

# MODERN RL LANDSCAPE: PART I

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# Distributional RL (Bellemare et al. 2017)

$$Q(x, a) = \mathbb{E} R(x, a) + \gamma \mathbb{E} Q(X', A').$$

vs.

$$Z(x, a) \stackrel{D}{=} R(x, a) + \gamma Z(X', A').$$

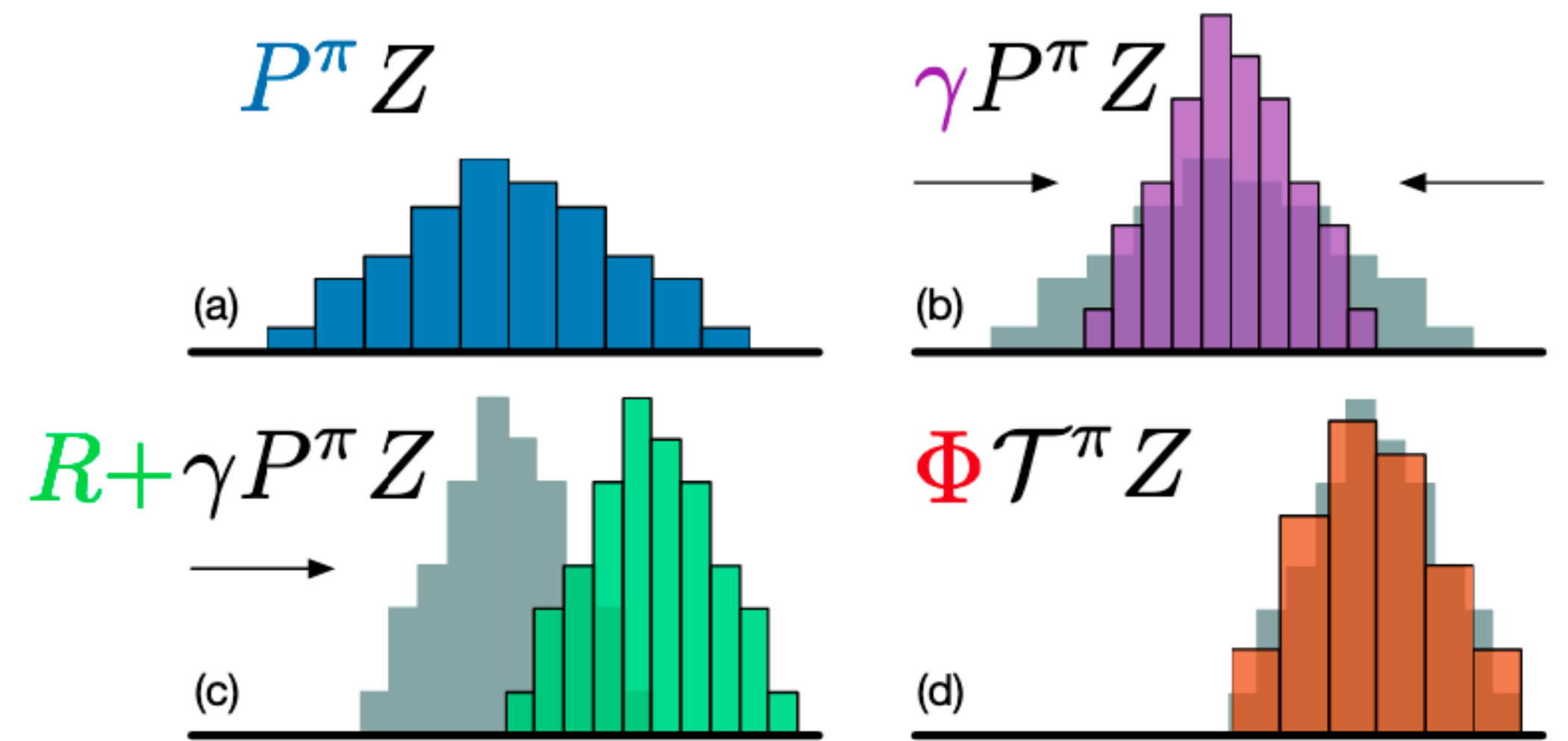
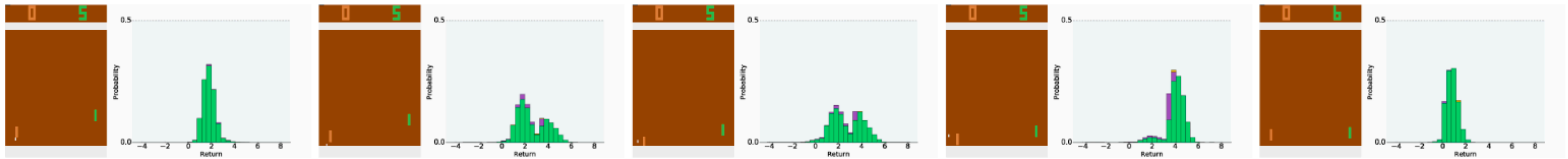


Figure 1. A distributional Bellman operator with a deterministic reward function: (a) Next state distribution under policy  $\pi$ , (b) Discounting shrinks the distribution towards 0, (c) The reward shifts it, and (d) Projection step (Section 4).

# Distributional RL (Bellemare et al. 2017)



*Figure 5.* Intrinsic stochasticity in PONG.

# Distributional RL (Bellemare et al. 2017)

	Mean	Median	> H.B.	> DQN
DQN	228%	79%	24	0
DDQN	307%	118%	33	43
DUEL.	373%	151%	37	50
PRIOR.	434%	124%	39	48
PR. DUEL.	592%	172%	39	44
C51	<b>701%</b>	<b>178%</b>	<b>40</b>	<b>50</b>
UNREAL <sup>†</sup>	880%	250%	-	-

Figure 6. Mean and median scores across 57 Atari games, measured as percentages of human baseline (H.B., Nair et al., 2015).

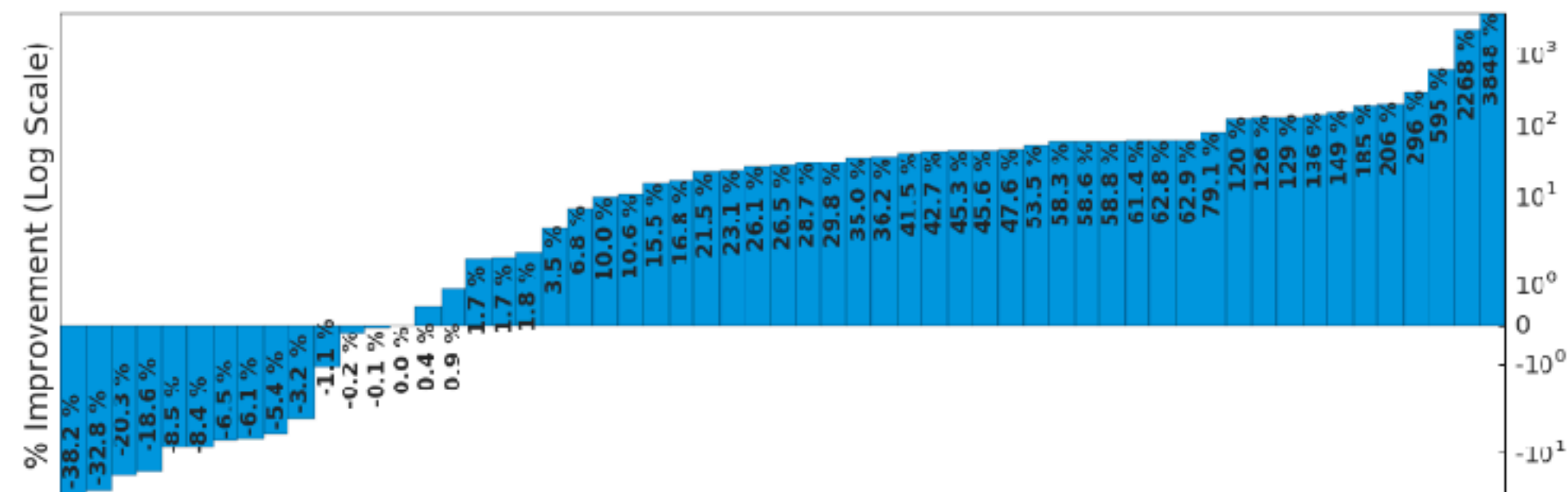


Figure 7. Percentage improvement, per-game, of C51 over Double DQN, computed using van Hasselt et al.'s method.



# What is distributional RL doing? (Lyle et al. 2019)

- Reduces chattering?
- Stabilizes updates, handles nonstationarity?
- Good auxiliary task?

# What is distributional RL doing? (Lyle et al. 2019)

- Identical expectations computed in most tabular and linear approx cases
- And when predictions are different, actually hurts performance often!
- But usually helps with nonlinear function approximation (e.g. DNN)
- Good auxiliary task for representation learning /regularization?

# What is meta-learning?

- If you've learned 100 tasks already, can you figure out how to *learn* more efficiently?
  - Now having multiple tasks is a huge advantage!
- Meta-learning = *learning to learn*
- In practice, very closely related to multi-task learning
- Many formulations
  - Learning an optimizer
  - Learning an RNN that ingests experience
  - Learning a representation

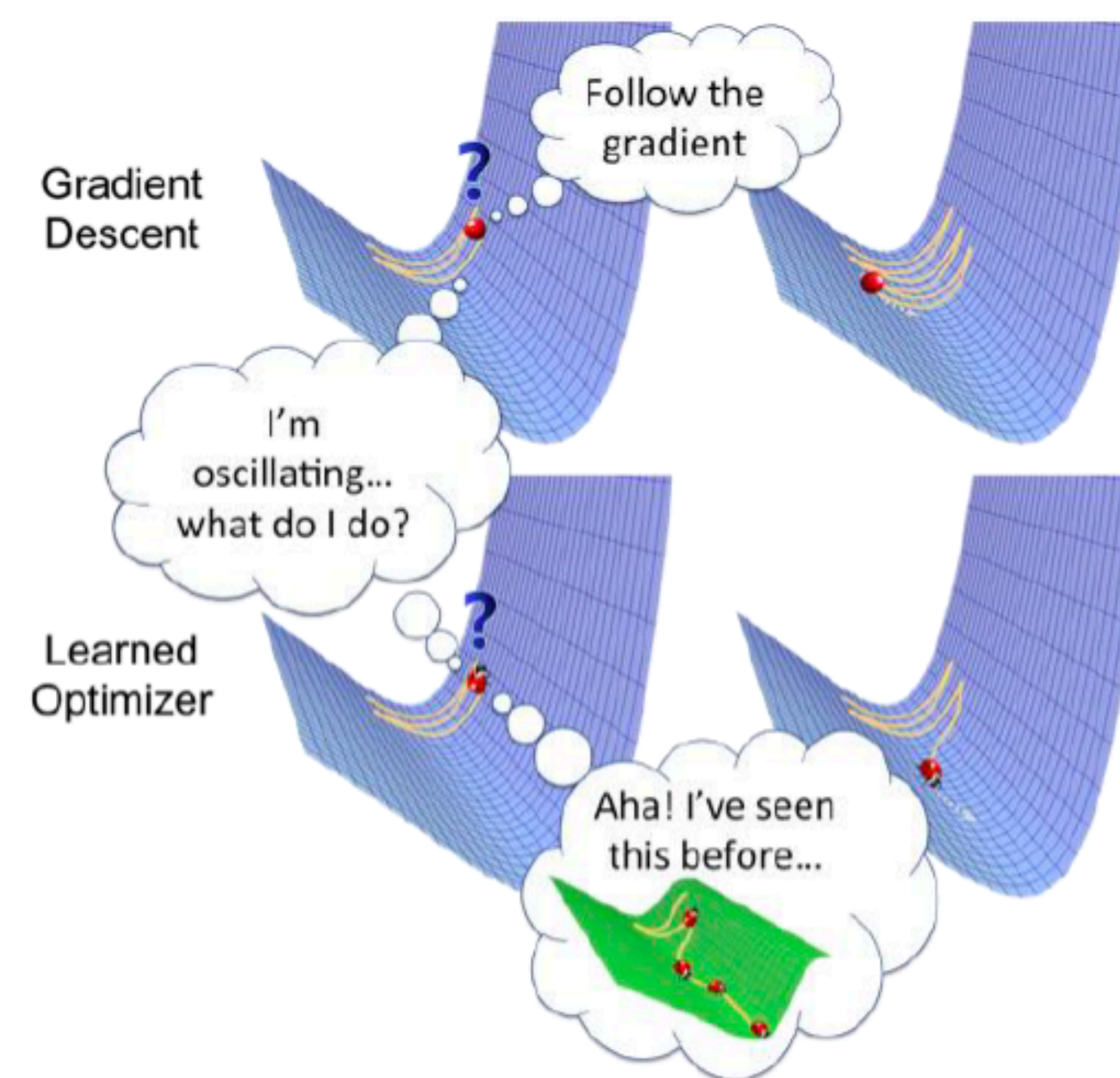


image credit: Ke Li

Slide credit: Sergey Levine

# Why is meta-learning a good idea?

- Deep reinforcement learning, especially model-free, requires a huge number of samples
- If we can *meta-learn* a faster reinforcement learner, we can learn new tasks efficiently!
- What can a *meta-learned* learner do differently?
  - Explore more intelligently
  - Avoid trying actions that are known to be useless
  - Acquire the right features more quickly



