DR. SARAH ABRAHAM CS349

TECHNOLOGY AND POLICY

POLICY VACUUMS AND CONCEPTUAL MUDDLES

- A new technology appears
 - Muddle: what sort of thing is it?
 - Policy vacuum: how should it be used/sold/regulated/ etc?

EXAMPLE: E-CIGS

No tobacco or second-hand smoke, so not a cigarette

...but does contain nicotine and does worsen air quality



SOCIETAL EFFECTS OF E-CIG MARKET GROWTH

- Some studies suggest they may help smokers quit
- Over 2M middle school and high school students were ecig users in 2016
 - That's 11% of high schoolers and 4.3% of middle schoolers
 - Part of appeal for youth is that e-cigs come in flavors
- Emergence of small, distributed e-liquid industry

SHOULD THEY BE REGULATED LIKE CIGARETTES?

- In 2016 the Food and Drug Administration (FDA) extended the Center for Tobacco Products' (CTP) regulatory authority to include e-cigs
 - FDA regulates manufacture, import, packaging, labeling, advertising, promotion, sale, and distribution
- In 2017 the FDA loosened restrictions on e-liquid to focus on combustible cigarettes and tobacco

INSTAPOLL QUESTION: WHERE DO SCOOTERS BELONG?

- In the road
- In the bike lane
- On the side walk
- In a trash pile

EXAMPLE: SCOOTERS

- What sort of transportation (public/private/service) are scooters?
 - Who is responsible?
- How should they be regulated?
 - Safety issues?
 - Environmental issues?
 - Other impacts?

WHAT ABOUT THEFT?

Is using a bash script the same thing as breaking and entering?

 "Stealing is stealing, whether you use a computer command or a crowbar." – Carmen Ortiz (former US Attorney for Massachusetts)

CASE STUDY: AARON SWARTZ

- Co-author of RSS
- Co-programmer of Reddit
- Co-wrote the Guerilla Open
 Access Manifesto
- Co-founded Demand Progress



SWARTZ AND JSTOR

- JSTOR is a large, digital library repository of academic content (books, journals, etc)
 - Unlimited downloading through annual subscription fee
- In 2011 Swartz was indicted with multiple felonies for downloading several million academic articles
 - Articles downloaded through MIT, which has a subscription
- JSTOR terms of service prohibit bulk downloading of articles
 - JSTOR declined to pursue charges after articles were returned

WHAT WAS SWARTZ'S CRIME?

- Prosecuted for violations of the Computer Fraud and Abuse Act (CFAA)
- In Federal District Court charged with four felony counts of wire fraud, computer fraud, unlawfully obtaining information from a protected computer, and recklessly damaging a protected computer
- Indicted by Middlesex County Superior Court grand jury for breaking and entering with intent, grand larceny, and unauthorized access to a computer network
 - Charges later dropped
- 9 more felony counts added to federal indictment for a 50 year prison sentence, and a fine of \$1M to pressure Swartz into plea bargain
 - Swartz rejected deal

OUTCOME

- In 2013 Swartz committed suicide
- Federal prosecution dropped the charges
 - Whether these charges would have held up in court still in question
- Legislation "Aaron's Law" introduced to remove terms of service violations from being covered under the CFAA
 - As of 2016 still has not been voted on
- Legislation "FASTR" introduced so that federally funded research would become freely available to the public 6 months after publication
 - Bill bipartisanly introduced to the House of Representatives in 2017
- Increased open access to academic material in Swartz's home state of Illinois

WHY SUCH LARGE CHARGES FOR SUCH A SMALL OFFENSE?

- Average sentence of a convicted rapist in the US is about 10 years with the actual time served around 5 years
- Outside of life and death sentences, average sentence for spousal murder is about 13 years

WHAT ISSUES DOES THE SWARTZ CASE RAISE?

ANOTHER EXAMPLE

- What conceptually is encryption?
- What policies should apply to encryption?

HOW DO WE FIT INTO THIS?

- Policy-makers do not necessarily understand technology
- We must be willing and able to contribute to the conversation
 - Both at a government level and among non-technical friends!
- We must contribute to the political process
 - Age 18-35 voters make up 31% of electorate but contributed only 19% of votes

NUMEROUS ORGANIZATIONS INVOLVED IN THIS CAUSE

- Computer Professionals for Social Responsibility (CPSR)
 - http://cpsr.org/
- Electronic Frontier Foundation (EFF)
 - https://www.eff.org/
- Online Ethics Center
 - http://www.onlineethics.org/
- ACM Ethics
 - https://ethics.acm.org/

REFERENCES

- <<u>https://www.scientificamerican.com/article/e-cigs-and-second-hand-vaping/</u>>
- <<u>https://www.fda.gov/TobaccoProducts/Labeling/</u>
 <u>ProductsIngredientsComponents/ucm456610.htm</u>>
- <<u>https://www.engadget.com/2017/07/28/fda-change-of-heart-about-e-cigarettes/</u>>
- <<u>https://www.theguardian.com/technology/2013/jun/02/aaron-swartz-hacker-genius-martyr-girlfriend-interview</u>>
- <<u>http://www.chicagotribune.com/suburbs/highland-park/news/ct-aaron-swartz-open-access-met-20160117-story.html</u>>
- <<u>https://mic.com/articles/132299/3-years-after-aaron-swartz-s-death-here-s-what-s-happened-to-aaron-s-law#.Up8szrbjc</u>>
- <<u>https://sparcopen.org/news/2017/fastr-reintroduction/</u>>

REFERENCES

- <<u>https://bjs.gov/content/pub/pdf/SOO.PDF</u>>
- <<u>https://www.bjs.gov/content/pub/ascii/SPMUREX.TXT</u>>
- <<u>https://www.npr.org/2016/05/16/478237882/</u> <u>millennials-now-rival-boomers-as-a-political-force-but-will-</u> <u>they-actually-vote</u>>