

### **Running example**

 $sum = \sum_{i=1}^{n} f(i)$ 

Algorithmic parallelism:

- map: function evaluations *f(i)* can be done in parallel
   reduce: if addition is associative, *f(i)* values can be summed in parallel in O(log(n)) steps
   we will not work about evolution this narallelism
- we will not wory about exploiting this parallelism
  How do we exploit this algorithmic parallelism using shared-memory programming?
- We will use Pthreads and OpenMP to illustrate concepts
- Pthreads: POSIX threads
- OpenMP:
  - Higher-level API than Pthreads
  - OpenMP programs are often compiled to Pthreads code

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### **Overview**

- Thread Basics
- The POSIX Thread API
- Synchronization primitives in Pthreads
  - join
  - locks and try-locks
  - barriers
- Implementing synchronization primitives using atomic instructions
- · Deadlocks and how to avoid them

### Threads

- · Software analog of cores
  - Each thread has its own PC, SP, registers etc.
  - All threads share heap and globals
- Runtime system handles mapping of threads to cores (scheduling)
  - If there are more threads than cores, runtime system will time-slice threads on cores
  - HPC applications: usually #threads = #cores
     portability: number of threads is usually a runtime parameter
- Threads have names (opaque handles)
  - used to assign different work to different threads
  - used by one thread to refer to another thread

### Thread Basics: Creation and **Termination**

- · Program begins execution with main thread
- · Creating threads:

```
#include <pthread.h>
int pthread_create (
    pthread_t *thread_handle,
    const pthread_attr_t *attribute,
    void * (*thread_function)(void *),
    void *arg);
```

- Thread is created and it starts to execute thread function with parameter arg Thread handle: opaque name for thread Type (void \*) is C notation for "raw address" (that is, can point to anything) •
- •

### **Terminating threads**

- Thread terminated when:
  - o it returns from its starting routine, or
  - o it makes a call to pthread\_exit()
- Main thread
  - exits with pthread\_exit(): other threads will continue to execute
  - Otherwise: other threads automatically terminated
- · Cleanup:
  - pthread\_exit() routine does not close files
  - any files opened inside the thread will remain open after the thread is terminated.

#include <pthread.h> #include <stdio.h> #include <stdib.h></stdib.h></stdio.h></pthread.h>	Output
<pre>#define NUM_THREADS 5 pthread_t handles[NUM_THREADS]; //store opaque handles for threads int shortNames[NUM_THREADS]; //store short names for threads void "PrintHello(void "threadIdPtr; printf("n%d: Hello WorldI\n", shortId); pthread_exit(NULL); } int main(int argc, char "argv[]) {   for(int t=0;t=NUM_THREADS;t++){     printf("Creating thread %din", t);     shortNames[1] = t;     int rc = pthread_create(&amp;handles[1], NULL, PrintHello, &amp;shortNames[1]);     if (rc){ printf("ERROR; return code from pthread_create() is %d\n", rc);         exit(-1);     } } pthread_exit(NULL); }</pre>	Creating thread 0 Creating thread 1 0: Hello World! 1: Hello World! Creating thread 2 Creating thread 3 2: Hello World! 3: Hello World! Creating thread 4 4: Hello World!











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main

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### Need for Mutual Exclusion

- Consider variant of sum program
  - Global variable: globalSum
  - Each thread adds its contributions directly to globalSum

    - for (int i = myld; i < numPoints; i+=numThreads) {
       double x = step \* ((double) i); // next x
       globalSum = globalSum + step\*f(x); // Add to global sum</pre> }
- Data-race: 😕
  - Read and write to globalSum by a thread may be interleaved with reads and writes to globalSum by other threads
  - Example:

    - globalSum value is 0.5 Thread 0 has a contribution of 0.1 and thread 1 has a contribution of 0.2
    - Final value after both additions should be 0.8 However here is one sequence of possible operations .