# Meta-learning with supervised learning



image credit: Ravi & Larochelle '17

Slide credit: Sergey Levine

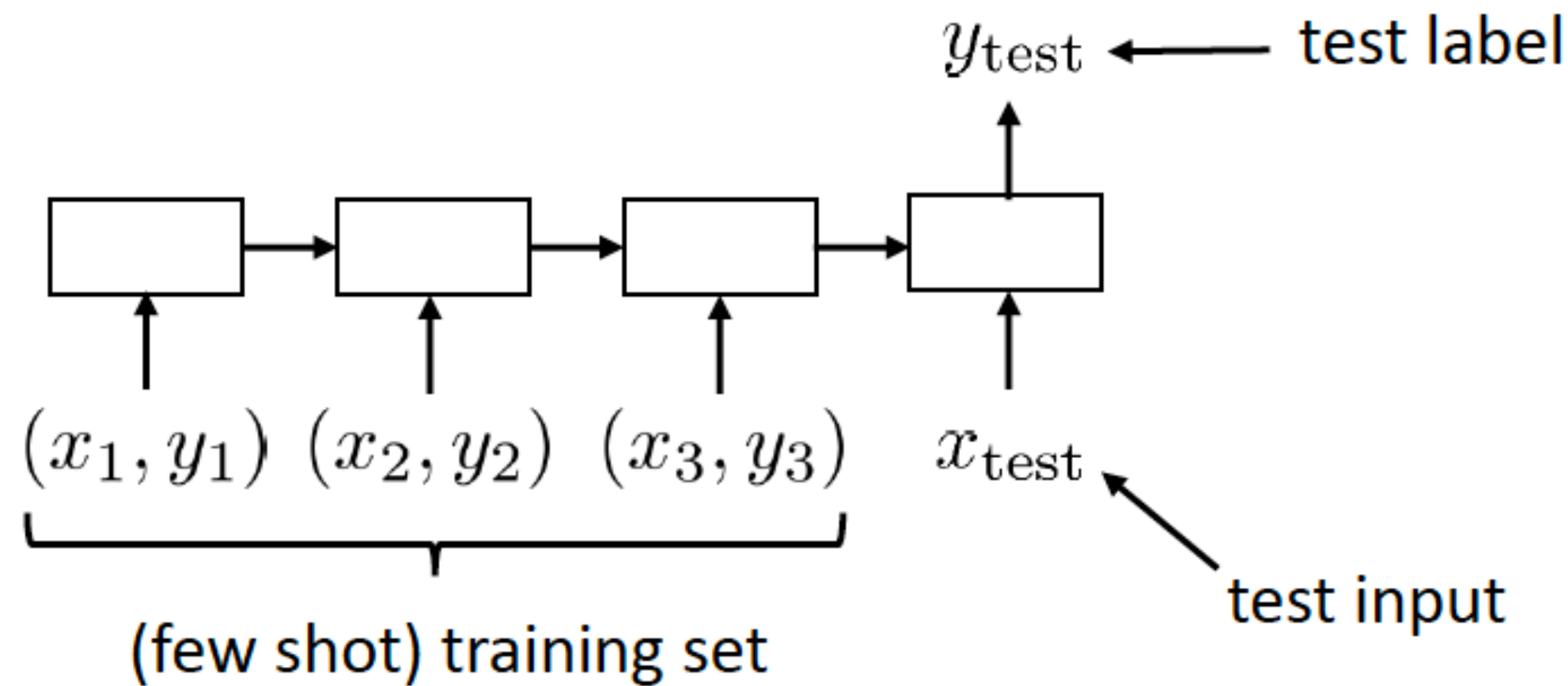


# Meta-learning with supervised learning



supervised learning:  $f(x) \rightarrow y$   
 input (e.g., image)    output (e.g., label)

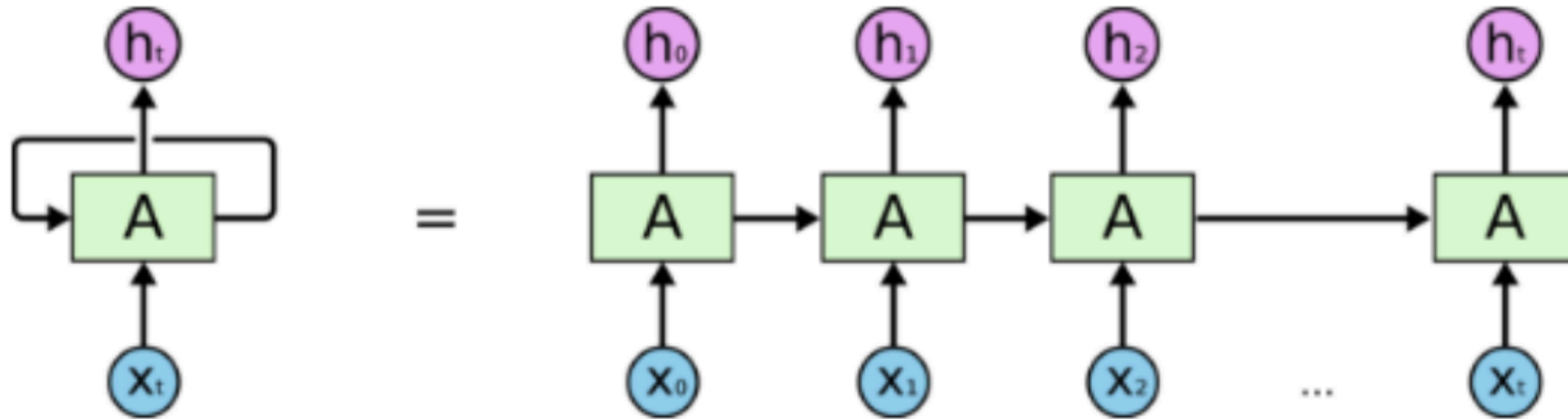
supervised meta-learning:  $f(\mathcal{D}_{\text{train}}, x) \rightarrow y$   
 training set



- How to read in training set?
  - Many options, RNNs can work
  - More on this later



# RNN-based meta-learning



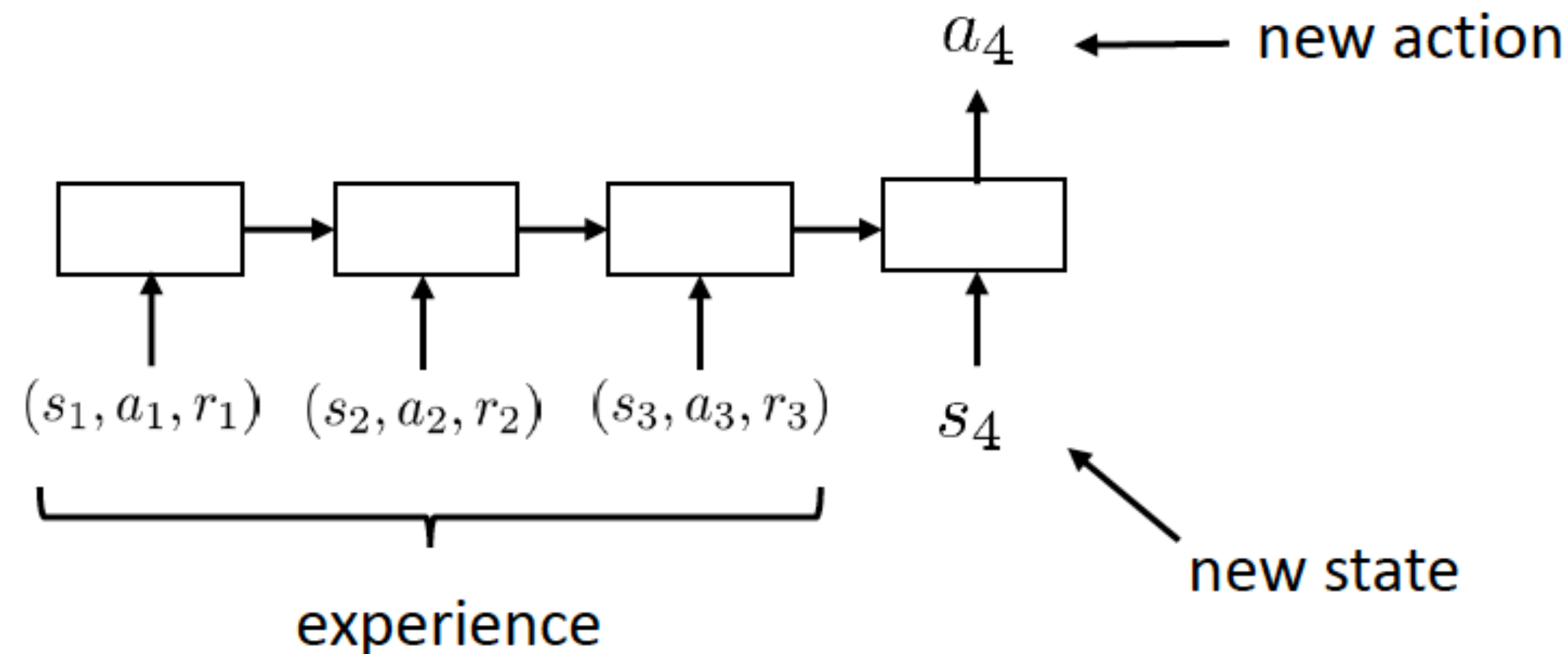
# The meta-learning problem in RL

supervised meta-learning:  $f(\mathcal{D}_{\text{train}}, x) \rightarrow y$

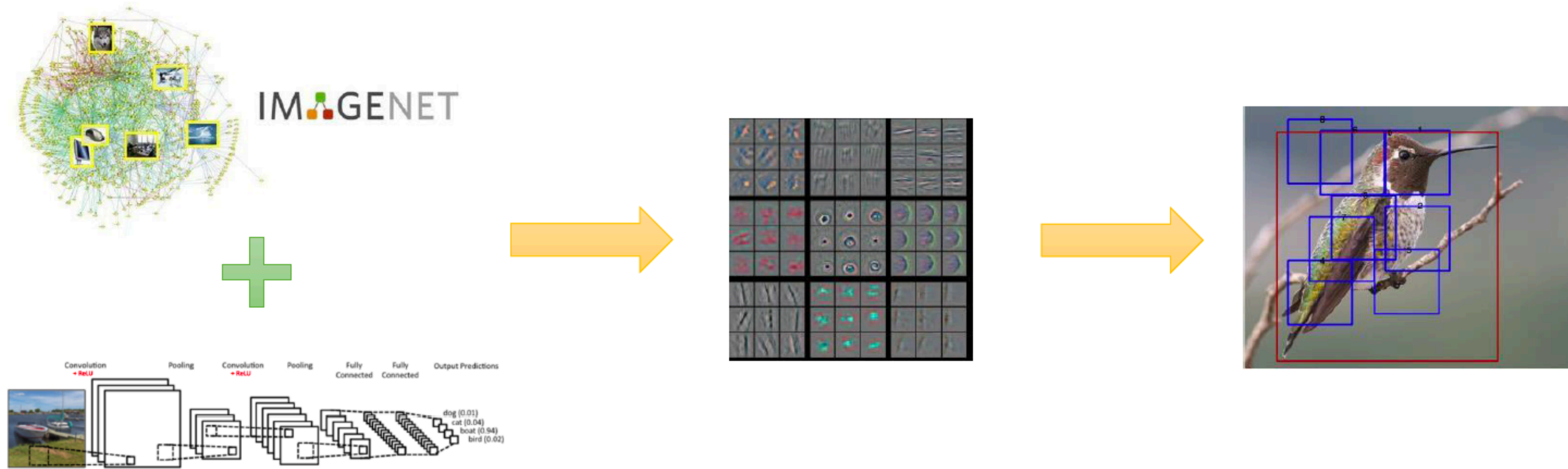
reinforcement meta-learning (for example...):  $f(\mathcal{D}_{\text{train}}, s) \rightarrow a$

↑            ↑            ↘  
recent experience    state    output (e.g., action)

$$\mathcal{D}_{\text{train}} = \{s_1, a_1, r_1, \dots, a_N, s_N, r_N\}$$



# Back to representations...

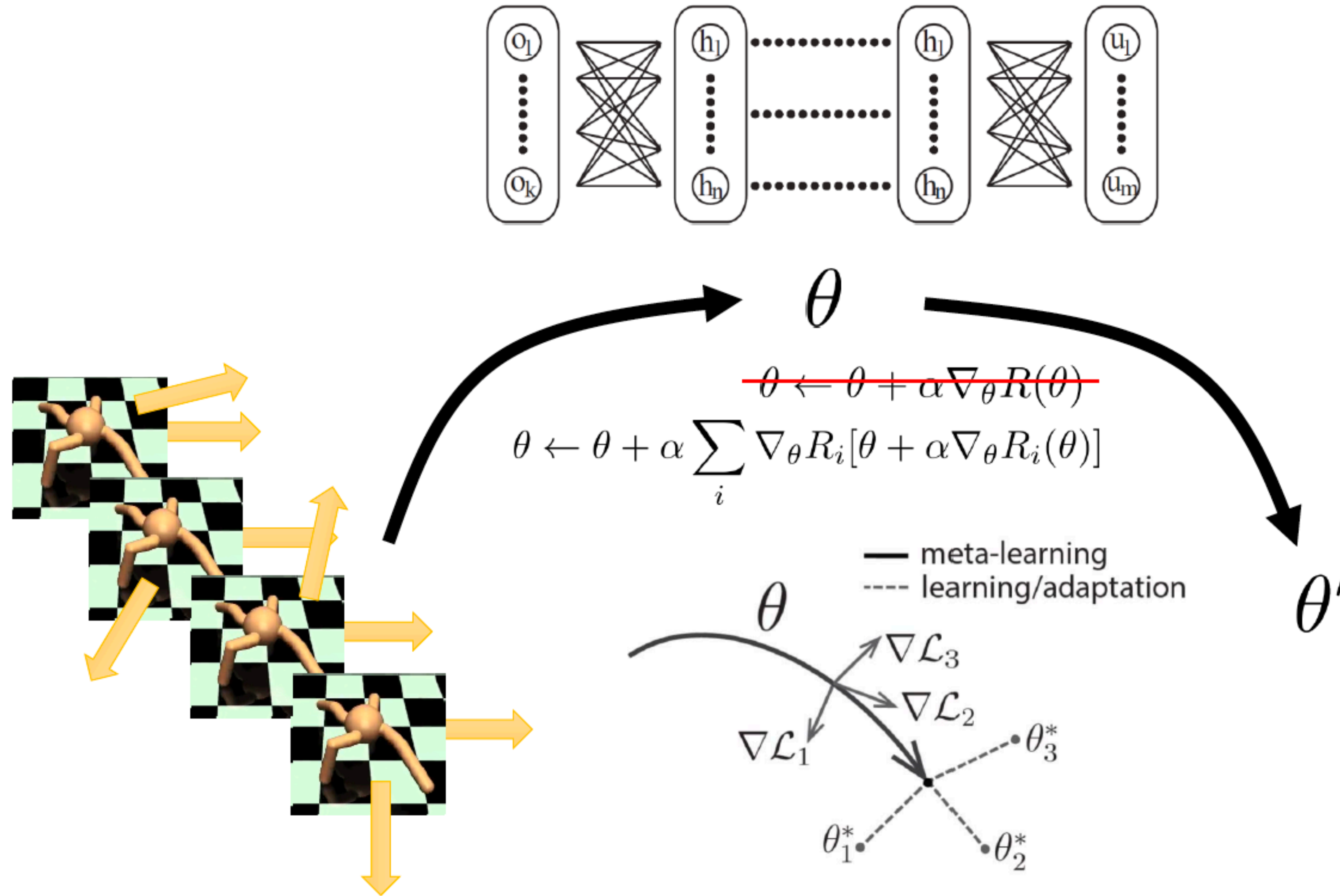


is pretraining a *type* of meta-learning?

better features = faster learning of new task!



