP I)))
(UNTIL$ '(LAMBDA (I)
         (DECLARE (IGNORABLE I))
         (RETURN-LAST 'PROGN
                      '(LAMBDA$ (I)
                                (> I 30))
                      (< '30 I)))
(FROM-TO-BY '0 '100 '5))))
```

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## SUPPORT FOR GENERIC REASONING WITH LOOP\$

Loop\$ supports not only concise programming but also concise *reasoning*. Here's an example.

```
(defun sum-lengths (lst)
  (loop$ for x in lst sum (length x)))
```

```
; Lemmas? Step 2 [joke]
```

```
(thm (equal (sum-lengths (reverse x))
            (sum-lengths x)))
```

## SUPPORT FOR GENERIC REASONING WITH LOOP\$

Loop\$ supports not only concise programming but also concise *reasoning*. Here's an example.

```
(defun sum-lengths (lst)
  (loop$ for x in lst sum (length x)))
(defthm sum$-revappend ; need shown by checkpoint
  (equal (sum$ fn (revappend x y))
          (+ (sum$ fn x) (sum$ fn y))))
(thm (equal (sum-lengths (reverse x))
            (sum-lengths x)))
```

```
(defun sum-acl2-counts (lst)
  (loop$ for x in lst sum (acl2-count x)))
; This is now automatic; no new lemma is required.
(thm (equal (sum-acl2-counts (reverse x))
            (sum-acl2-counts x)))
```

If the two functions were defined in the usual way, we would need a lemma about `revappend` for each one.

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## WARRANT HYPOTHESES

Loop\$ scions invoke `apply$`, which is a function with weak constraints.

Key property needed for applying a *user-defined* function,  $F$ : a *warrant hypothesis*,  $(\text{apply}\$-\text{warrant}-F)$ , which implies:

$$(\text{equal } (\text{apply}\$ 'F (\text{list } t_1 \dots t_n)) \\ (F t_1 \dots t_n)).$$

More background on `apply$` is in our JAR paper [1]. **Aside:**  $(\text{apply}\$-\text{warrant}-F)$  is sometimes written  $(\text{warrant } F)$ .

We illustrate reasoning about `loop$` with an example....

## WARRANT HYPOTHESES (2)

**NOTE:** use this `include-book` for `apply$` or `loop$` reasoning.

```
(include-book "projects/apply/top" :dir :system)
(defun$ square (n)
  (declare (xargs :guard (integerp n)))
  (* n n))
```

The `defun$` form above provides the `defun` and the warrant:

```
ACL2 !>:trans1 (defun$ square (n)
                (declare (xargs :guard (integerp n)))
                (* n n))
  (PROGN (DEFUN SQUARE (N)
          (DECLARE (XARGS :GUARD (INTEGERP N)))
          (* N N))
        (DEFWARRANT SQUARE))
ACL2 !>
```

## WARRANT HYPOTHESES (3)

Here is the key property of the warrant hypothesis for square,  
(apply\$-warrant-square).

```
(DEFTHM APPLY$-SQUARE
  (IMPLIES (FORCE (APPLY$-WARRANT-SQUARE))
    (AND (EQUAL (BADGE 'SQUARE)
      '(APPLY$-BADGE 1 1 . T))
      (EQUAL (APPLY$ 'SQUARE ARGS)
        (SQUARE (CAR ARGS))))))
  :HINTS ...)
```

It is **forced** so that a proof can proceed (to a forcing round) even when the warrant hypothesis is missing from the conjecture.

Continuing with our example....

## WARRANT HYPOTHESES (4)

```
(defun f2 (lower upper)
  (declare (xargs :guard (and (integerp lower)
                               (integerp upper))))
  (loop$ for i of-type integer from lower to upper
        collect (square i)))

(assert-event (equal (f2 3 5) '(9 16 25)))