    - Thread 0 reads value 0.5
       Thread 1 reads value 0.5
       Thread 1 adds its contribution and writes 0.6
       Thread 1 adds its contribution and writes 0.7

### Mutual exclusion

- Basic problem: read/modify/write
  - Shared variable x
  - Two threads want to
  - read value of x
    - compute a new value for x
    - write new value to x
  - Unless you are careful, you get a data-race
  - final value can depend on
    - how code is compiled
    - scheduling of threads
    - result may not be what you expect





### Solution (I)

- Architecture provides atomic instructions
  - Small collection of read/modify/write instructions operating on ints, doubles, etc.
  - Execute as though all other threads were suspended during execution of atomic instruction
  - Example:
    - swap(addr, reg) //swap value in memory at address addr with value in register reg
- Easy to modify MESI protocol to implement atomic instructions
  - Like write except that line is locked down in cache until instruction completes
  - No other core can steal line until instruction completes

# Solution (II):Using atomic operations in code

- Intrinsics: use atomic instructions in code

   can be tricky to use correctly
- Locks (mutex, spin-lock)
- programming abstraction implemented by thread libraries
   locks can be acquired and released by threads
- implementation uses atomic instructions to guarantee that only thread can acquire lock at a time
- to enter critical section, thread must acquire lock
- release lock when exiting critical section
- Let us study how locks can be used to implement critical sections and then dig down to see how they can be implemented using atomic instructions

### Mutex in Pthreads

# • The Pthreads API provides the following functions for handling mutex-locks:

### Lock creation

int pthread\_mutex\_init (

pthread\_mutex\_t \*mutex\_lock, const pthread\_mutexattr\_t \*lock\_attr);

### - Acquiring lock

int pthread\_mutex\_lock (
 pthread\_mutex\_t \*mutex\_lock);

### - Releasing lock

int pthread\_mutex\_unlock (
 pthread\_mutex\_t \*mutex\_lock);

### Using locks

- Lock is implemented by

   variable with two states: available or not\_available
   queue that can hold ids of threads waiting for the lock
- Lock acquire: .

  - Lock acquire:
    If state of lock is *available*, its state is changed to *not\_available*, and control returns to application program
    If state of lock is *not\_available*, thread-id is queued up at the lock, and control returns to application program only when lock is acquired by that thread
    Key invariant: once a thread tries to acquire lock, control returns to thread only after lock has been awarded to that thread
- to fhread only after lock has been awarded to that thread
  Lock release:

  next thread in queue is informed it has acquired lock, and it can proceed
  "Fairness": any thread that wants to acquire a lock can succeed ultimately even if other threads want to acquire the lock an unbounded number of times

Correct Mutual Exclusion
We can now write our sum example as follows: double globalSum = 0.0; pthread_mutex_t globalSum_lock;
<pre>pthread_mutex_init(&amp;globalSum_lock, NULL); }</pre>
<pre>void *compute_pi(void *s) {</pre>
for (int i = myld; i < numPoints; i+=numThreads) {     double x = step * ((double) i); // next x     double value = step*f(x);     pthread_mutex_lock(&globalSum_lock);     globalSum = globalSum + value; // Add to globalSum     pthread_mutex_unlock(&globalSum_lock); }

Critical sec	<u>ctions</u>
<ul> <li>For performance, it is important to as small as possible</li> <li>While one thread is within critical threads that want to enter the critical threads that want to enter the critical threads that programmer to ensure correctly to protect variables in critical to a structure of the programmer to a structure of the programmer to a structure of the protect variables in critical to a structure of the protect variables in critical to a structure of the protect variables in critical to a structure of the protect variables in critical to a structure of the protect variables in critical to a structure of the protect variables in critical to a structure of the protect variables in the pro</li></ul>	to keep critical sections I section, all others itical section are blocked sure that locks are used pritical sections
Thread A Thread B Thre lock(I) lock(I)	ad C
x:=x x:=x x: unlock(l) unlock(l)	=X
This program may fail to execut programmer forgot to use locks it	te correctly because in Thread C

### Producer-Consumer Using Locks

- · Two threads
  - Producer: produces data
  - Consumer: consumes data
- Shared buffer is used to communicate data from producer to consumer
  - Buffer can contain one data value (in this example)
  - Flag is associated with buffer to indicate buffer has valid data
- Consumer must not read data from buffer unless there is valid data
- Producer must not overwrite data in buffer before it is read by consumer





# **Types of Mutexes** Pthreads supports three types of mutexes - normal, recursive, and error-check. A normal mutex deadlocks if a thread that already has a lock tries a second lock on it. A recursive mutex allows a single thread to lock a mutex as many times as it wants. It simply increments a count on the number of locks. A lock is relinquished by a thread when the count becomes zero. An error check mutex reports an error when a thread with a lock tries to lock it again (as opposed to deadlocking in the first case, or granting the lock, as in the second case). The type of the mutex can be set in the attributes object before it is passed at time of initialization.