# Preparing a model for faster learning



Finn et al., "Model-Agnostic Meta-Learning"

Slide credit: Sergey Levine

# Meta-learning summary & open problems

- Meta-learning = learning to learn
- Supervised meta-learning = supervised learning with datapoints that are entire datasets
- RL meta-learning with RNN policies
  - Ingest past experience with RNN
  - Simply run forward pass at test time to “learn”
  - Just contextual policies (no actual learning)
- Model-agnostic meta-learning
  - Use gradient descent (e.g., policy gradient) learning rule
  - Conceptually not that different
  - ...but can accelerate standard RL algorithms (e.g., learn in one iteration of PG)

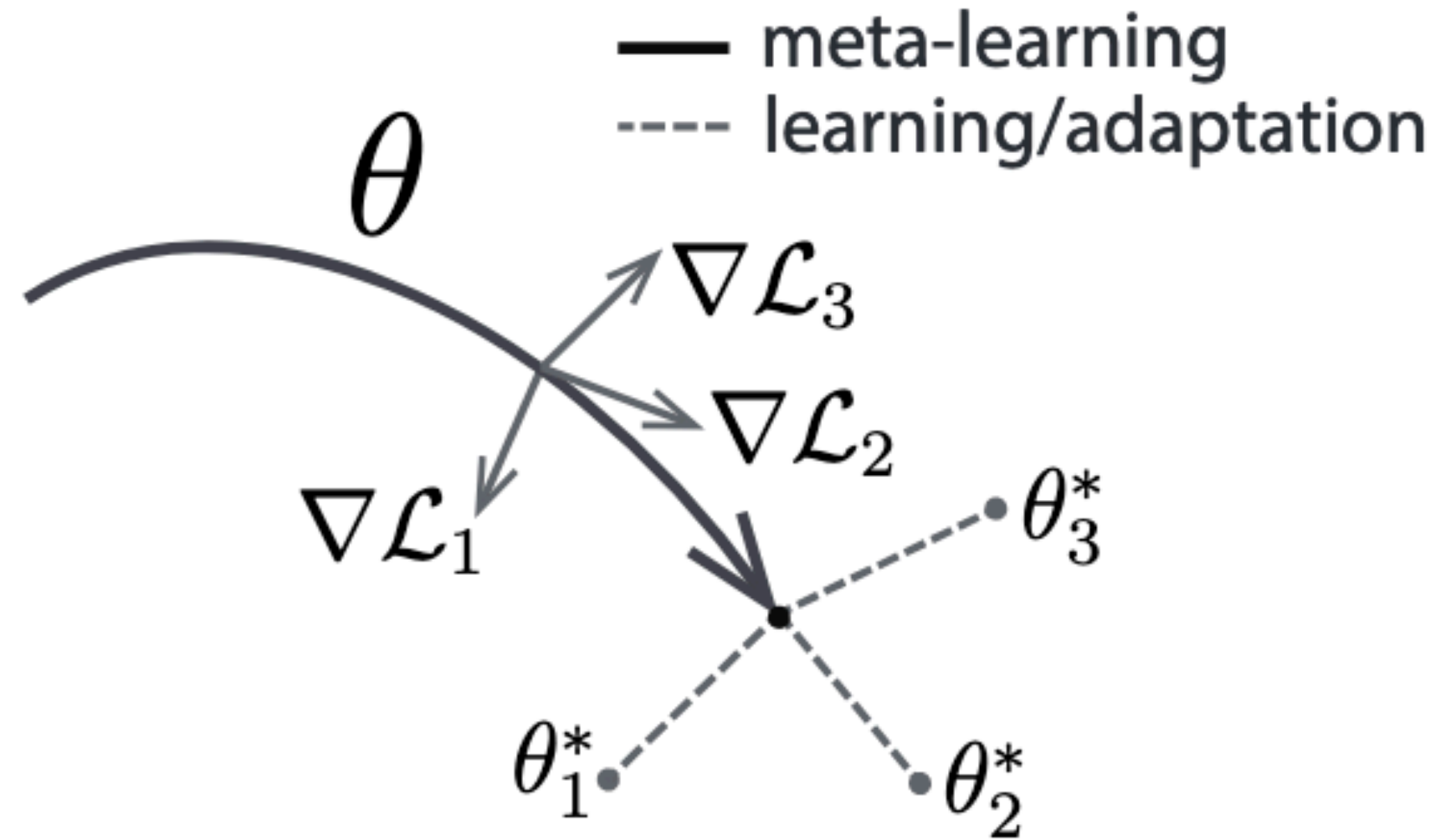


# Meta-learning summary & open problems

- The promise of meta-learning: use past experience to simply acquire a much more efficient deep RL algorithm
- The reality of meta-learning: mostly works well on smaller problems
- ...but getting better all the time
- Main limitations
  - RNN policies are extremely hard to train, and likely not scalable
  - Model-agnostic meta-learning presents a tough optimization problem
  - Designing the right task distribution is hard
  - Generally very sensitive to task distribution (meta-overfitting)



# Why not just initialize parameters to those that give the best average performance across tasks?

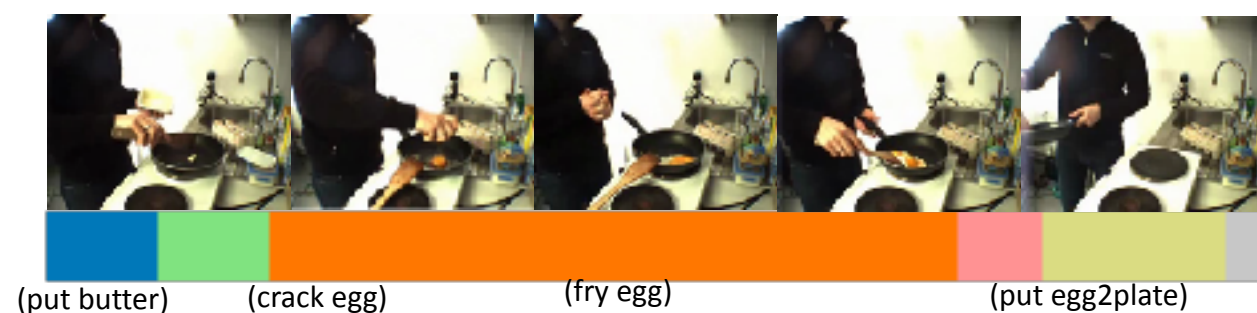


*Figure 1.* Diagram of our model-agnostic meta-learning algorithm (MAML), which optimizes for a representation  $\theta$  that can quickly adapt to new tasks.

Isn't MAML just parameter initialization?

**No! Surprisingly, MAML is *universal*:**  
it can learn any update rule, in principle

# Leveraging auxiliary data sources and multiple data modalities for increased efficiency

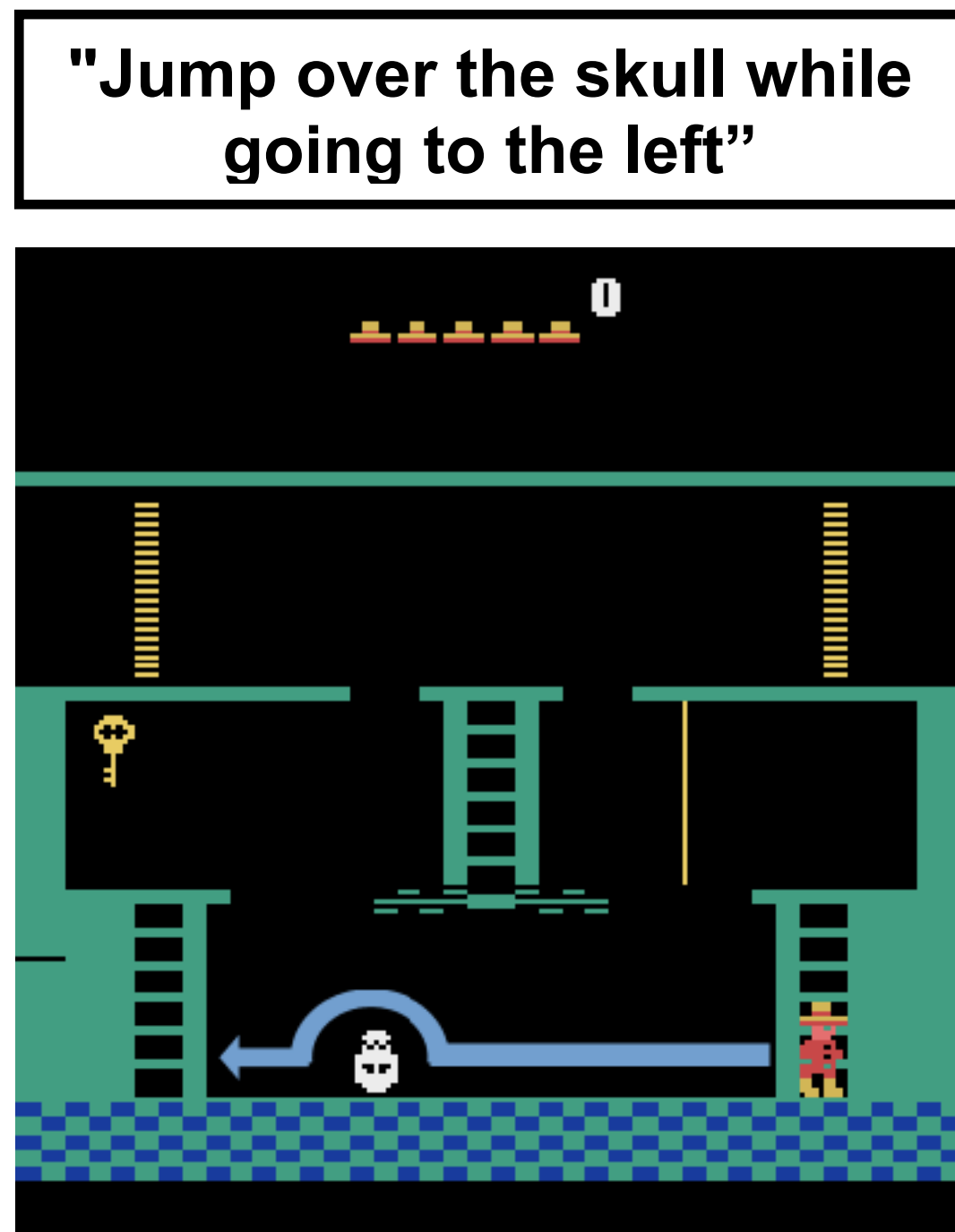


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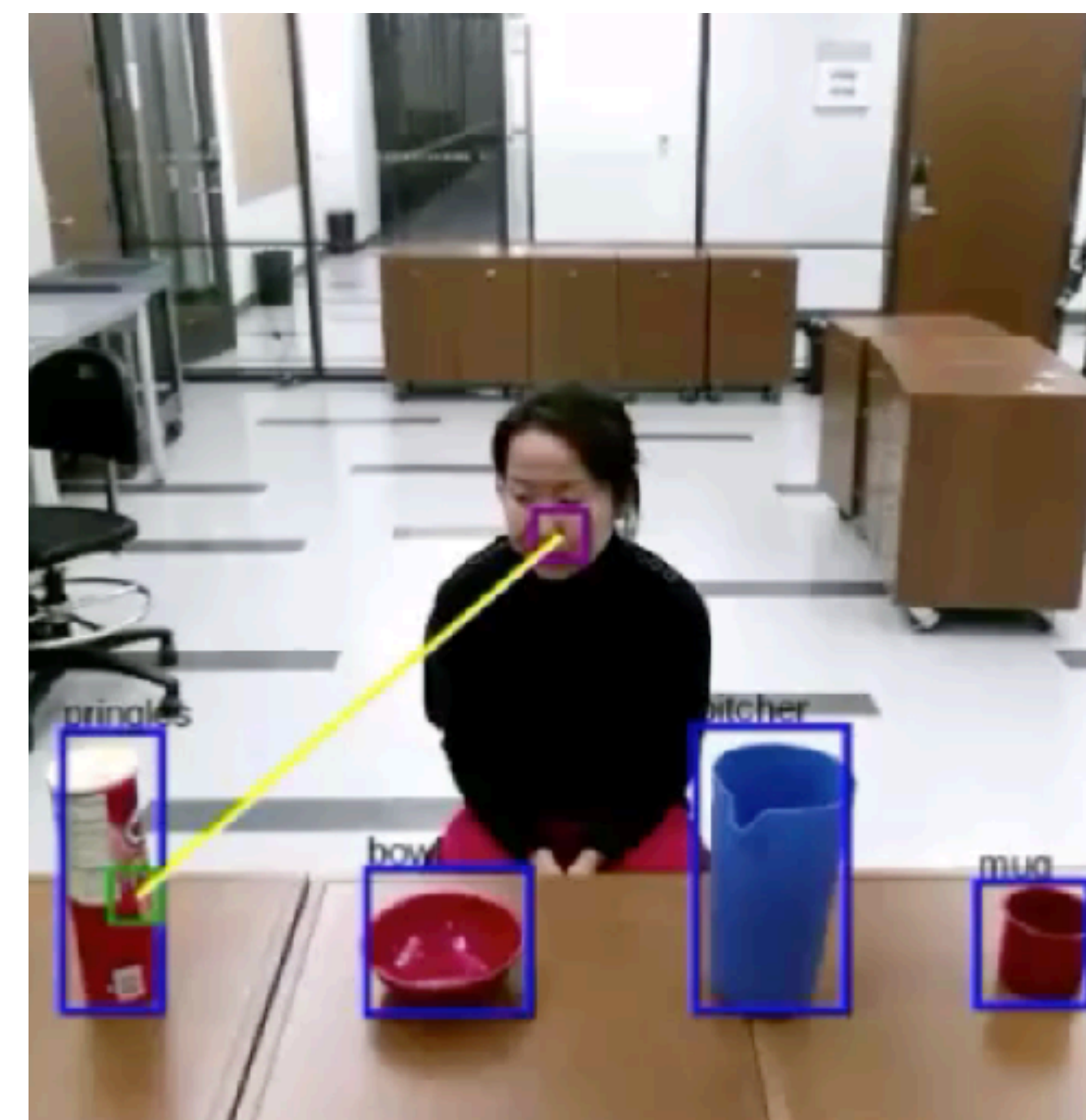
Auxiliary video alignment

W. Goo and S. Niekum.  
**One Shot Learning of Multi-Step Tasks from Observation via Activity Localization in Auxiliary Video**  
International Conference on Robotics and Automation, May 2019.