(thm (implies
      (and (warrant square) ; required
           (natp k1) (natp k2) (natp k3)
           (<= k1 k2) (<= k2 k3))
      (member (* k2 k2) (f2 k1 k3))))
```

## WARRANT HYPOTHESES (5)

Let's look at a simplified the base case in the induction proof.

Note:  $(\text{lambda}\$. . .)$  is essentially just  $'(\text{lambda} \. . .)$ , but  $\text{lambda}\$$  allows untranslated terms.

(IMPLIES

(AND (APPLY\$-WARRANT-SQUARE) ; *warrant hypothesis*

(INTEGERP K3) (INTEGERP K1)

(<= 0 K1) (<= K1 K3))

(MEMBER-EQUAL (\* K1 K1)

(COLLECT\$ (LAMBDA\$ (I)

(DECLARE . . .)

(SQUARE I))

(FROM-TO-BY K1 K3 1))))

Follows from this simplification, by the warrant hypothesis:

(APPLY\$ 'SQUARE (LIST K1)) = (\* K1 K1).

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## EVALUATION

Common Lisp `loop` is run when evaluating `loop$` expressions under `guard`-verified function calls.

The paper has an example illustrating an order of magnitude speed-up in this case, compared to evaluation of `loop$` using `loop$` scions. Consider the following example.

```
(include-book "projects/apply/top" :dir :system)

(defun sum-acl2-counts (lst)
  (declare (xargs :guard (true-listp lst)
                 :verify-guards nil))
  (loop$ for x in lst sum (acl2-count x)))

(defconst *lst* '(a (b c) "hello"))

(trace$ sum$)
```

## EVALUATION (2)

*; Not in a function body: calls sum\$*  
 (loop\$ for x in \*lst\* sum (acl2-count x))

*; In non-guard-verified function body: calls sum\$*  
 (sum-acl2-counts \*lst\*)

(verify-guards sum-acl2-counts)

*; In guard-verified function body:*  
*; DOES NOT call sum\$*  
 (sum-acl2-counts \*lst\*)

*; In a proof: calls sum\$*  
*; (even though the function is guard-verified)*  
 (thm (equal (sum-acl2-counts \*lst\*) 7))

## EVALUATION (3)

There is a subtlety for evaluation during proofs:

Warrant hypotheses may be required!  
(Attachments aren't allowed during proofs.)

The solution involves tracking the required warrants and then **forcing** them when necessary.

## EVALUATION (4)

Time permitting, I may say a few words about the implementation.

```
#-acl2-loop-only
(defmacro loop$ (&whole loop$-form &rest args)
  (let ((term
        (or (loop$-alist-term
            loop$-form
            *hcomp-loop$-alist*)
            (loop$-alist-term
            loop$-form
            (global-val 'loop$-alist
                (w *the-live-state*))))))
    `(cond (*aokp*
           (loop ,@ (remove-loop$-guards args)))
      (t , (or term
                '(error "..."))))))
```

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## LIMITATIONS AND FUTURE WORK

- ▶ Apply\$ restrictions

- ▶ Logic mode, tame functions

```
(defun foo (x) ; illegal: foo isn't yet tame
  (if (atom x)
      (list x)
      (loop$ for y in x append (foo y))))
```

- ▶ No state or stobjs

- ▶ Common Lisp loop supports more general forms than loop\$, e.g.:

```
? (loop for x in '(2 20 5 50 3 30) by #'cddr
    maximize x)
```

5

```
? (loop for i from 11/2 downto 1 by 2 collect i)
(11/2 7/2 3/2)
```

?

## LIMITATIONS AND FUTURE WORK (2)

- ▶ Top-level evaluation does not use Common Lisp `loop`; maybe insist on the use of `top-level`?

```
ACL2 !>(time$ (loop$ for i from 1 to 10000000 sum i))
; (EV-REC *RETURN-LAST-ARG3* ...) took
; 1.33 seconds realtime, 1.34 seconds runtime
; (320,039,824 bytes allocated).
50000005000000
ACL2 !>(time$
      (top-level (loop$ for i from 1 to 10000000 sum i)))
50000005000000
; (EV-REC *RETURN-LAST-ARG3* ...) took
; 0.05 seconds realtime, 0.05 seconds runtime
; (235,648 bytes allocated).
ACL2 !>
```

**Note:** All bytes allocated in the second evaluation are from the use of `top-level`; none is from the use of `loop$`.



## CONCLUSION

Despite these limitations, we have seen that `loop$` provides efficient execution and can make reasoning more succinct.

We expect to evolve its implementation as users tell us what most needs improvement.

More details are (of course) in the paper — and in `:DOC loop$` and the ACL2 sources.

THANK YOU.

Reference for `apply$`:



M. Kaufmann and J S. Moore.

Limited second-order functionality in a first-order setting.

*Journal of Automated Reasoning*, 12 2018.