### Spin locks/trylocks

- Another kind of lock: trylock. int pthread\_mutex\_trylock ( pthread mutex t \*mutex lock);
- If lock is available, acquire it; otherwise, return a "busy" error code (EBUSY)
- Faster than pthread\_mutex\_lock on typical systems when there is no contention since it does not have to deal with queues associated with locks

### Using locks



### Using spin-locks

<pre>/* rewritten output record function */</pre>
int output record(struct database record
*record ptr) {
int count:
int lock status.
lock status-ptbread mutex trylock(foutput count lock)
if (lock status == EBUSY) {
<pre>insert_into_local_list(record_ptr); return(0);</pre>
}
else {
count = output_count;
output_count += number_on_local_list + 1;
<pre>pthread_mutex_unlock(&amp;output_count_lock);</pre>
<pre>print_records(record_ptr, local_list,</pre>
requested_number_of_records - count);
return(count + number_on_local_list + 1);
}

# Problems with locks Locks are most dangerous when a thread needs to acquire multiple locks before releasing locks Two main problems: deadlock livelock Deadlock: Thread A and B need locks L1 and I2 Thread A acquires L1 and wants L2 Thread B acquires L2 and wants L1 In general, there will be a cycle of threads in which each thread holds some locks and is waiting for locks held by other threads in the cycle Livelock: may arise in some solutions to deadlock



## **Deadlock: four conditions**

### Mutual exclusion:

- thread has exclusive control over resource it acquires Hold-and-wait:
- thread does not release resource it holds if it is waiting for another resource
- No pre-emption: No external agency forces a thread to release resources if thread is waiting for another resource
- Circular wait:
  - There is a cycle of threads such that each thread holds one or more resources needed by the next thread in the cycle

You prevent deadlocks by ensuring that one or more of these conditions cannot arise in your program.

### Prevent circular wait

- Assign a logical total order to locks
- (eg) name them L1,L2,L3,...
- Ensure that threads will never try to acquire a lower numbered
- lock while holding a higher numbered lock
  (eg) if thread owns L3, it can try to acquire L4, L5, L6,... but it cannot try to acquire locks L1 or L2 (unless it already owns them and locks are re-entrant)
- Useful software engineering principle when you have control over the entire code base and you know what locks are required where
- However
- easy to make mistakes
- tension with encapsulation: requires detailed knowledge of entire code base

### Prevent hold-and-wait

- Try to acquire all locks • atomically
- One implementation:
  - single global lock to get permission to acquire locks you need
- Problem:
  - not scalable conflicts with modularity and encapsulation
- You might encounter a hidden version of this problem if thread has to enter the kernel to perform some function like storage allocation
  - kernel lock is like the global-lock in our example

lock(global-lock); lock(I1); lock(l2); unlock(global-lock);

## **Self-preemption**

### Coding discipline:

- Coding discipline. Use only try-locks If a thread cannot acquire a lock while it is holding other locks, it releases all locks it holds and tries again
- Variation: OS or some other agency steps in and preempts a thread
- Problems:

- Encapsulation
   Livelock: threads can keep on acquiring and releasing locks without making progress because no thread ever gets all the locks it needs
- One solution to livelock: (Ethernet) backoff: thread does not retry until some randomly chosen amount of time has passed

loop: //start of lock acquires

if (trylock(Lj) == EBUSY) { l/unlock all locks you hold goto loop; }

endloop:

//compute with resources //release locks



- Atomic swap(addr,reg) - swap contents of address and register atomically Spin-lock using swap
  - location lock has 0/1 for unlocked/locked
  - lock code:
    - load 1 into register rx;
    - swap(lock,rx);
    - test rx:

if rx is 1, you don't have lock so try again
if rx is 0, you have lock and no one else can have it till you unlock

- unlock store 0 into lock;

· Problem:

- swap must invalidate line in all caches even when lock acquire is not successful
- if there are a lot of threads waiting for lock, busy-waiting will create a lot of bus traffic









main:		; Using main since we are using gcc to link
		; Call pthread_create(pthread_t *thread, const pthread_attr_t *attr, ; void *(*start_routine) (void *), void *arg);
	durand 0	And From any manufacture
pusn	awora u	, Arg Four: argument pointer
pusn	thread1	; Arg Three: Address of routine
pusn	awora U	; Arg Two: Attributes
pusn	tiD1	; Arg One: pointer to the thread ID
call	pthread_create	
push	dword 0	; Arg Four: argument pointer
push	thread2	; Arg Three: Address of routine
, push	dword 0	: Ara Two: Attributes
push	t/D2	; Arg One: pointer to the thread ID
call	pthread_create	
		Call int othread join(othread t thread yoid **retval)
		, our in panoud_join(panoud_t anoud, void - rotvar) ;
nush	dword 0	, Δra Two: retval
nush	dword [tlD1] · Ara (	Dine: Thread ID to wait on
call	nthread ioin	
nush	dword 0	· Arra Two: retval
puch	dword (tID21 · Ara (	ne: Thread ID to wait on
call	pthread_join	nie. mieda ib to wait on
push	dword [result]	
push	dword fmtStr2	
call	printf	
add	esp, 8	; Pop stack 2 times 4 bytes
call exit		

uneaur.	09//60		
	puch	dword [tID1]	
	nush	dword 1	
	puch	dword fmtStr1	
	call	printf	
	add	esp, 12	; Pop stack 3 times 4 bytes
	call	spinLock	
	mov	[result], dword 1	
	call	spinUnlock	
	push	dword 0	; Arg one: retval
	call	pthread_exit	
thread2:			
	pause		
	push	dword [tlD2]	
	push	dword 2	
	push	dword fmtStr1	
	call	printf	
	add	esp, 12	; Pop stack 3 times 4 bytes
	call	spinLock	
	mov	[result], dword 2	
	call	spinUnlock	
	push	dword 0	; Arg one: retval
	call	pthread_exit	





### **Implementation of barriers**

· Implemented using an atomic counter

- Initialized to number of threads that need to arrive at barrier
- Thread that arrives at barrier
  - · decrements counter atomically
  - checks if it is the last one to arrive at barrier (counter = 0) and if so, informs other waiting threads that they can move past barrier
- Small subtlety when barrier is within a loop

### Controlling Thread and Synchronization Attributes

- The Pthreads API allows a programmer to change the default attributes of entities using *attributes objects*.
- An attributes object is a data-structure that describes entity (thread, mutex, condition variable) properties.
- Once these properties are set, the attributes object can be passed to the method initializing the entity.
- Enhances modularity, readability, and ease of modification.

### Attributes Objects for Threads

- Use pthread\_attr\_init to create an
   attributes object.
- Individual properties associated with the attributes object can be changed using the following functions:

pthread\_attr\_setdetachstate, pthread\_attr\_setguardsize\_np, pthread\_attr\_setstacksize, pthread\_attr\_setinheritsched,

pthread\_attr\_setschedpolicy, and
pthread\_attr\_setschedparam

### Attributes Objects for Mutexes

- Initialize the attrributes object using function: pthread\_mutexattr\_init.
- > The function pthread mutexattr\_settype np Can be used for setting the type of mutex specified by the mutex attributes object. pthread\_mutexattr\_settype\_np ( pthread\_mutexattr\_t \*attr,

int type);

- Here,  $\mathtt{type}$  specifies the type of the mutex and can take one of:
  - PTHREAD\_MUTEX\_NORMAL\_NP
  - PTHREAD\_MUTEX\_RECURSIVE\_NP
  - PTHREAD\_MUTEX\_ERRORCHECK\_NP