Natural language narration

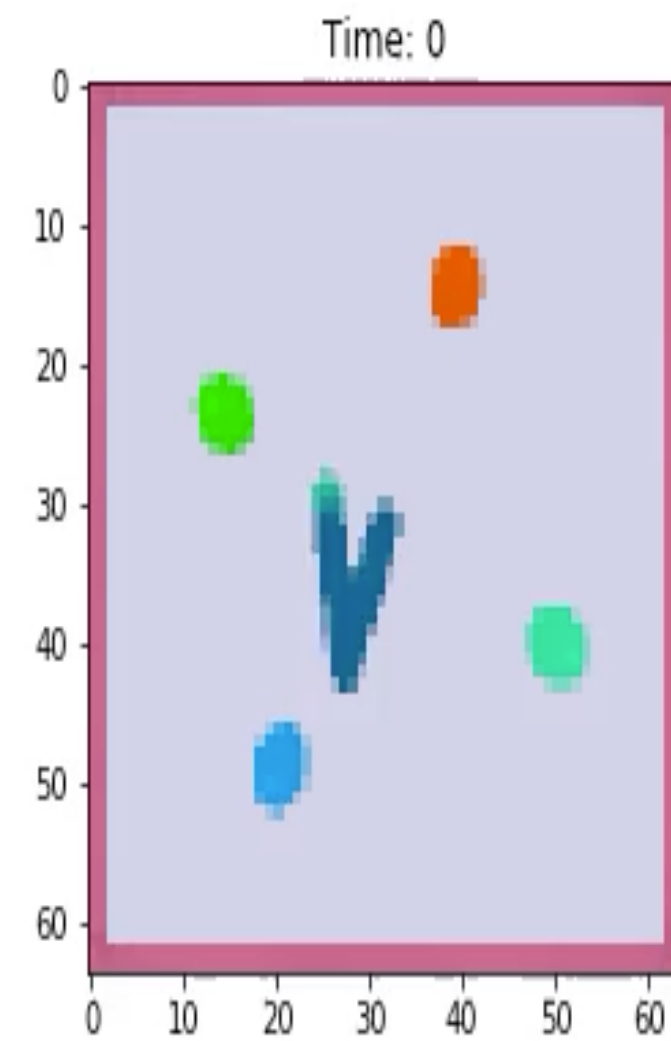
P. Goyal, S. Niekum, and R. Mooney.  
**Using Natural Language for Reward Shaping in RL**  
International Joint Conference on AI, August 2019.



Gaze and facial expressions

A. Saran, E.S. Short, A.L. Thomaz, and S. Niekum.  
**Understanding Teacher Gaze Patterns for Robot Learning.**  
Conference on Robot Learning (CoRL), October 2019.

# Colored Target Reaching Task



Subtask A:  
Reaching to an orange target

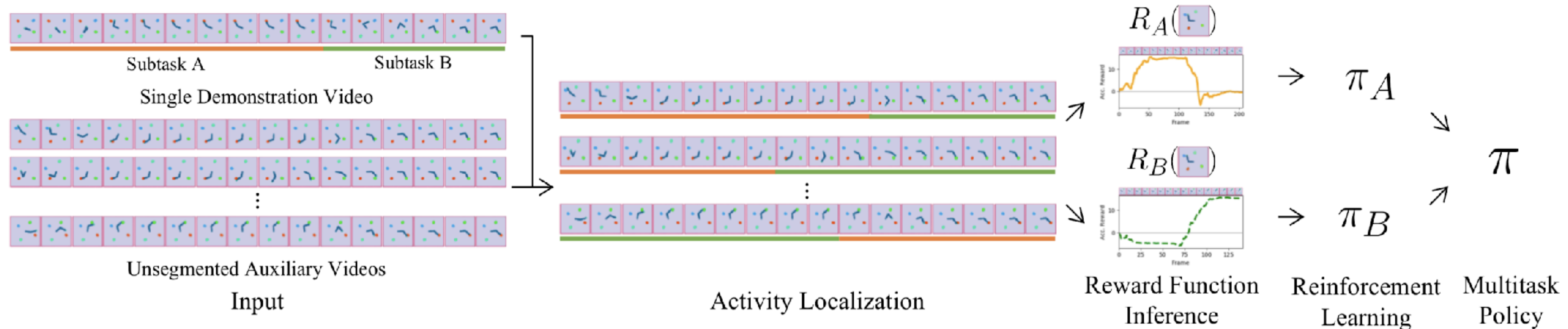


Subtask B:  
Reaching to a green target





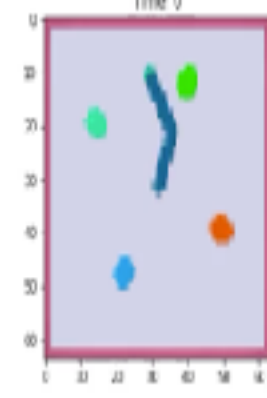
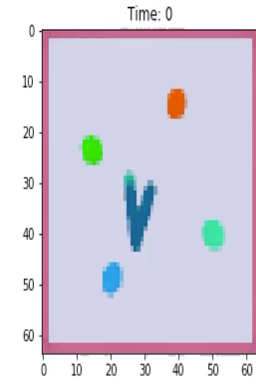
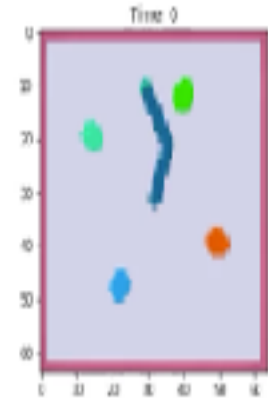
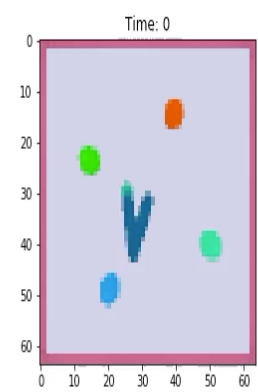
# One-Shot Learning from Observation for Multi-Step Tasks via Activity Localization in Auxiliary Video



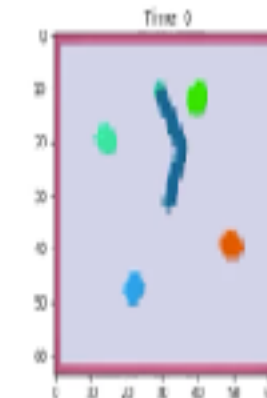
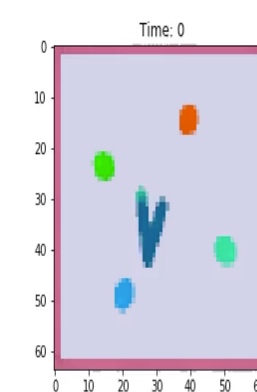
Meta-learn a low-shot activity classifier

...then perform IRL

# Experiment - Meta-Training



.....



$\mathcal{T}_1$  ; target orange and green

$\mathcal{T}_2$  ; target blue and yellow

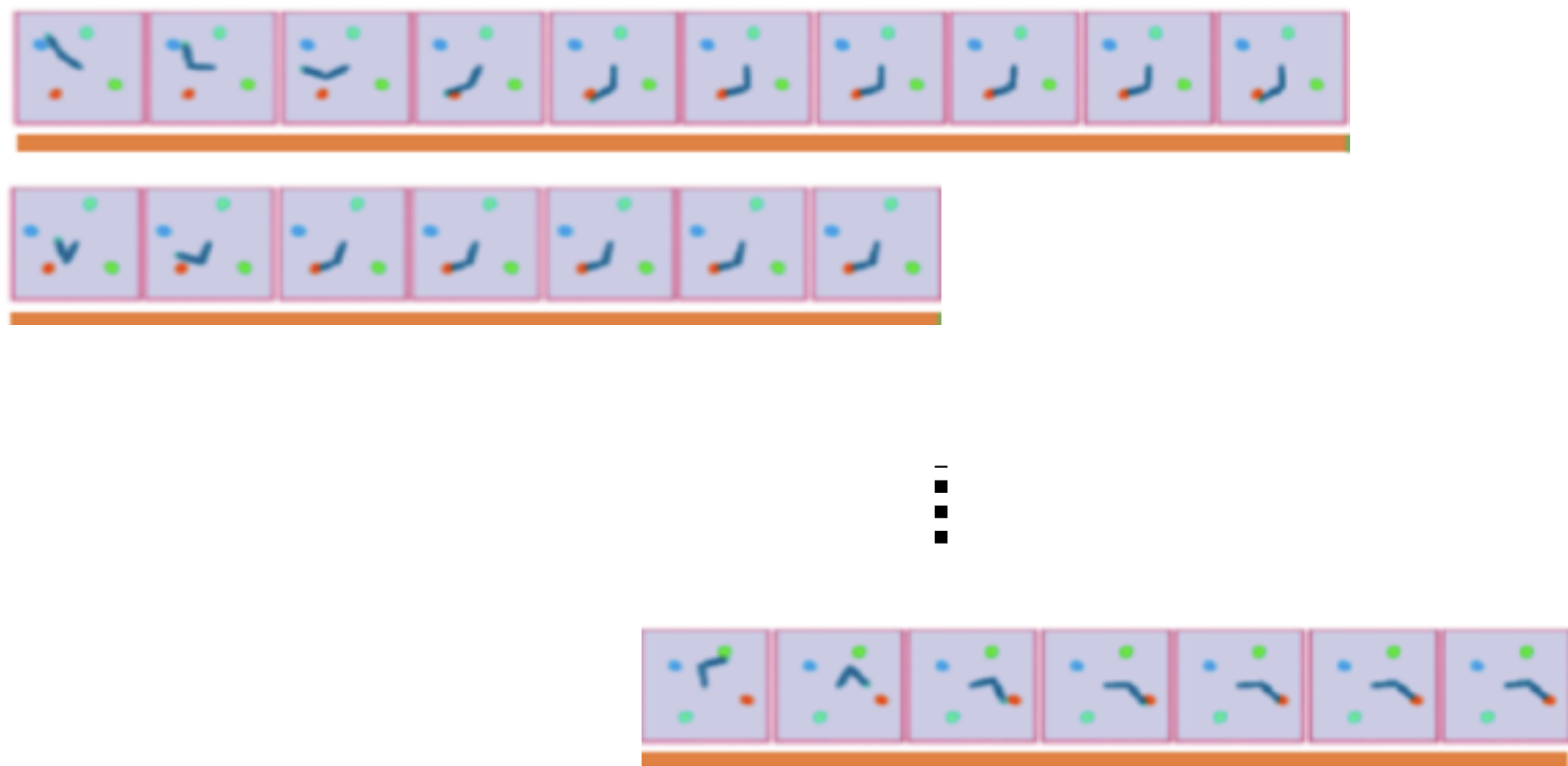
$\mathcal{T}_n$  ; target purple and red

Meta-Training dataset;  
videos with preselected 36 target colors, 100 videos per each task



# Learning from Observation (LfO) - Approach

- Learning a notion of *progress*
  - Shuffle-and-Learn loss



Are the frames in order?

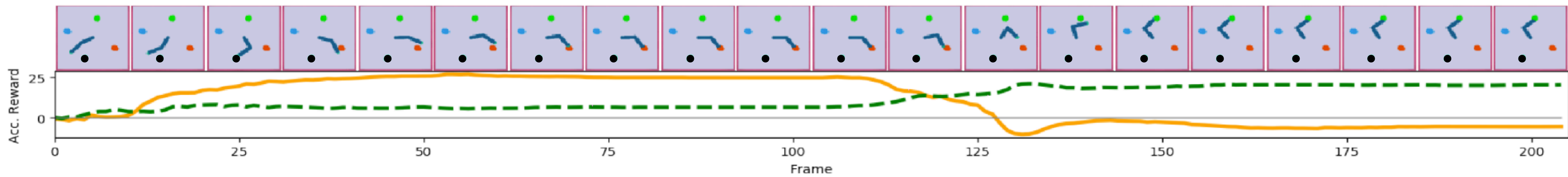
$$g(\text{frame}_1, \text{frame}_2) = 1; \text{ in order}$$

$$g(\text{frame}_2, \text{frame}_1) = 0; \text{ out of order}$$

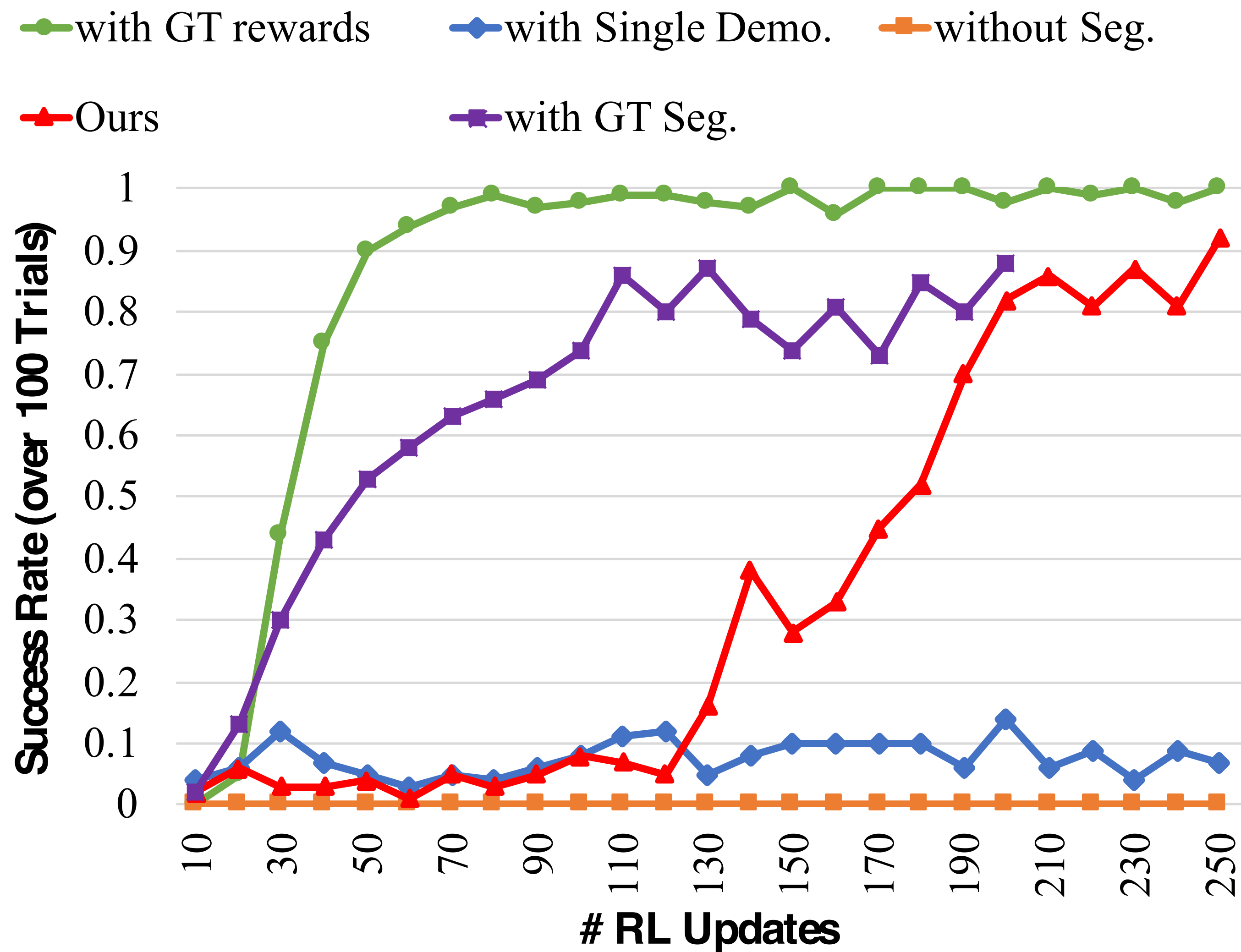
For all possible pairs,

$$Loss = L_{ce}(\text{sigmoid}(g(o_t, o_{t'})), \mathbb{1}(t < t')),$$

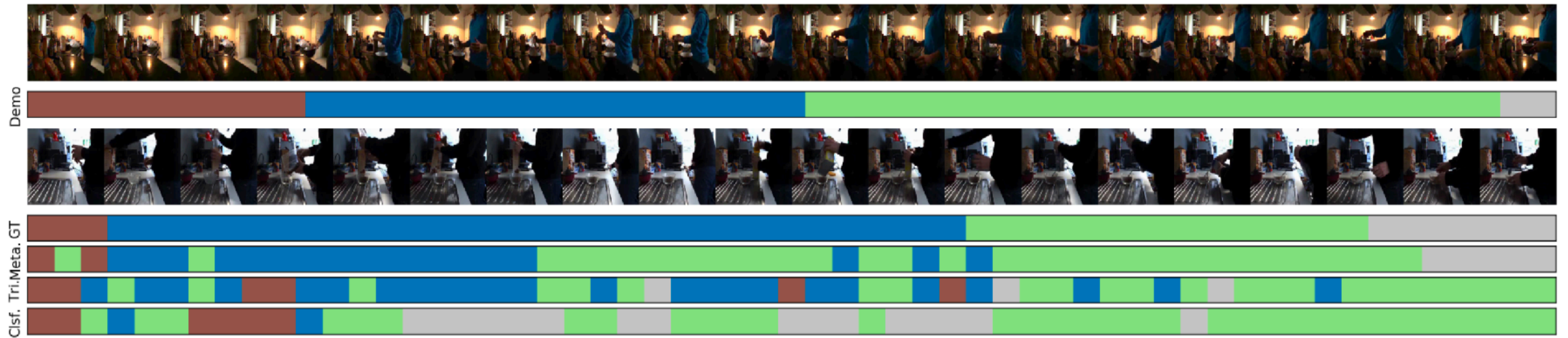
# Learning from Observation (LfO) - Result



# Result - the whole pipeline



# Results - Breakfast dataset





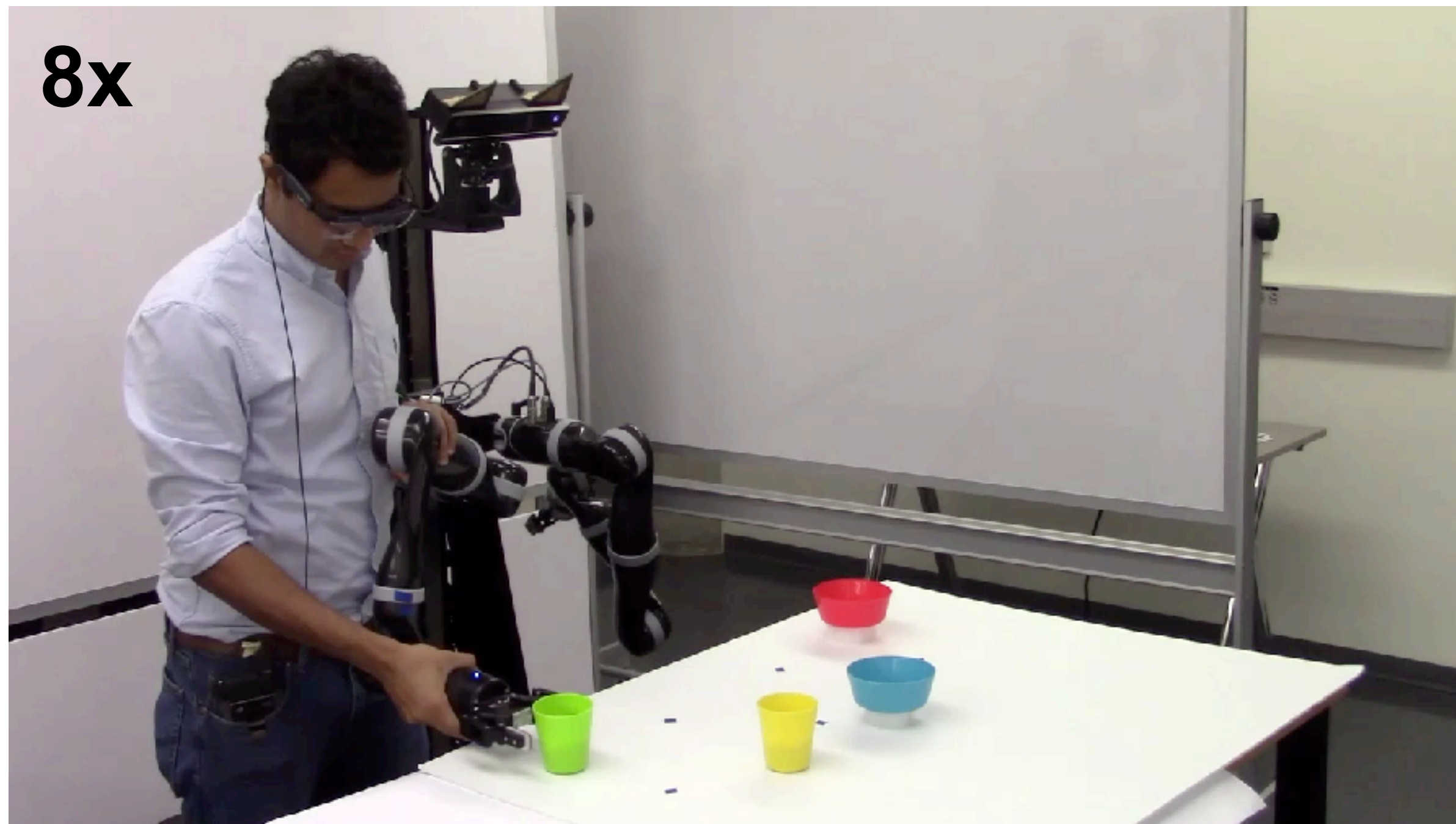
# Gaze – a signal of Human Intent



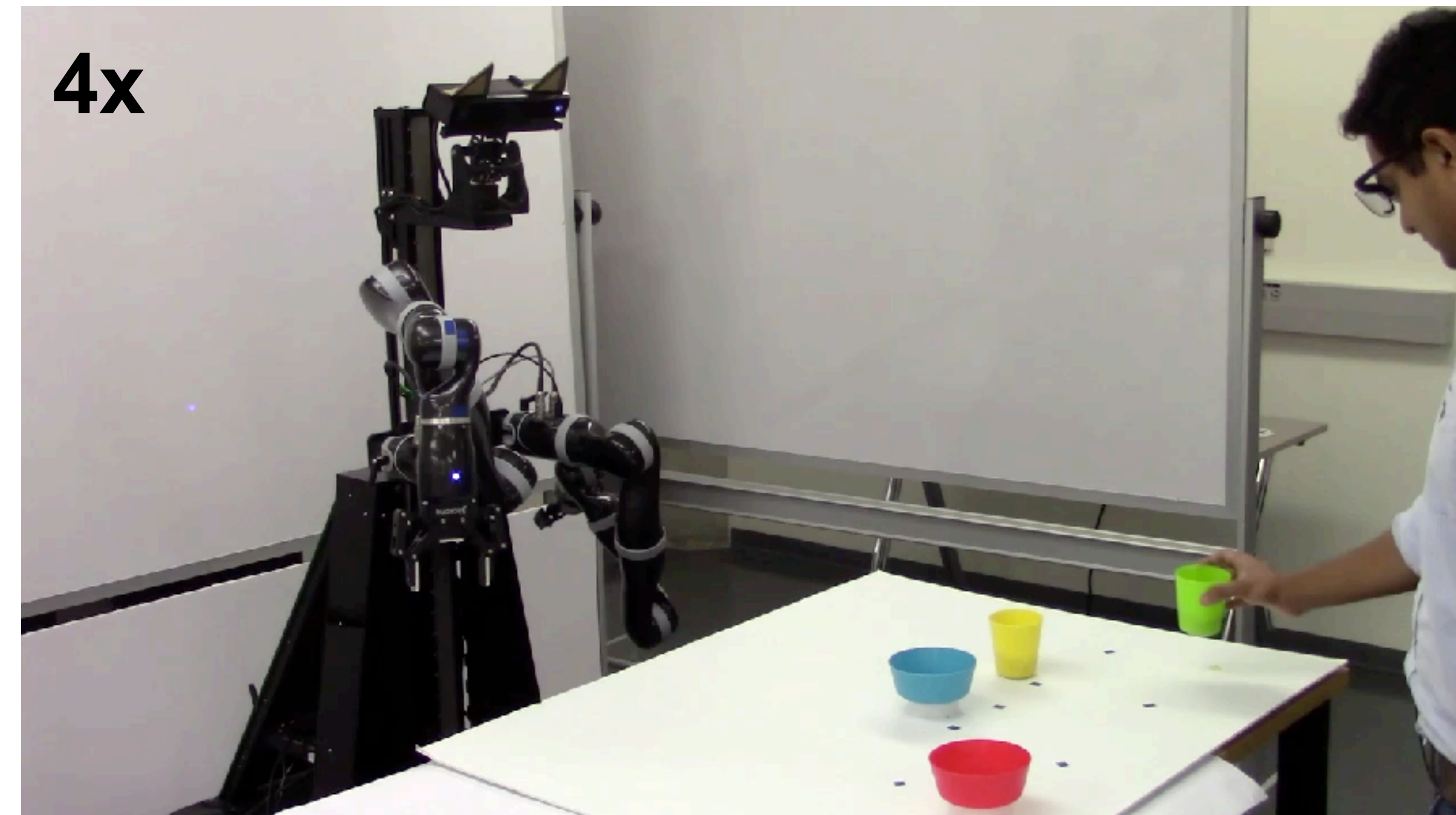


# Gaze Patterns in Human Demonstrations for Robots

Keyframe-based Kinesthetic Teaching (KT)



Observational/Video Demonstrations



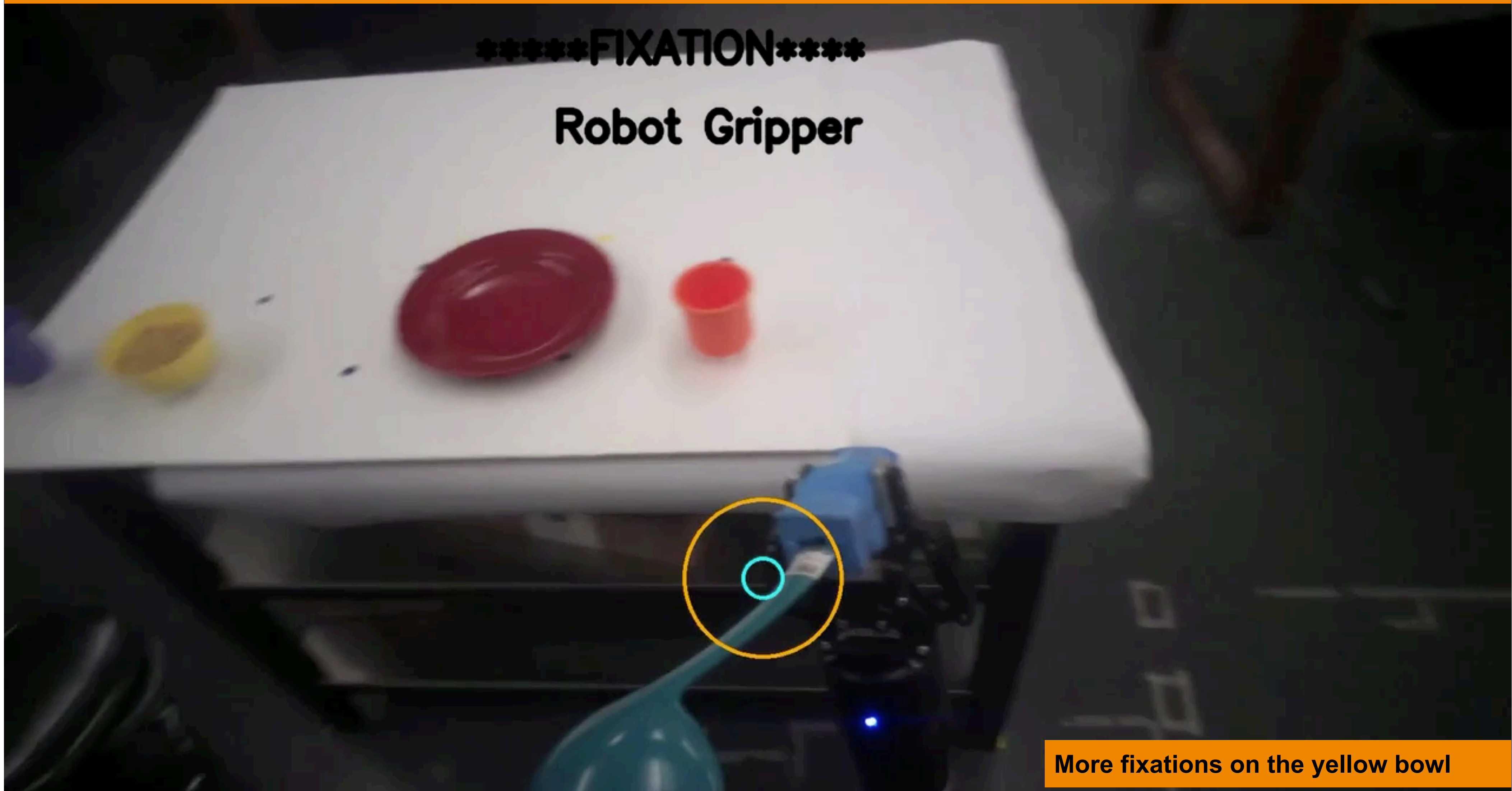


# Gaze Fixations during Ambiguous Placement Demonstrations

Instruction: Place Green Ladle to the right of Yellow Bowl

\*\*\*\*\*FIXATION\*\*\*\*\*

Robot Gripper



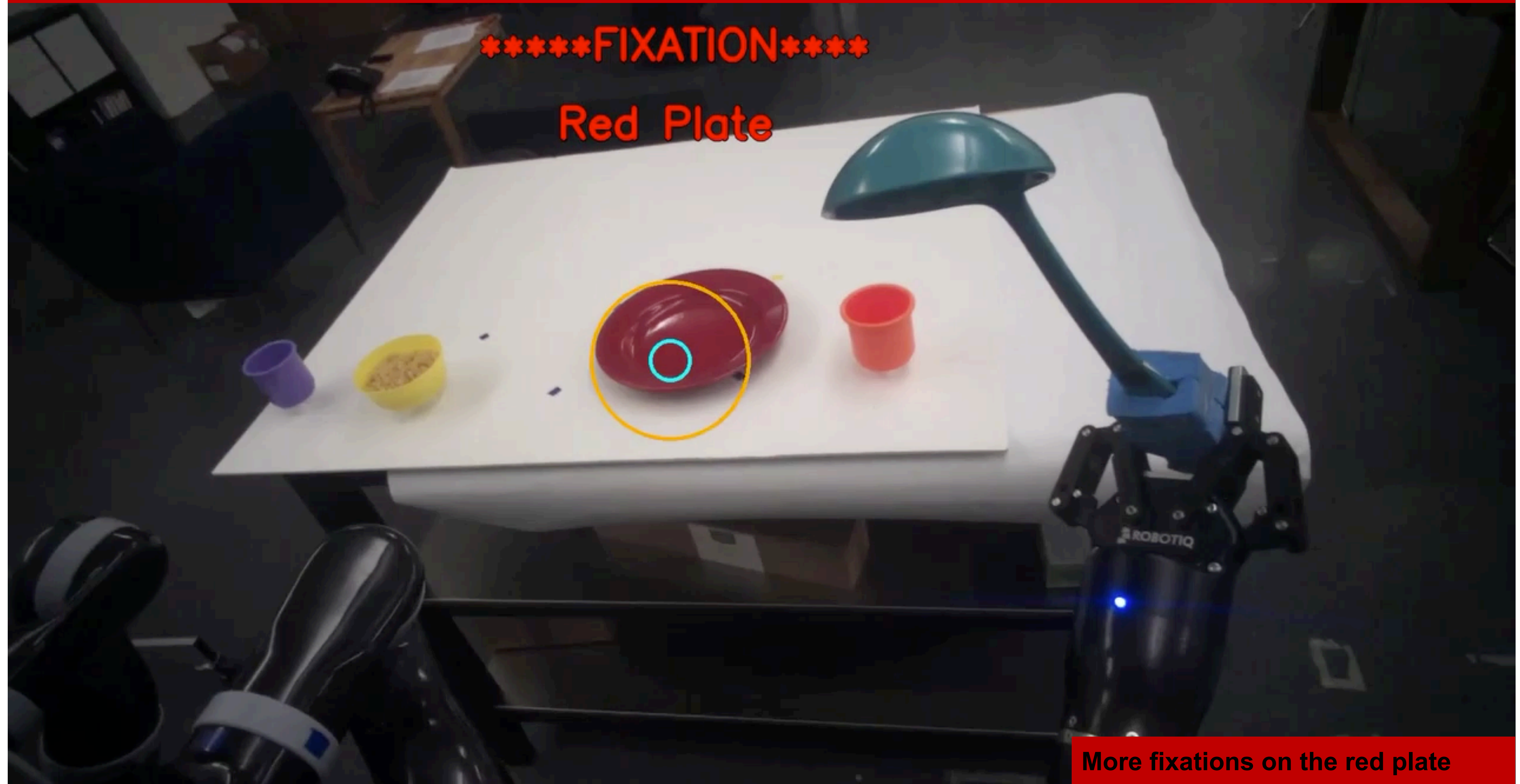
More fixations on the yellow bowl

# Gaze Fixations during Ambiguous Placement Demonstrations

Instruction: Place Green Ladle to the left of Red Plate

\*\*\*\*\*FIXATION\*\*\*\*\*

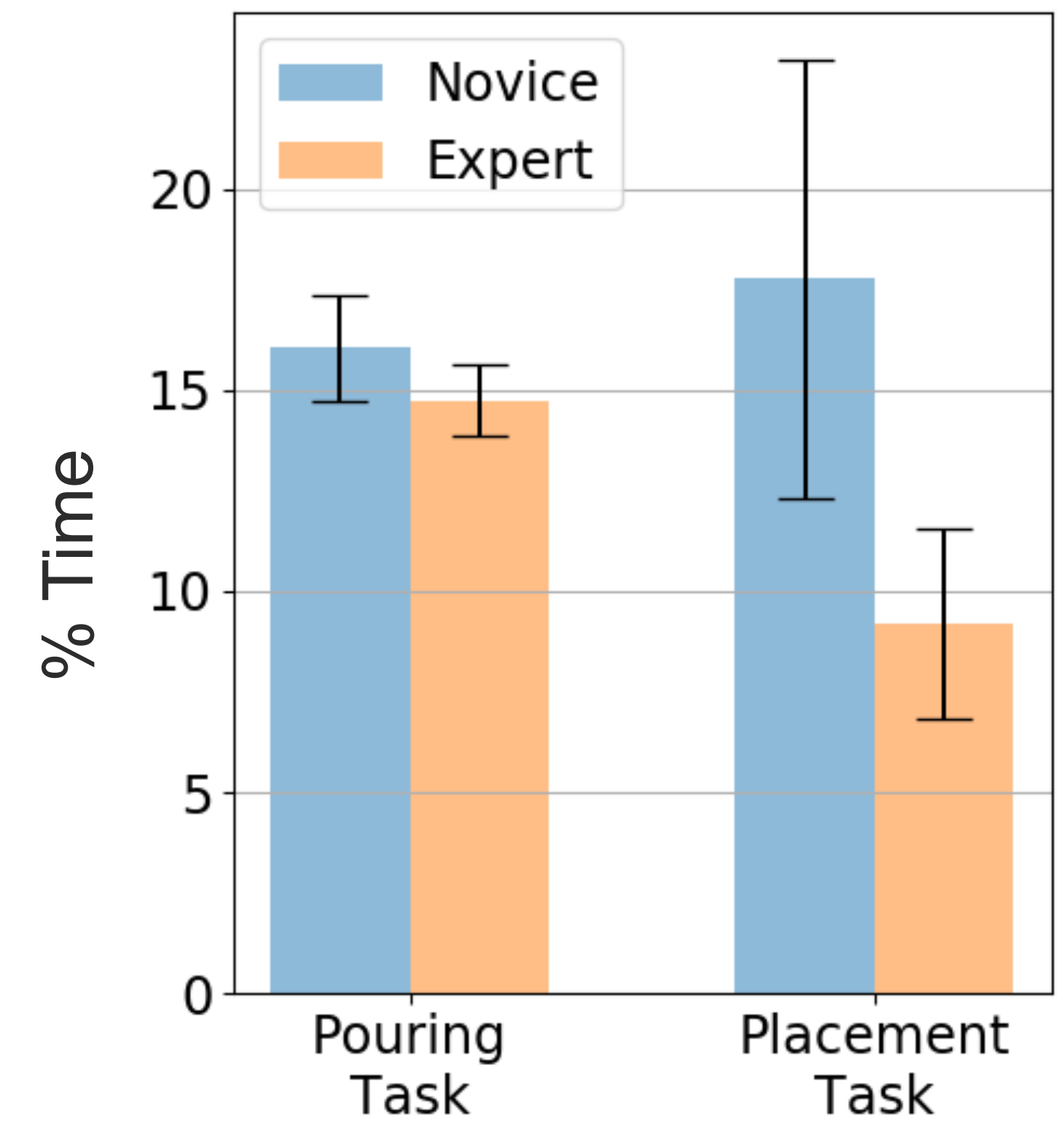
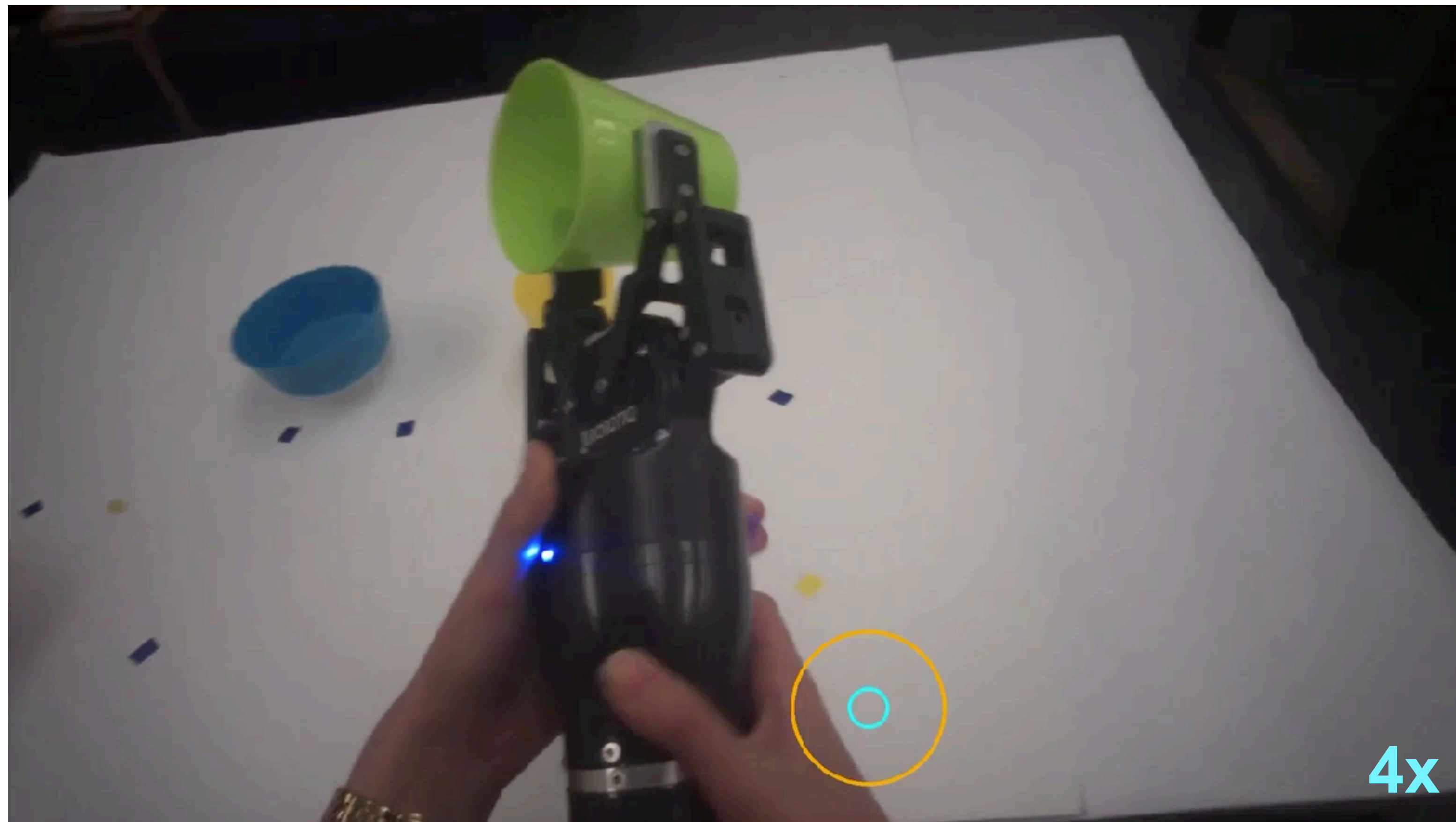
Red Plate



More fixations on the red plate



# Kinesthetic Demos: Novice Users focus more on the Robot's Gripper





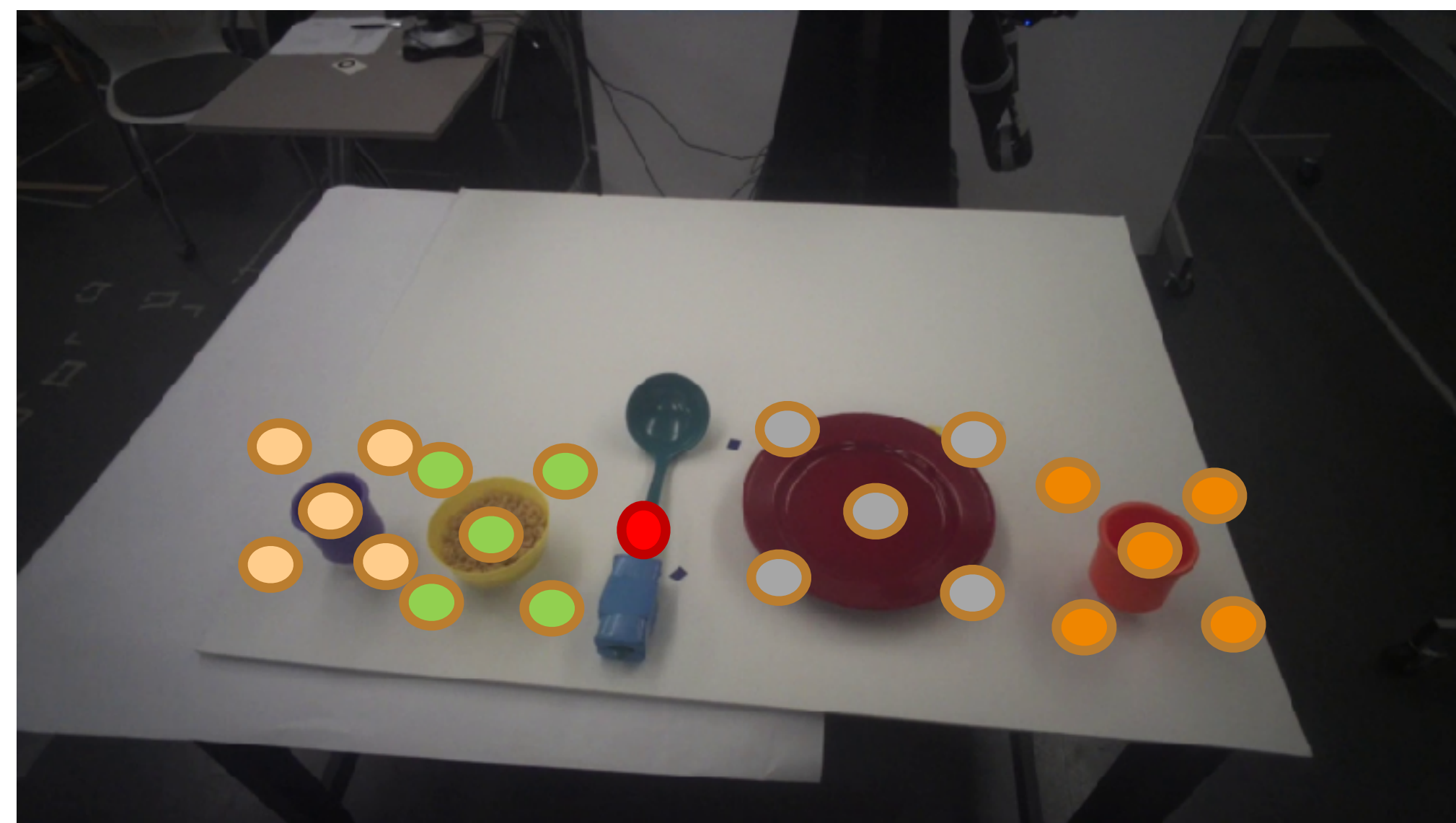
# Reward Learning for the Placement Task

Gaze augmented Bayesian IRL for Placement Task

$$P(R|D, G) \propto P(D|R) \underbrace{P(R|G)}$$

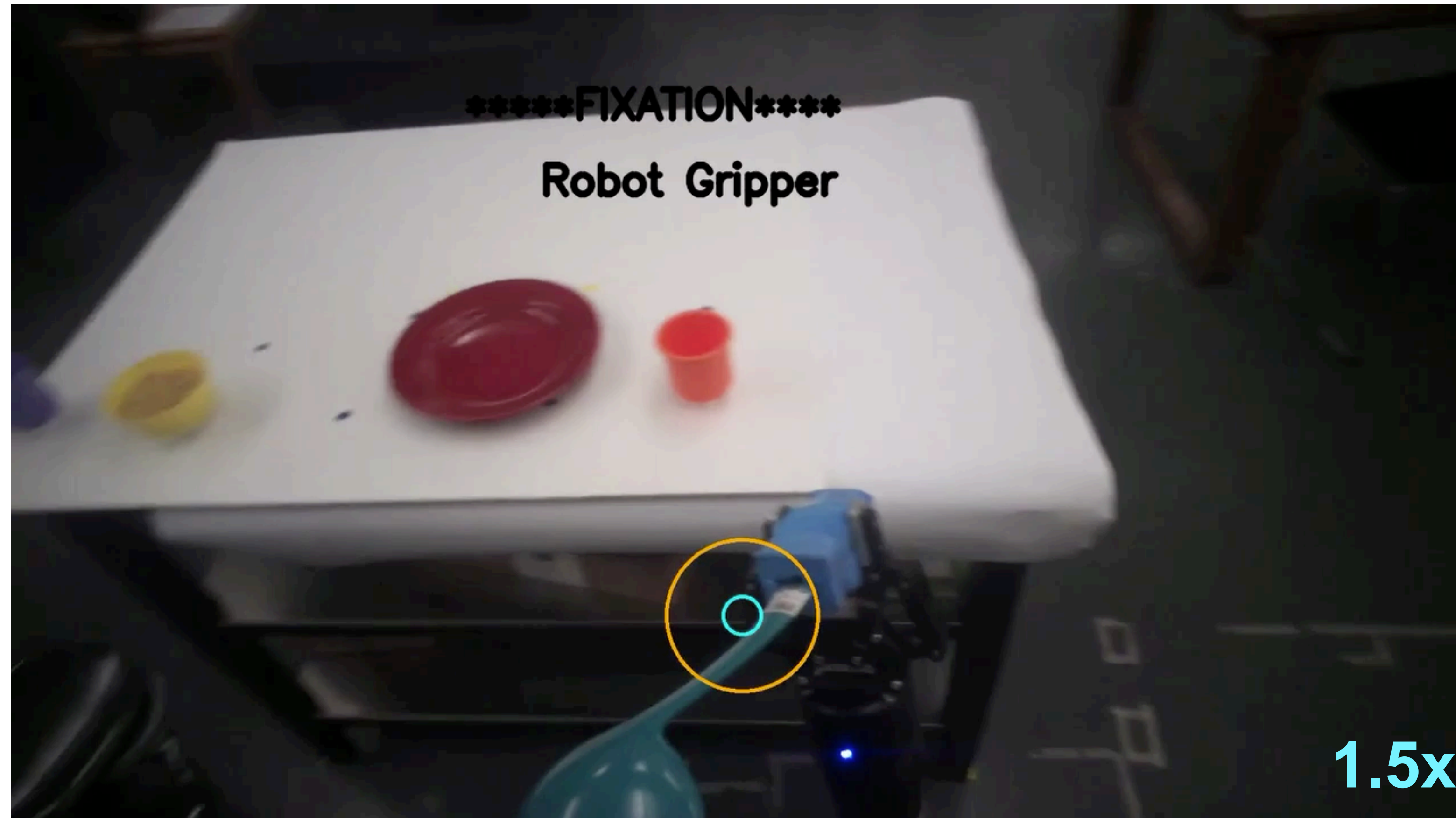
**Penalize reward functions for which pairwise gaze fixation times are not ranked according to corresponding object weights**

Reward functions modeled as weighted RBF kernels near objects



# Bayesian IRL using Gaze from Ambiguous Demonstrations

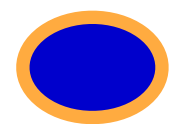
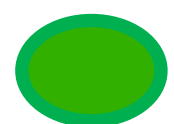
“Place green ladle to the right of the yellow bowl”



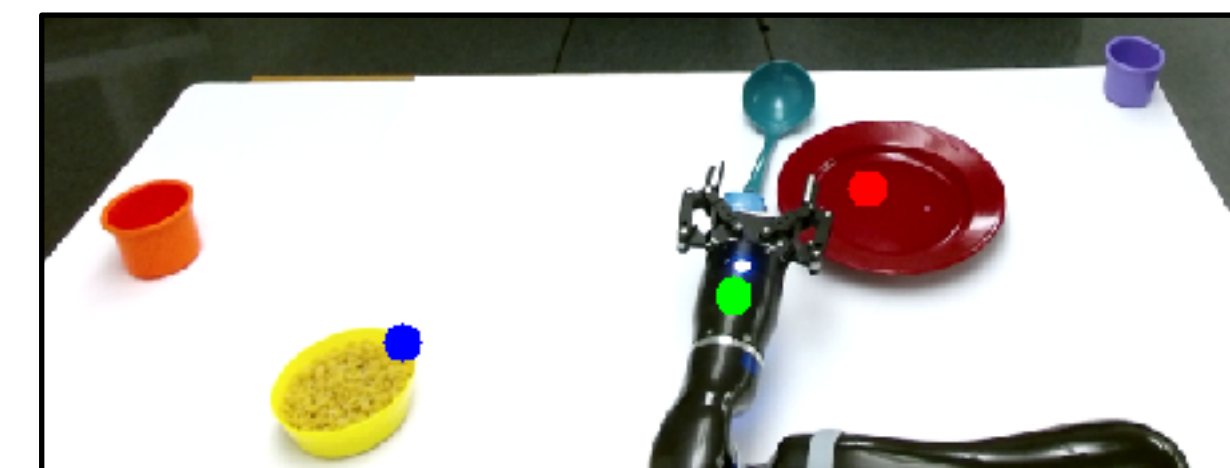
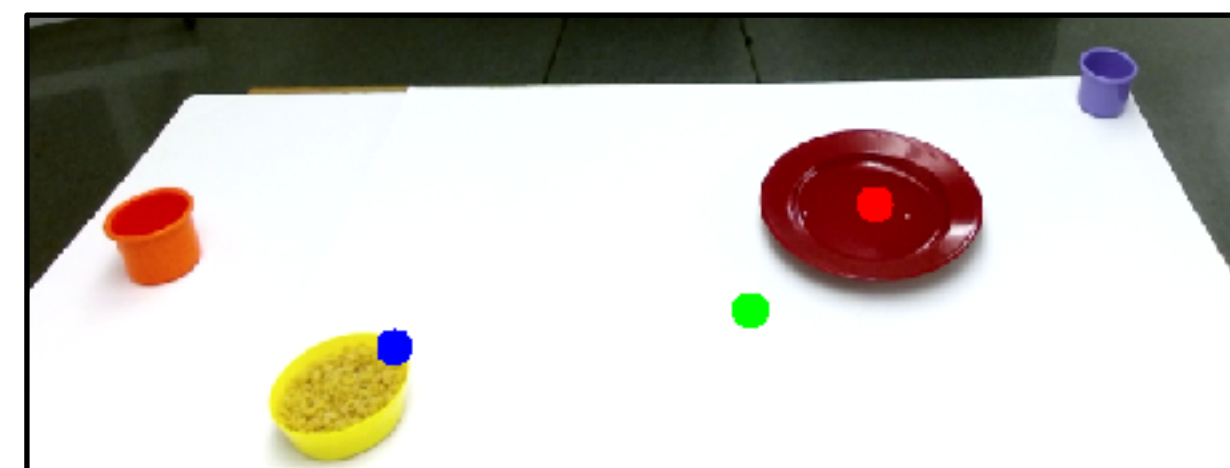
DEMONSTRATION



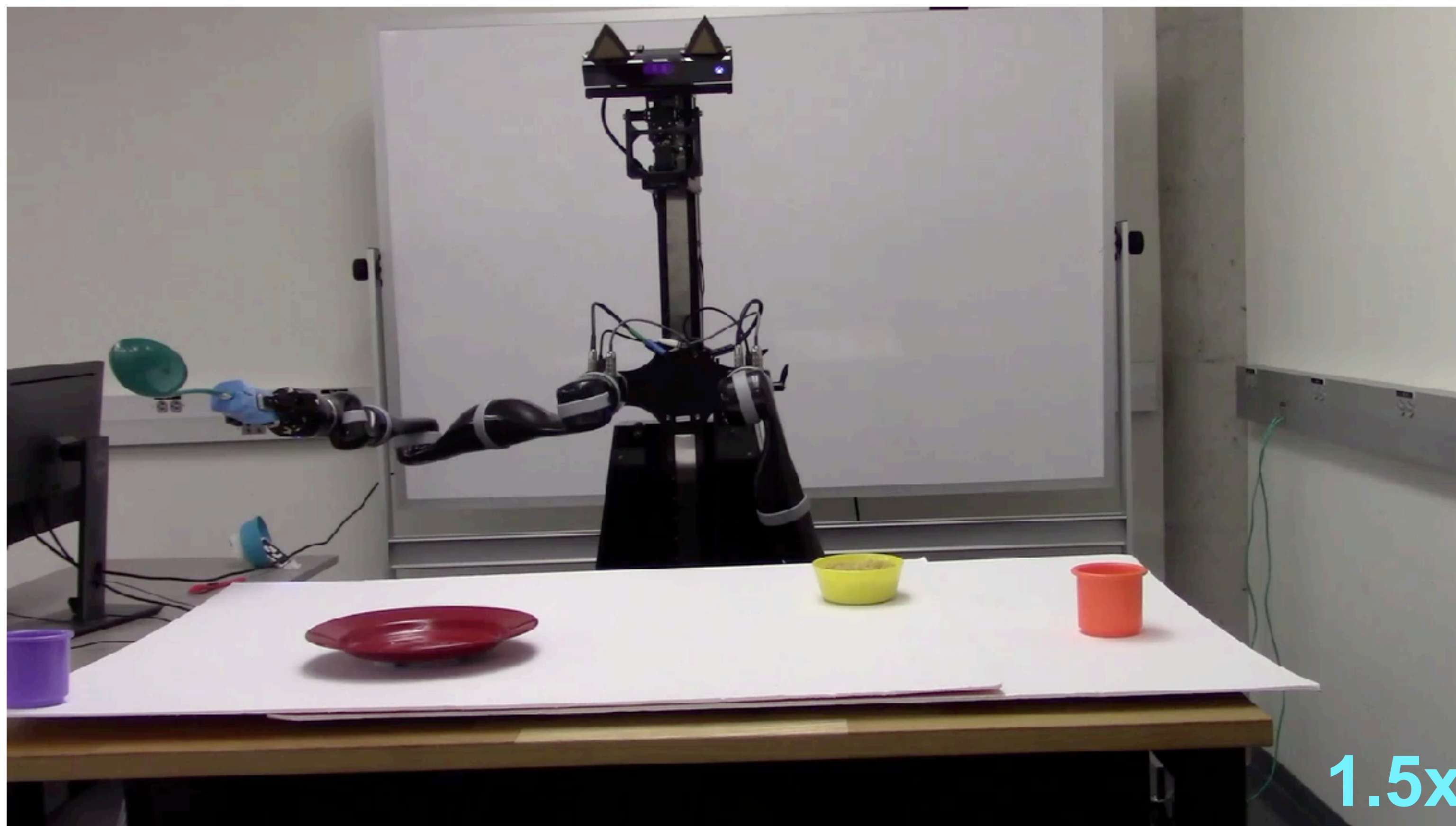
# BIRL without Gaze Information



“Place green ladle to the right of the yellow bowl”



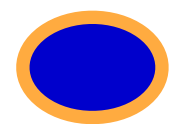
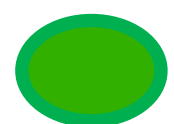
Proposed ladle location from learnt policy



1.5x



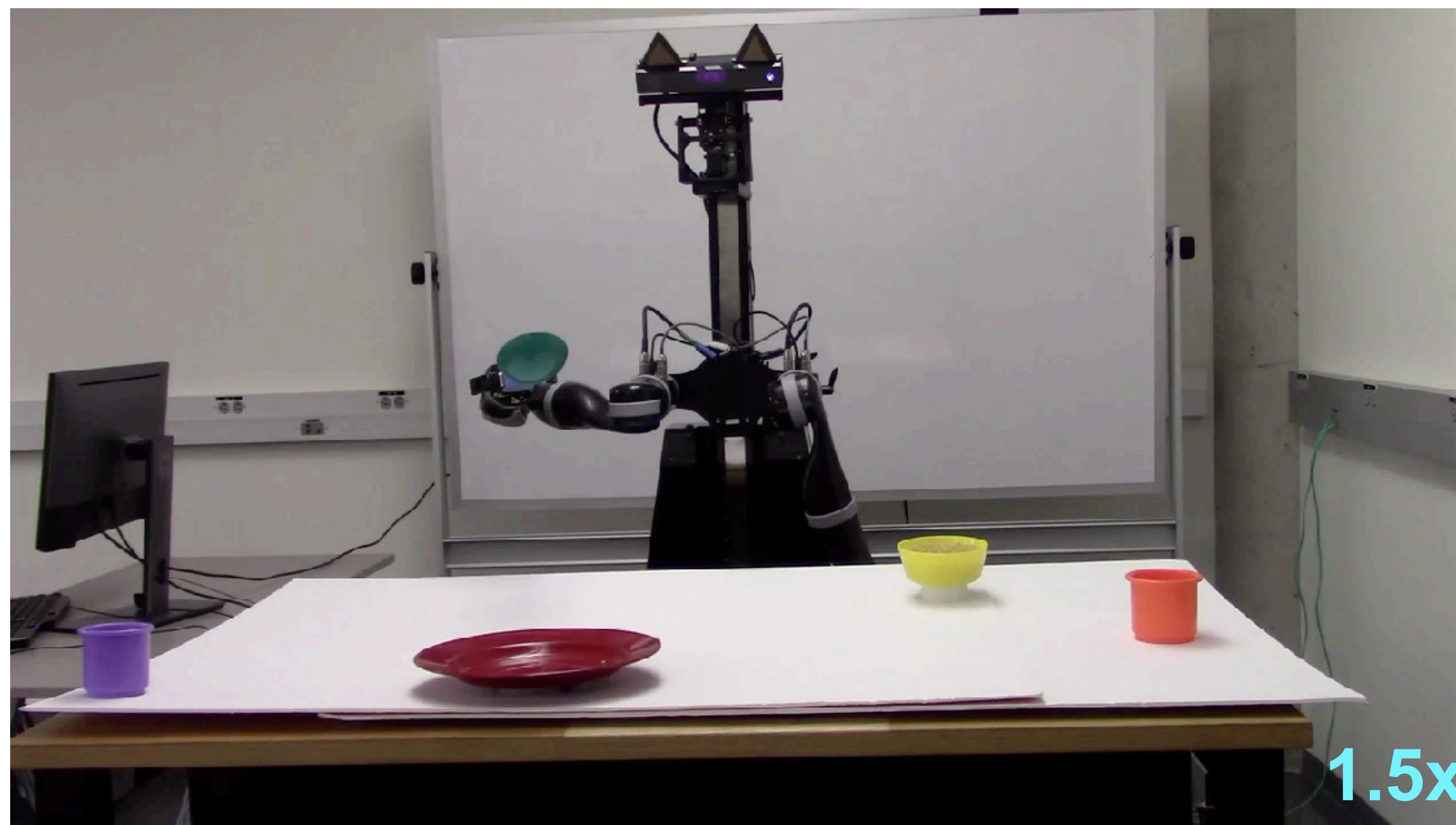
# BIRL with Gaze Information



“Place green ladle to the right of the yellow bowl”



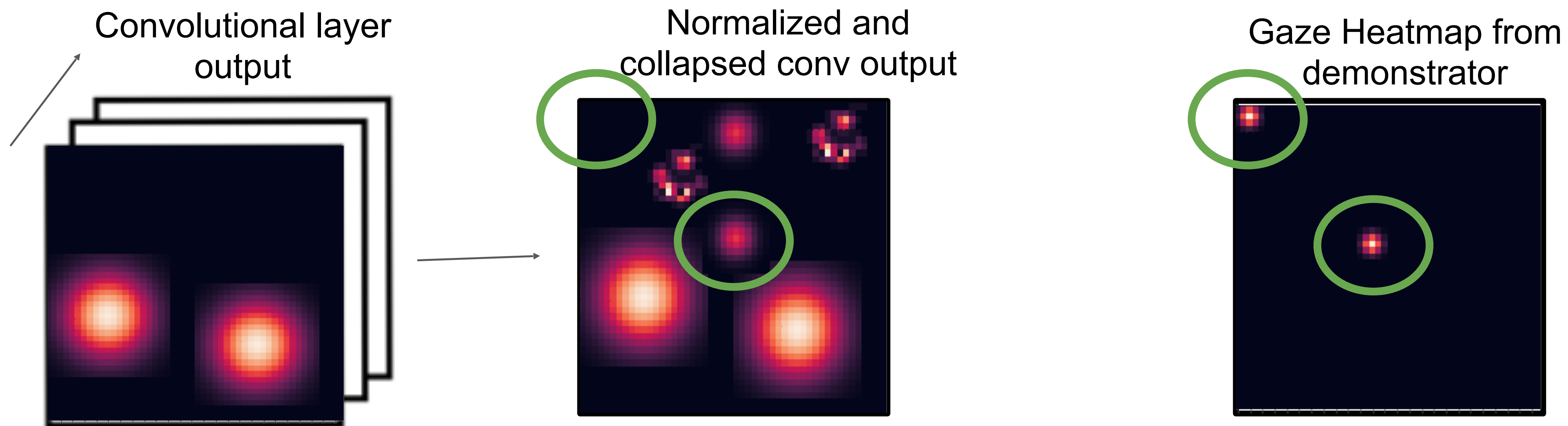
Proposed ladle location from learnt policy



1.5x

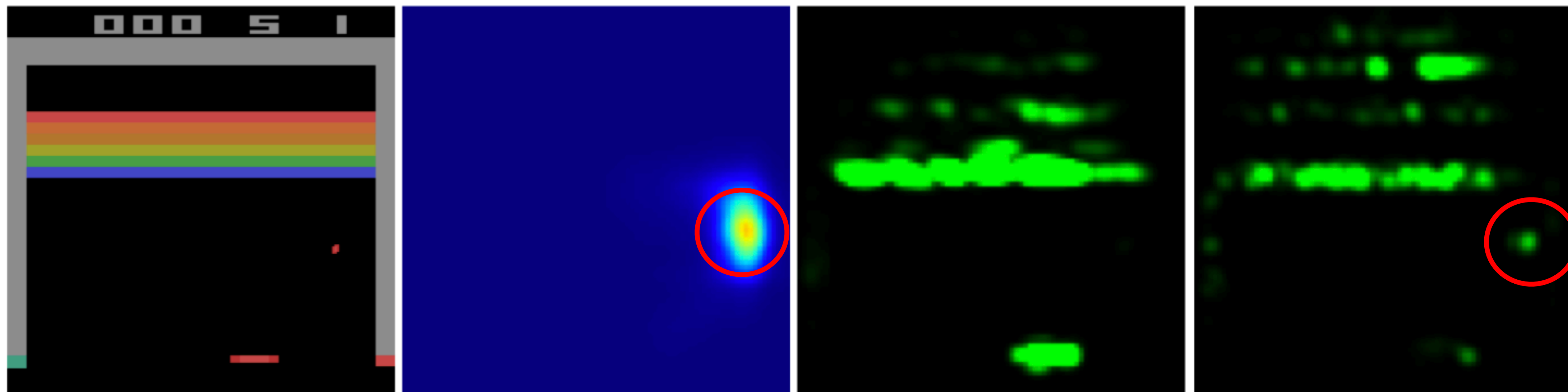
# Coverage-based Gaze Loss (CGL)

- Only required during training as part of an auxiliary loss function
- Can be applied to any existing Imitation Learning network with convolutional layers
- Improved performance without varying model complexity



**Intuition: Add a penalty for regions where gaze fixations are non-zero, but are not attended to by convolutional layers**

# CGL: Coverage-based Gaze Loss



(a) Input image

(b) Human

(c) T-REX

(d) T-REX+CGL

A. Saran, R. Zhang, E.S. Short, and S. Niekum.

[Efficiently Guiding Imitation Learning Algorithms with Human Gaze.](#)

International Conference on Autonomous Agents and Multiagent Systems (AAMAS), May 2021.



# BCO and T-REX + Gaze

Table 1: BCO performance with and without the usage of human demonstrators' gaze

Game	Human	BCO	BCO+GMD	BCO+CGL
Breakout	344 - 554	0.2	0.0	<b>0.6</b>
Hero	34305 - 50485	0.0	0.0	<b>1469.0</b>
MsPacman	17441 - 92610	90.0	70.0	<b>210.0</b>
Asterix	88000-537500	<b>650.0</b>	363.3	336.7
Phoenix	22410-27570	24.0	389.3	<b>656.3</b>
Space Invaders	845-2035	0.0	88.3	<b>311.2</b>
Enduro	278-742	0.0	0.0	<b>3.2</b>

Table 2: T-REX performance with and without the usage of expert human demonstrators' gaze

Game	Human	T-REX	T-REX+CGL
Asterix	88000-537500	23926.7	<b>99468.3</b>
Centipede	39737-251961	<b>12862.8</b>	8514.3
Phoenix	22410-27570	542.00	<b>669.7</b>
MsPacman	27731-36061	596.3	<b>625.7</b>



# Multimodal data sources: Facial Reactions

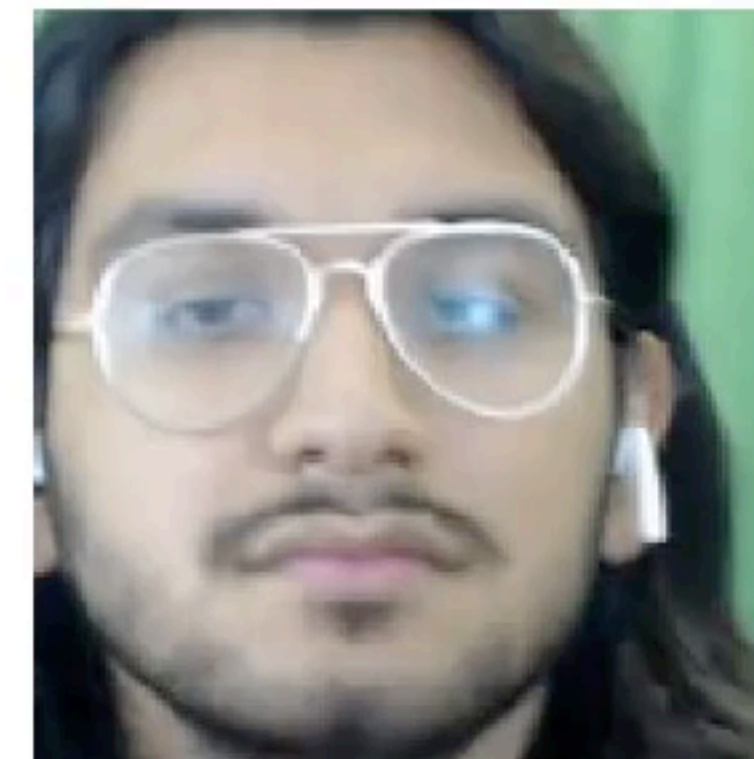
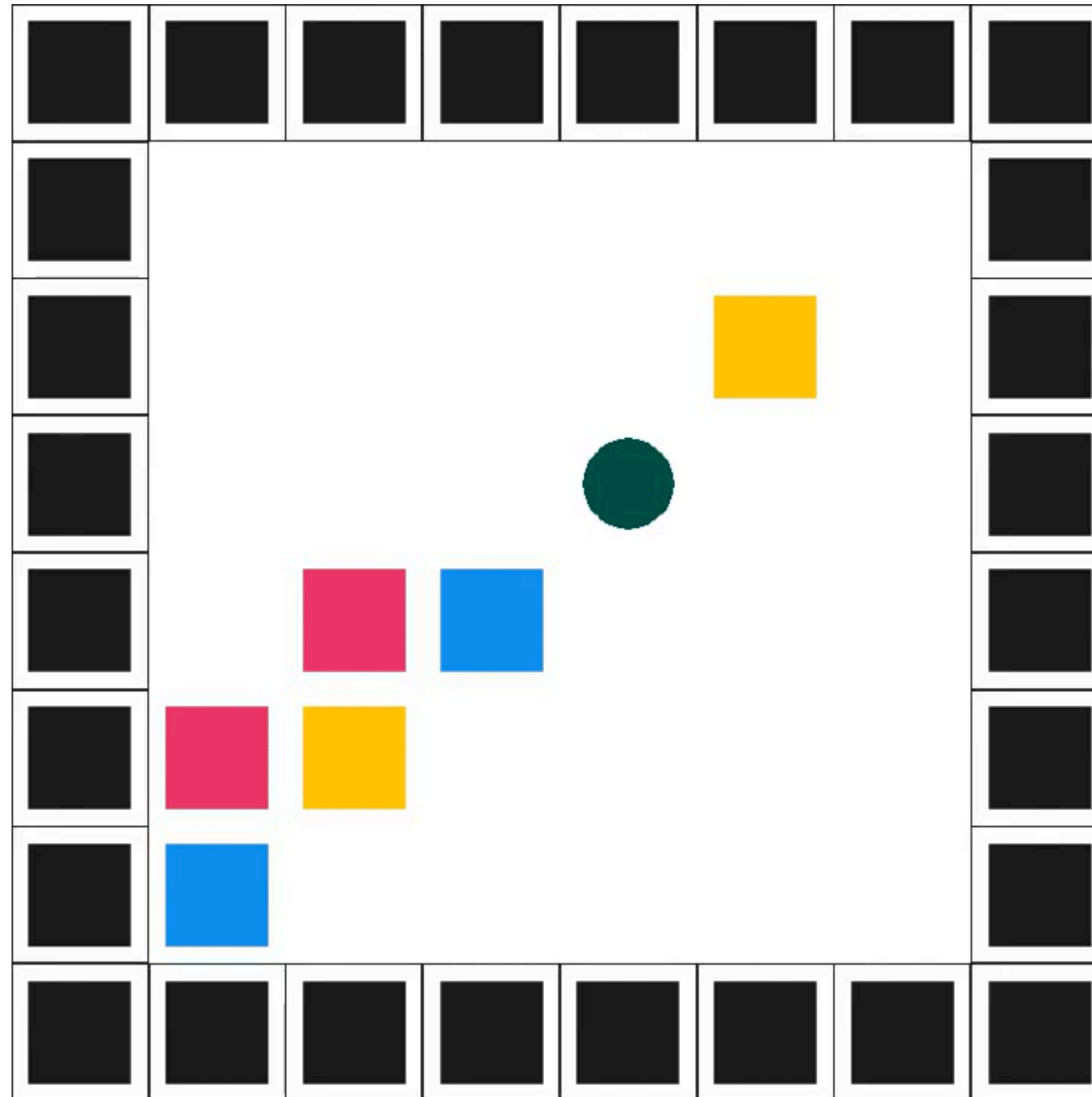


## Implicit human feedback:

- Occurs naturally
- Is not necessarily intended to influence behavior
- Can be used with no additional burden on user



# EMPATHIC: Learning from implicit feedback — training



**TIME LEFT**

**188**

Y. Cui, Q. Zhang, A. Allievi, P. Stone, S. Niekum, and W. Knox.

[The EMPATHIC Framework for Task Learning from Implicit Human Feedback.](#)

Conference on Robot Learning (CoRL), November 2020.



# EMPATHIC: Learning from implicit feedback — deployment



Y. Cui, Q. Zhang, A. Allievi, P. Stone, S. Niekum, and W. Knox.  
[The EMPATHIC Framework for Task Learning from Implicit Human Feedback.](#)  
Conference on Robot Learning (CoRL), November 2020.